

Optimization of Leaky Feeder Slot Spacing for Better Beam Forming in Mines and Tunnels

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Abstract

The radiating cable (or leaky feeder) is the leading solution for distributed broadband wireless access in underground mines and tunnels. The traditional radiating cable has equally spaced slots along its axis radiating evenly. However, with the new requirement for tracking and localization of miners, this paper shows how to modify the leaky feeder to function as a beam forming antenna array by appropriately optimizing the slot spacing. Optimizing the leaky feeder slot distances using Genetic algorithm has a good impact on the channel parameters, making it an excellent choice for leaky feeder antennas. Linear, as well as bent practical leaky feeder shapes, were considered, and we tested five different optimization algorithms and compared side lobe energies, varying the slot distance which had an impact on side lobe level. Also, we derived the expression for the BER, spectral efficiency and throughput considering a time-varying wireless channel. We observed that as the radius of curvature of the feeder increased (approaching a straight line), the spectral efficiency decreased as expected. Interestingly different optimization algorithms perform differently at different carrier frequencies. An optimization method should be chosen according to the required goal to be achieved (maintaining uniform equal power along the cable, or a more directed beam, or using a certain frequency of operation).

Keywords

Beam-Forming Antenna, Leaky Feeder, Millimeter-Wave Frequencies, Optimization

1. Introduction

Leaky feeder (LF) cables are a particular type of transceivers widely used in tunnels and mines for two-way wireless access [1] [2]. The LF works in two ways. It acts as a cable carrying Radio Frequency (RF) signal from

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However, in addition to providing communications, there is a new interest for accurate tracking and localization of miners, vehicles, and explosives in mines and tunnels, with the advent of the recent MINER Act. The MINER Act mandates such monitoring to ease rescue operations in the light of a vast number of fatalities that somehow keep increasing.

The man used the LF for tracking and localization in the indoor and underground environment. For example, [4] demonstrated an LF based indoor positioning system. Authors of [5] show how the LF has a unique advantage for their Wireless Indoor Positioning System (WIPoS). They also propose an optimized LF cable that alleviates the effects of *multi-coupling* that reduces the positioning accuracy.

The LF is an array of slot antennas. Conventional LF has uniformly spaced (equidistance) slots along its longitudinal axis. There is a phase shift between the radiated signal from each slot as a function of the radio frequency and slot separation. The uniform slot separation provides higher side lobe energy.

Though different shapes of slots have been tried to control the amount of radiated RF energy, no effort has been reported to optimize the slot spacing systematically to monitor the radiation pattern of an LF. That is the focus of this paper. Concentrating the radiation in the desired direction realizes multiple benefits such as space division multiplexed communication, in addition to better tracking [6].

In this paper, we study the optimization of LF slot spacing for beam forming. In our previous work [7], we showed our modified Particle Swarm Optimization (PSO) algorithm significantly decreased the side lobe level. However, further investigation showed different optimization algorithms performed better at various carrier frequencies. Furthermore, it is intriguing to observe the behavior of an RF signal emanating from multiple slots with a phase difference travel through a time-varying wireless channel in a confined environment. The wireless channel in mines will have unique propagation characteristics with limited or no line of sight components [Wisam, Fernando]. In this paper, we have also studied the effect of varying the slot separation on spectral efficiency, average throughput, and Bit Error Rate (BER) using various evolutionary optimization methods.

We used the following optimization algorithms:

- Genetic Algorithm (GE): This is a population-based search heuristic that mimics the process of natural selection. The aim is to find the fitness value of each member of a random population and to choose the individuals with the best fitness value for further reproduction [8].
- Particle Swarm Optimization (PSO): This is a stochastic optimization technique inspired by social behavior of bird flocking or fish schooling. PSO shares many similarities with GA. System initialization starts with a population of random solutions and searches for optima by updating generations. In PSO, the particles fly through the solution space by following the current optimum particles. However, unlike GA, PSO has no evolution operators such as crossover and mutation [9].
- Cat Swarm Optimization (CSO): This is an evolutionary method proposed less than one decade ago. In the recent years, it has been improved and applied in different fields by many researchers. CSO models the behavior of cats that rest mostly except when they are tracking some targets. Trying to find the next best position to move into, there are two modes in CSO: seeking mode and tracking mode [10].
- Differential Evolution (DE): It is a parallel search method that utilizes D-dimensional parameter vectors as a population for each generation. It was used to solve optimization problems where the objective function is non-differentiable, non-continuous, and non-linear [11].
- Legendre Polynomials (LEG): These are particular orthogonal functions used in the solution of physical problems. They are solutions to Legendre differential equation of the second order. The solutions are called Legendre functions [12].

Studies showed that evolutionary algorithms usually had a better performance regarding sidelobe level reduction in antenna arrays more than the mathematical approaches. Besides, we analyzed an LF hooked up with different shapes, and the study of an LF considering the multi-path channel.

Related Work

The LF is a particular transceiver modeled as a linear slot-antenna array. The LF has higher average received signal level compared to a conventional omnidirectional antenna. Also, the LF has a higher average signal to noise ratio (SNR) because of the distributed transmission [13].

In [14] Torrance et al. introduced the theoretical calculated leaky feeder channel impulse response. They

considered the phase difference of the RF signal emanating from each slot and compared it with the measured series of impulse responses using a Swept Time Delay Cross Correlator (STDCC). Then, the BER of the leaky feeder of various modulation schemes was plotted experimentally, and compared with the performance of an omnidirectional antenna for coherent modulation.

In [15] Liao *et al.* suggested four designs for the unequally spaced resonant slotted waveguide antenna array. Varying the slot separation using the proposed models produced three different beam patterns and shapes. In their simulation, the excitation amplitude was varied, and the performance significantly improved. However, in this work, no systematic optimization method is followed, and they suggest random designs.

The rest of this paper is as follows. Section 2 discusses the leaky feeder channel model and the related equations to analyze the channel performance. Also, it highlights other LF models. Section 3 presents the simulation results. Finally, Section 4 ends with the conclusion and future work.

2. Leaky Feeder Channel Model

Consider the leaky feeder schematic diagram shown in **Figure 1**. Here, a leaky feeder is placed along the *x*-axis (y = 0) having slots along it. The distance between the origin and the n^{th} slot is D(n) where $n = 1, 2, \dots, N$; *N* is the total number of slots. The slots are either uniformly or non-uniformly spaced as considered later in this paper.

In our model, we considered a single antenna receiver that is moving on the ground parallel to the x-axis at a position (R_x, R_y) .

So the radial distance (range) from the n^{th} slot to the receiver will be:

$$R(n) = \sqrt{\left(R_x - D_n\right)^2 + R_y^2}.$$
(1)

Consider, a signal injected into the cable that has an amplitude A and a general phase ϕ . The injected signal takes a mean time $t_1(n) = D(n)/(C \cdot \beta)$ to reach the n^{th} slot of the cable. Where C is the speed of light, K is the dielectric coefficient of the insulation material equal to $\mu_r \xi_r$, and β is the relative phase velocity equal to \sqrt{K} .

The attenuated and phase rotated signal at the n^{th} slot will be [14]:



$$S(n) = A \cdot 10^{D(n)k_0/\lambda} e^{j(\phi+k_0\beta D(n))}.$$
(2)

In this expression $k_0 = 2\pi/\lambda = w \cdot \sqrt{(\xi_0 \mu_0)}$ is the free space wave number, where $w = 2\pi f$ is the angular frequency, ξ_0 is the free space permittivity, and μ_0 is the free space permeability.

The signal that travels from each slot through free space take a mean time $t_2(n) = R(n)/C$ to reach the receiver. Each slot, located along the y=0 axis, has a radiation pattern of a small dipole antenna (omnidirectional radiation pattern). This radiation pattern relates the transmitted power to the cosine of the angle θ , where θ is the angle lying between the direction of propagation and the line perpendicular to the cable [14].

Therefore, the received impulsive signal at the receiver antenna is given by:

$$I(n) = S(n)\cos(\theta)M(R(n))e^{j(R(n)/C\lambda)}.$$
(3)

where M(R(n)) is the amplitude path loss from the n^{th} slot to the receiver calculated using the Devasirvatham's channel model given below [16]:

$$M(R(n)) = r 10^{(0.7r/20+1.925)^{-1}}.$$
(4)

We used Devasirvatham's path loss model as it was more suitable for indoor and underground confined environments.

Therefore, the estimated impulse response of the channel can be written as the sum of signals emanating from all N slots. The total time is the time it takes the signal to travel through the LF plus the time in the air interface.

$$h(t) = \begin{cases} \sum_{n=1}^{N} I(n) & t(n) = t_1(n) + t_2(n) \\ 0 & \text{Otherwise} \end{cases}$$
(5)

In general, this channel response is complex and has a magnitude and a phase component. Its magnitude is $A \cdot 10^{D(n)k_0/\lambda} \cos(\theta) M(R(n))$, and its phase is $(\phi + k_0\beta D(n) + R(n)/C\lambda)$.

By substitution, the impulse response is:

$$h(t) = \sum_{n=1}^{N} A \cdot 10^{D(n)k_0/\lambda} \cos(\theta) M(R(n)) e^{j(R(n)/C\lambda)} e^{j(\phi+k_0\beta D(n))}.$$
 (6)

Expressing the two exponents in terms of $t_1(n)$, and $t_2(n)$:

$$h(t) = \sum_{n=1}^{N} A \cdot 10^{D(n)k_0/\lambda} \cos(\theta) M(R(n)) e^{j(t_2(n)/\lambda)} e^{j(\phi + k_0 \beta^2 C t_1(n))}.$$
(7)

Since $t(n) = t_1(n) + t_2(n)$, by substituting $t_2(n) = t(n) - t_1(n)$ we get $e^{j(t(n)/\lambda + \phi + k_0\beta^2 C t_1(n) - t_1(n)/\lambda)}$. Substituting for the common factor $t_1(n)$,

$$h(t) = \sum_{n=1}^{N} A \cdot 10^{D(n)k_0/\lambda} \cos\left(\theta\right) M\left(R(n)\right) e^{j\left(\phi + \left(k_0\beta - 1/(C\beta\lambda)\right) \cdot D(n) + t(n)/\lambda\right)}.$$
(8)

The previous equation is the impulse response of the wireless channel followed by leaky feeder cable at time t.

Now, to compute the BER, let the input signal x(t) has binary phase shift keying modulation (BPSK). The signal that will reach the n^{th} slot will be phase shifted by a value kD(n). Therefore,

$$x(n,t) = \sqrt{2E_b/T_b} \cos(2\pi f_c t + \pi (1-i) + kD(n))$$
where $i = 0,1$
(9)

where E_b is the energy-per-bit, T_b is the bit duration. Let the energy $= E_p = \sqrt{2E_b/T_b}$. Then the signal obtained at the receiver will be the convolution of the input

signal x(n,t) with the respective channel impulse response. The output signal will be the sum of all these [13]:

$$y(t) = \sum_{n=1}^{N} \frac{E_p}{w_c} \sin\left(2\pi f_c t + \pi (1-i) + kD(n)\right) \odot S(n) \cos\left(\theta\right) M\left(R(n)\right) e^{j(R(n)/C\lambda)}.$$
(10)

The signal to noise ratio is $SNR = P_s/P_n = PT/WN_0$ where, P_s is the input signal power, P_n is the noise power, and P is the average power (all in Watts). Also, N_0 is the noise power spectral density (Watts/Hz), W is the signal bandwidth (Hz), and T is the pulse length (duration) in seconds.

The signal power is then the mean square value as follows:

$$P_{s} = \frac{1}{T} \int_{0}^{T} \left(\left| y\left(t\right) \right|^{2} \right) \mathrm{d}t \tag{11}$$

$$= \frac{1}{T} \int_{0}^{T} \left(\frac{\sqrt{2E_{b}/T_{b}}}{w_{c}} \cdot A10^{-D(n)k/20} \cos(\theta) M(R(n)) \right)^{2} \mathrm{d}t.$$
(12)

After integrating, the received signal power is:

$$P_{s} = \frac{E_{b}/T_{b}}{w_{c}^{2}} A^{2} 10^{-D(n)k/10} \cos^{2}(\theta) M(R(n))^{2}.$$
(13)

The total noise power P_n is equal to $W \cdot N_0$. The signal to noise ratio in dB is [17],

$$SNR_{dB} = 10\log_{10} \left(P_{S(dB)} - P_{n(dB)} \right).$$
(14)

From the signal to noise ratio, we can calculate the spectral efficiency, the average throughput, and bit error rates.

The spectral efficiency is [18]:

$$s = \log_2 \left(1 + P_s / (N_0 W) \right). \tag{15}$$

The BER (P_e) for BPSK modulation is [19]:

$$P_e = 0.5 \operatorname{erfc}\left(\sqrt{P_s/N_0W}\right) = 0.5 \operatorname{erfc}\left(\sqrt{\gamma}\right).$$
(16)

The average channel throughput (*Th*) in bits/secs is:

$$Th = \int_{-\infty}^{\infty} c \cdot f_{y}(\gamma) dy = c * (1 - p_{e}(\gamma)).$$
(17)

where $F_{y}(y)$ is the probability density function of the received signal.

Other Leaky Feeder Shapes

Practically the leaky feeder is not just hooked straight in the mine. There are some places where it is bent over or branched to provide coverage to different areas as shown in Figure 2. We shall also study the bent LF.

Consider the curved leaky feeder model shown in **Figure 3**. Now the distance between slots is different based on the radius. The calculated coordinates of a slot is as follows:

$$D_n(x) = r - r\cos(\theta). \tag{18}$$

$$D_n(y) = r\sin(\theta). \tag{19}$$

where r is the radius of the curved portion of the leaky feeder, θ is the angle between a horizontal line from each slot and the radial line of that slot.

The range from the each slot to the receiver is as follows:



Figure 3. Schematic model for a curved leaky feeder.

$$R_{n} = \sqrt{\left(R_{x} - D_{n}(x)\right)^{2} + \left(R_{y} - D_{n}(y)\right)^{2}}.$$
(20)

The distance between two slots along the circular path is the length of the arc subtended by the two slots.

$$D_n = r\cos(\alpha). \tag{21}$$

where α is the angle subtended by the arc.

We can calculate t_1 , and t_2 by the same method as used before, then from D_n , and R_n the new channel impulse response is calculated.

The branched LF consists of two branches, the horizontal arm and the vertical arm. There will be two coordinates for the slot distance along the x and y directions. For the horizontal branch, the slot coordinates can be calculated in the same way as done previously for the straight leaky feeder.

$$D_n(x) = D(n). \tag{22}$$

where *n* is the number of slots in the horizontal direction and $D_n(y) = 0$, since the slots are along the x-axis only.

For the vertical branch, we assume that this branch emanates from the mid-point or the center slot. Therefore,

$$D_n(x) = \begin{cases} D\left(\frac{n}{2}\right) & \text{Even slots} \\ D\left(\frac{n\pm 1}{2}\right) & \text{Odd slots} \end{cases}$$
(23)

and,

$$D_m(y) = D(m). \tag{24}$$

where m is the number of slots along the y-axis.

Using these previous equations, R_n is as in (1).

3. Numerical Evaluation

Numerical evaluation is done using the model discussed in Section 2 and the parameters in Table 1.

PSO was applied based on the techniques used in [9] [10]. CSO and GE were applied using the techniques discussed in [10], and [20] respectively.

The side lobe levels of the chosen optimization methods shown in **Figures 4-6**. We compared them with the uniformly slotted LF for five slots.

As for the mathematical methods like LEG and DE, we followed the same techniques discussed in [11] [12].

From Figure 5 the Legendre optimization gave a sharply directed main lobe beam as expected [12], but the side lobe level was not reduced significantly having a level of -16 to -20 dBm. In Figure 6 DE had a Side lobe level of about -23 dBm. In Figure 4 PSO had a side lobe level of about -22 dBm. CSO has a side lobe level of about -24 dBm as in Figure 4. Genetic optimization has the least side lobe level of about -30 dBm as shown in Figure 6.

In general, all the optimization methods reduced the side lobe levels to some extent, but they have different behavior at different frequencies as we will see later.



Figure 4. The radiation pattern of a Leaky Feeder with Uniform Slot Spacing and Optimized Slot Spacing using CSO and PSO Algorithms.

radie 1. 1 enformance parameters.	
Parameter	Value
Frequency	1 GHz
Receiver coordinates	$(R_x = 0.2:10 \text{ m}, R_y = 3 \text{ m})$
Relative phase velocity (β)	0.88
Bandwidth (W)	1000 Hz
Amplitude (A)	500 Units
Noise spectral density (N_0)	1e-4 W/Hz
Slot separation (D)	0.5 cm
Number of slots (N)	20
Axial attenuation	0.15 dB/m

 Table 1. Performance parameters.



Figure 5. The radiation pattern of a leaky feeder with uniform slot spacing and optimized Slot spacing using DE and LEG algorithms.



Figure 6. The radiation pattern of a leaky feeder with uniform slot spacing and optimized Slot spacing using DE and GE algorithms.

Table 2 shows the optimized slot distances used in our simulation for thirty slots based on various optimization methods normalized with wavelengths. We noticed that as the differences between slot distances is small, better performance is achieved.

Table 3 shows the SLL levels obtained with the simulation of thirty slots. Also, we calculated the mean, variance, and standard deviation of the SLL. The standard deviation for CSO, and DE are less than one, this corresponds to a low variance or less spreading of the data(data values are very close to the mean). We can conclude from this that the side lobe level values at different slot distances is very close to each other. Power coming from all the slots will be nearly the same(maintaining nearly a constant energy along the cable).

Besides, we calculated the minimum side lobe attenuation and the beam width angle deviation. Here minimum side lobe attenuation is $-20 \log M_{\text{max}}(SLL)$ [21], and beam width deviation is the uniform array main lobe beam width minus the optimized array main lobe beam width. A positive value indicates that the main beam width is narrow or a more directed beam, whereas a negative value indicates that the main beam width is wide.

Figure 7 shows a plot of the BER at a receiver that moves along the x-axis for all five optimization methods.

The genetic algorithm shows improvement in the BER by 2.5e–7 more than the equally spaced slots case at a position 5 m. The behavior is the same at all frequencies except the millimeter frequency range.

Studying the system throughput is crucial when changing the frequency especially when optimizing the slot spacing since the optimum spacing depends on the frequency.

To explore the effect of inter-slot interference on system throughput, a plot of throughput versus carrier frequency is shown in **Figure 8**. Here, the receiver is at 10 m away from the LF.

Figure 8 shows that the genetic algorithm has superior performance over all the other algorithms. GE has a high throughput for a large frequency range, and the curve starts to drop at the mm-wave spectrum. For other optimization methods, the throughput begins to fall at 0.5 GHz. GE also shows improvements in the received

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Table 2. Slot distance of various optimization methods for 30 slots.							
Legendre	CSO	PSO	Uniform	DE	GE		
0.5	0.1516	0.2605	0.5	0.25	0.0122		
1	0.4115	0.5105	1	0.75	0.0236		
1.5	0.7899	1.0186	1.5	1.25	0.0428		
2.0144	1.1048	1.4694	2	1.75	0.0730		
2.7665	1.6843	1.7849	2.5	2.25	0.1100		
3.23	1.2832	2.1407	3	2.75	0.9		
3.77	1.76	2.46	3.5	3.25	1.35		
4.23	2.27	3.087	4	3.85	1.87		
4.68	2.45	3.73	4.5	4.45	2.1		
5.45	3.856	4.13	5	5.05	2.78		
5.81	4.358	4.61	5.5	5.65	3.12		
6.32	5.29	5.25	6	6.25	4.23		
6.75	5.998	5.941	6.5	6.85	4.68		
7.15	6.714	6.703	7	7.45	5.36		
7.42	7.10	7.03	7.5	7.63	6.07		

Table 3. SLL of various optimization methods in dBm for 30 slots.

Legendre	CSO	PSO	Uniform	DE	GE			
-18	-23.8	-22	-13.3	-22.63	-29			
-16.9	-23.5	-22.1	-14.8	-22.68	-30.7			
-22.7	-24.8	-21.6	-17.6	-22.78	-31.7			
-24.3	-24.7	-21	-19.7	-22.79	-31.6			
-23.9	-25.8	-22.2	-20.3	-22.8	-31.5			
-21.4	-25.7	-25	-21	-22.85	-32.6			
-20.4	-25.5	-22.3	-20.6	-22.92	-33.7			
-22.6	-25.9	-22.4	-22.5	-22.96	-33.6			
-22.2	-26.3	-32	-23	-22.85	-34.5			
-24.4	-26	-27	-23.5	-23	-34.7			
-21.5	-25.6	-23	-22	-23.4	-35.72			
-19	-27	-24	-24.4	-23.77	-35.75			
-20.9	-25	-24.4	-23.7	-23.79	-35.75			
-23.3	-24.5	-25	-24.9	-23.8	-35.75			
-24.1	-24	-25.2	-23.5	-23.89	-36.1			
Minimum Side Lobe Attenuation								
24.5577	27.42135	26.444	22.477	27.09	29.248			
Beam Width Deviation (Deg)								
1	-2	-0.25	0	-1.5	-1.5			
Mean								
-21.7	-25.2	-23.94	-20.98	-23.13	-33.278			
Variance								
5.05	0.91133	7.24336	11.0292	0.1814973	4.662513			
Standard Deviation								
2.24722	0.954637	2.691349	3.321	0.426	2.16			



Figure 7. Bit error rate with uniform and optimized slot spacings at 1 ghz carrier frequency.



Figure 8. Variation of throughput of a leaky feeder with frequency. The receiver is vertically below at 10 m.

power, spectral efficiency and, bit error rate independent of the used frequency, because, after optimization using the genetic algorithm according to [8], the distance between slots became very tiny. The performance degrades when the distance between slots gets larger. The practicality of designing LF with such short slot spacing is subjected to further investigation.

Other algorithms, however, have inconsistent performance and, approach zero at certain frequencies. It is also worth to mention that the throughput goes to null at some frequencies. This vanishing happens even with the uniformly spaced LF. The explanation is that, at certain frequencies, the signal emanating from adjacent slots may destructively interfere causing the output signal to diminish.

We interpret the superior performance of the genetic algorithm in optimization is due to the following:

1) Most other optimization techniques can only explore the solution space to a problem in one direction at a time whereas GE moves in multiple directions at once.

2) GE performs well when the fitness function is complex.

Figure 8 shows that GE optimization for slot spacing improved the average throughput by about 1.4 bits/sec more than the equally spaced slots leaky feeder, whereas Legendre polynomials optimization decreased the throughput than the equally spaced case.

For the mm-wave frequencies, the performance fluctuates for all algorithms, but the PSO works better at 50 GHz. However, the GE can even work up to 100 - 200 GHz theoretically, although these frequencies may not be

appropriate in LF systems. It is also worth to note that the signal amplitude has an effect on the fluctuation pattern, but still the GE outperforms other methods.

Figure 9 shows the spectral efficiency of a curved LF in a time varying channel. The spectral efficiency fluctuates due to the Rician distribution of the amplitude response $A_n(t)$ as in Equation (9).

Figure 10 shows the spectral efficiency for a curved LF for various radii from 1 m to 1000 m. The graphs show that as the radius increases (less curvature) the spectral efficiency decreases. At r = 1 m spectral efficiency is 45 bps/Hz, and at r = 1000 m (Nearly infinity) the spectral efficiency is about 30 bps/Hz.

4. Conclusions and Future Work

4.1. Conclusions

In this paper, we analyzed the spectral efficiency, received power (from all slots), and the BER of a leaky feeder based wireless communication system. The slot placement is optimized using various evolutionary and mathematical techniques. Simulation results show that varying the slot distance can significantly reduce side lobe level. Genetic algorithm optimization improved the leaky feeder channel parameters significantly regardless of the



Figure 9. Sample spectral efficiency of a time-varying curved LF channel, where receiver is moving along x-axis.



Figure 10. Sample spectral efficiency of a time-varying curved LF channel, where receiver is moving along x-axis.

used frequency, so we recommend it for use as a method for optimizing the leaky feeder slot spacing in all frequency bands. For mm-wave frequencies, it is better to use PSO up to 50 GHz and use GE beyond that band. If maintaining a constant energy along the cable is the requirement, then it is better to use CSO and DE. If a more directed beam is required to focus on the energy in a specific direction, then Legendre is better. Increasing the curvature of the LF also increases the received power and spectral efficiency.

4.2. Future Work

For our future work, we aim to apply the same five optimization techniques, but for a time-varying channel, we aim to check the effect of the varying slot spacing on the delay spread and other channel parameters. Furthermore, we will try to verify our simulation experimentally, taking measurements of different channel parameters by using various LF shapes.

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