

A New Multi-Objective Model to Optimise Rail Transport Scheduler

Mahmoud Masoud*, Geoff Kent, Erhan Kozan, Shi Qiang Liu

School of Mathematical Sciences, Science and Engineering Faculty, Queensland University of Technology, Brisbane, Australia

Email: ^{*}mahmoud.masoud@qut.edu.au, g.kent@qut.edu.au, e.kozan@qut.edu.au, sq.liu@qut.edu.au

Received 31 December 2015; accepted 22 February 2016; published 25 February 2016

Copyright © 2016 by authors and Scientific Research Publishing Inc. This work is licensed under the Creative Commons Attribution International License (CC BY). http://creativecommons.org/licenses/by/4.0/

Abstract

The sugarcane transport system plays a critical role in the overall performance of Australia's sugarcane industry. An inefficient sugarcane transport system interrupts the raw sugarcane harvesting process, delays the delivery of sugarcane to the mill, deteriorates the sugar quality, increases the usage of empty bins, and leads to the additional sugarcane production costs. Due to these negative effects, there is an urgent need for an efficient sugarcane transport schedule that should be developed by the rail schedulers. In this study, a multi-objective model using mixed integer programming (MIP) is developed to produce an industry-oriented scheduling optimiser for sugarcane rail transport system. The exact MIP solver (IBM ILOG-CPLEX) is applied to minimise the makespan and the total operating time as multi-objective functions. Moreover, the so-called Siding neighbourhood search (SNS) algorithm is developed and integrated with Sidings Satisfaction Priorities (SSP) and Rail Conflict Elimination (RCE) algorithms to solve the problem in a more efficient way. In implementation, the sugarcane transport system of Kalamia Sugar Mill that is a coastal locality about 1050 km northwest of Brisbane city is investigated as a real case study. Computational experiments indicate that high-quality solutions are obtainable in industry-scale applications.

Keywords

Train Scheduling, Rail Transportation, Sugarcane, Mixed Integer Programming, Heuristics

1. Introduction

With around \$2.0 billion in export earnings, Australia is the world's third largest exporter of raw sugar. In

*Corresponding author.

Australia, there are about 380,000 hectares, 24 mills and 4400 sugarcane farming entities growing sugarcane. Moreover, around 85% of exported raw sugar production is from Queensland (a northeast state of Australia). According to Australian Sugar Milling Council [1], the sugar industry employs over 16,000 people across the growing, harvesting, milling and transport sectors, among which the transport sector is a critical part of the whole process due to the significance of transporting the sugarcane crop between farms and mills in time. In a practical sugarcane transport system, a daily schedule is implemented to meet the demands from both the harvesters and the mills. According to the feedback from our industry partners, over 35% of the total cost of sugarcane industry in Australia is incurred by sugarcane transportation.

The sugarcane transport system is a complex industrial management system, as it needs to schedule a large set of locomotives, bins, train runs, railway sections with satisfaction of specific demands from harvesters and the mills. A typical sugarcane railway network comprises single-track sections and multiple-track sections; and performs two main tasks: delivering the empty bins from the mills to the harvesters at farms; and collecting the full bins of sugarcane to the mills. Moreover, integration of several different sub-modules such as harvesting, delivering, unloading and milling is a requirement in the whole supply chain of the Australian sugar industry. The implementation of more efficient schedules is essential to reduce the overall cost and remove the negative effects on the sugar production system. For example, optimising the delivery and collection times can result in significant improvements on the throughput of the harvesters and the mills.

A short literature review related to sugarcane train scheduling is given as follows. Abel *et al.* [2] reported on the first automatic scheduler generator for a sugarcane railway system in Australia. This scheduler evolved into SRI's ACRSS automatic scheduler [3] and remained the best tool available for this purpose [4]. Nevertheless, its schedules invariably require considerable modification before implementation. The models of Masoud *et al.* [5]-[8], including the additional timely delivery and collection model elements, were used to test the model and to evaluate the performance of solution techniques. The objective function in this investigation only aimed to minimising the total running time. Masoud *et al.* [9] presented a case study involving a transport system with seven harvesters operating. This harvesting scenario was based on a subset of the Kalamia mill transport system is very complicated especially where there exists only a single track in a jaunting corridor. Li *et al.* [11] dealt with a green train scheduling model and implemented it to sugarcane industry. Li *et al.* [12] developed a method to produce an optimised schedule for minimising the delay of sugarcane bins, the number of locomotives, and etc. Masoud *et al.* [13] solved an advanced sugarcane railway scheduling optimisation model by constraint programming and metaheuristic algorithms. Burdett [14] developed advanced capacity analysis models for railway systems.

As most of publications in train scheduling are theoretic, one main contribution of this paper is to develop a real-time optimiser to produce an automatic scheduler for a sugarcane rail transport system, which is adapted as an extended parallel-machine job shop scheduling systems with blocking constraints [15]-[18]. Thus, the proposed mathematical model of the sugarcane transport system is formulated by mixed integer programming (MIP) in a standard way. Moreover, this extended model includes the additional real-time sugarcane transport constraints such as delivering and collecting bins through the rail system. Regarding the development of efficient solution techniques, the second main contribution of this paper is to propose a set of heuristic algorithms in efficiently solving this complicated sugarcane train scheduling problem. Another main contribution is to present a case study based on the data of Kalamia Sugar Mill in Northern Queensland and to conduct a sensitivity analysis in terms of key parameters [19] [20].

The outline of this paper is as follows. In Section 2, a sugarcane rail transport scheduling system is described and its main problem properties are analysed. In Section 3, a MIP model is developed to formulate the sugarcane rail transport scheduling problem. In Section 4, some heuristic solution approaches are presented to solve this complicated optimisation problem in an efficient way. Computational experiments and sensitivity analysis are reported in Section 5. We conclude this paper in the last section.

2. Problem Description

The sugarcane rail transport system applies a daily schedule to satisfy the requirements of the mills and the harvesters. Due to the potential negative effects such as interruptions to the raw sugar production process; delaying the supply of sugarcane to the mills and deteriorating the sugar quality, the sugarcane rail transport system needs to deal with many challenges by developing a mathematical model to optimise the real system. The railway system can generally operate for 24 hours a day, while the harvesting period is limited to about 12 hours each day. A train run consist of a series of operations, which involve activities such as traversing a track section, collecting bins, loading and unloading. In a crossing section, a conflict may occur when one operation may be in the outbound direction and the other may be in the inbound direction.

Figure 1 shows a sample rail network including a set of single-track sections and multiple-track sections that are regarded as crossing loops or sidings (Masoud, 2012). **Figure 1** has seven track sections, where sections $s_{2,1}$, $s_{5,1}$ and $s_{7,1}$ contain sidings (for storing empty and full bins for the harvesters). In addition, terminal and intermediate segments are defined in **Figure 1**. In the terminal segments, the train can travel outbound and then immediately travel inbound without any interruption. For intermediate segments, as shown in **Figure 1**, the train may go to visit other segments like terminal segment 2 or 3 after traversing intermediate segment 1 in the outbound direction, and return in the inbound direction to visit the intermediate segment in a reverse order. If blocking conditions are occurred in an intermediate segment, this segment would be blocked during the time period of implementing the outbound and inbound operations on it. The blocking time includes the interruption period between a pair of operations in the outbound and the inbound directions when the train is in either segment 2 or segment 3. As a result, the utilisation efficiency of the intermediate segment is further decreased, resulting from the longer blocking time on this segment.

To clarify these issues, assume that the train k_1 run includes the path, $Mill \cdot s_{1,1} \cdot s_{2,1} \cdot s_{3,1} \cdot s_{6,1} \cdot s_{7,1} \cdot s_{6,1} \cdot s_{3,1} \cdot s_{2,1} \cdot s_{1,1} \cdot Mill$, where outbound operations $O_1 \cdot O_2 \cdot O_3$ are implemented on the intermediate segment. Outbound operations $A \cdot 5$ and inbound operations $O_6 \cdot O_7$ are implemented on terminal segment 3 continuously. Inbound operations $O_8 \cdot O_9 - O_{10}$ are implemented on the intermediate segment during use of k_1 , to satisfy the safety conditions, means this segment is blocked during the time period of implementing the operations $O_1 - O_2 \cdot O_3 - O_8 \cdot O_9 - O_{10}$. This period includes the time of operations $O_4 \cdot O_5 - O_6 - O_7$ since the operations of each segment, the waiting time of the train k_2 to use the intermediate segment equals the time period of implementing the intermediate segment, the waiting time of the train k_2 to use the intermediate segment equals the time period of implementing of that segment.

The proposed model increases the utilisation efficiency of segments by assuming that intermediate segments have been given separate segment numbers for the outbound and inbound directions (segments 1 and 4 in **Figure 2**). Segment 1 includes the outbound operations O_1 - O_2 - O_3 and segment 4 includes the inbound operations O_8 - O_9 - O_{10} .



In the outbound and inbound directions of train k_1 , segment 1 and segment 4 are blocked respectively. As a

Figure 1. A sample sugarcane rail network with three sidings for delivering and collecting

88



Figure 2. A single sugarcane rail network after applying model.

result, the waiting time of train k_2 is reduced to equal the time period of implementing the outbound operations O_1 - O_2 - O_3 on segment 1 by train k_1 . If k_1 is in the inbound direction, the waiting time of k_2 to catch segment 4 is equal to the time period of the inbound operations O_8 - O_9 - O_{10} of train k_1 . Blocking segment 1 does not automatically mean that the intermediate segment is blocked completely, since segment 4 can be used by another train k_2 while train k_1 is using segment 1. This conflict is addressed by the proposed algorithms of the solution approach in section 3 distinguishing between the segment types to prevent using one physical segment by more than one train at the same time.

The efficiency of the blocking segment constraints can be increased further in the proposed models by considering the passing loops in each rail branch (a long segment). Any blocking for any segment which includes a passing point can increase the waiting time of the system and then increase the operating cost and decrease the efficiency of rail section utilisation. Passing points can be passing loops (no activities; no delivering and no collecting) or a long siding (delivering or collecting) with passing loop and can allow for more than one train to pass at the same time.

2.1. Passing Loop without Storage

Each branch which includes a passing loop can be divided into two segments to increase the utilisation of this branch and reduce the waiting time of any train at this branch. As a result, the blocking segment is applied to each part that does not include a passing loop. For example in Figure 3, branch B_1 includes a passing loop, so two segments (A_1 and A_2) are included in it where each segment includes some sections. The passing loop has two parallel tracks (C_1 and C_2) without storage. Branch B_2 has no passing loop so the whole branch is considered as one segment.

2.2. Passing Loop with Storage

Siding types in sugarcane rail system are divided into big sidings that can allow for more than one train to pass and small sidings which do not allow for more than one train to pass at the same time. Small sidings can have a double track section, where one of them is used for sugarcane storage and another for a passing train (only one train at a time). The big sidings can have more than two tracks where one is used for sugarcane storage and other tracks for more than one train passing at a time (parallel machines). Each track in this siding can be considered as one segment that includes one section as shown in Figure 4.

Figure 4 shows a big siding (storage with passing loop) that has a triple section where each section can be a segment and allow for passing trains. Each segment includes only one section where Segments 3, 4 and 5 include sections $s_{3,1}$, $s_{4,1}$, $s_{5,1}$ respectively, implying that blocking segments in this case includes blocking sections.



Figure 3. Rail branch includes passing loop.





3. Mathematical Programming

The sugarcane rail transport system was formulated as a multi-objective optimisation model considering three main operations: harvesting, transporting and milling. The model involves integration between these operations with a large number of constraints to produce an optimal automated scheduler for the sugarcane rail transport system satisfying many objectives at the same time. The transporting operation is the important link between milling and harvesting in an integrated framework. The integrated framework was developed by constructing meaningful relationships between these operations to build an integrated system. The sugarcane rail scheduling problem is mathematically formulated by MIP. The proposed MIP models' objective functions deal with minimising the makespan objective and the total waiting time together. The models' constraints include rail operation constraints and sugarcane system constraints. Rail operation constraints are related to trains passing on the rail network and include precedence, order of rail segments, train runs, passing priority, and blocking constraints. The sugarcane transport system constraints include train capacity, siding capacity, mill capacity, empty and full bin requirements, harvester rates, and harvesting times.

Parameters:

- K Number of locomotives
- k,k' Index of locomotives; $k = 1, \dots, K$ & $k' = 1, 2, \dots, K$
- Ε Number of segments
- Index of a segment; $e = 1, \dots, E$ е
- S Total number of sections for all segments
- Index of section sat segment e S_e
- o, o' Index of operations; $o = 1, 2, \dots, O$ & $o' = 1, 2, \dots, O$
- R Number of locomotives runs
- Index of runs of each locomotive; $r = 1, 2, \dots, R$ & $r' = 1, 2, \dots, R$ r,r'
- Processing time of operation o of locomotive k on section s at segment eg kos
- A large enough positive number A_c
- \emptyset_{s_e} Total allotment of bins on each section
- Siding capacity p_k
- Capacity of train k of empty bins, Capacity of train k of full bins f_k
- H_{rate_s} Harvester rate at siding s
- H_{start} Harvester start time at siding s
- Variables:

 $t_{k_ros_e}$ Starting time of locomotive kin run r for operation o on section s on segment e

 $B_{k_ros_n}$ Number of full bins collected from siding s by locomotive k during operation o and run r on segment e

Number of empty bins delivered for siding s by locomotive k during operation o and run r on segment e $\alpha_{k,os}$

$$X_{k_{r}os_{e}} = \begin{cases} 1, & \text{if locomotive } k \text{ assigned to sections on segemnt } e \text{ during run } k \\ 0, & \text{otherwise} \end{cases}$$

if
$$k$$
 and k' are processed on section s on segment e and

$$Z_{k_rk'_rs_e} = \begin{cases} 1, & \text{if } k \text{ and } k' \text{ are processed on section } s \text{ on segment } e \text{ and} \\ & \text{locomotive } k \text{ of run } r \text{ precedes locomotive } k' \text{ of run } r' \\ 0, & \text{othewise} \end{cases}$$

 $\beta_{k_r s_e s'_{e'}} = \begin{cases} 1, \\ 0, \end{cases}$ if locomotive k uses segemnt e before segemnt e' during run r

otherwise

 $\lambda_{k_r} = \begin{cases} 1, \\ 0, \end{cases}$ if run r is assigned for locomotive k

otherwise

 $q_{k_r o s_e} = \begin{cases} 1, \\ 0, \end{cases}$ if the operation o of locomotive k requires section s during run r on segemnt eotherwise

C_{max} Makespan.

Objective Functions:

Equations ((1) and (2)) are defined together to minimise the makespan and the total operating time (TOT) for all train runs in the system.

$$\operatorname{Min} C_{\max} = \operatorname{Min} \left(\max_{k} \sum_{s=1}^{S} \left(t_{k_{R}Os_{E}} + g_{kOs_{E}} \right) q_{k_{R}Os_{E}} \lambda_{k_{R}} \right)$$
(1)

$$\operatorname{Min}(TOT) = \operatorname{Min}\left(\sum_{k=1}^{K}\sum_{r=1}^{R}\sum_{s=1}^{E}\sum_{s=1}^{S} \left(t_{k_{r}Os_{e}} + g_{kOs_{e}}\right)q_{k_{R}Os_{E}}\lambda_{k_{R}} - t_{k_{r}1s_{e}}q_{k_{r}1s_{e}}\lambda_{k_{r}}\right)$$
(2)

Constraints:

Equation (3) ensures operation o of locomotive k should be after operation o + 1 of locomotive k on section s of segment e.

$$\left(t_{k_r o s_e} + g_{k o s_e}\right) q_{k_r o s_e} \lambda_{k_r} \le t_{k_r (o+1) s_e} q_{k_r (o+1) s_e} \lambda_{k_r} \quad \forall k, r, o, s, e.$$

$$\tag{3}$$

Equations (4) and (5) define the scheduling relationship of locomotives k and k' on section s of segment e.

$$t_{k'_{r}o's_{e}} \ge t_{k_{r}os_{e}} + \sum_{o=1}^{O} \sum_{s=1}^{S} g_{kOs_{e}} + V(Z_{k_{r}k'_{r}s_{e}} - 1) \quad \forall k, k', k \neq k', o, o', r, r', e.$$
(4)

$$t_{k_{r}os_{e}} \ge t_{k_{r}'o's_{e}} + \sum_{o'=1}^{O} \sum_{s=1}^{S} g_{k'o's_{e}} - V(Z_{k_{r}k_{r}'s_{e}}) \qquad \forall k, k', k \neq k', o, o', r, r', e.$$
(5)

Equation (6) defines the blocking constraints in the whole railway system.

$$\sum_{k'=1}^{K} \sum_{e=1}^{E} \sum_{s=1}^{S} t_{k'_{r}o's_{e}} b_{k_{r}k's_{e}} q_{k'_{r}o's_{e}} \lambda_{k'_{r'}} \geq \sum_{e=1}^{E} \sum_{s=1}^{S} t_{k_{r}os_{e}} q_{k_{r}os_{e}} \lambda_{k_{r}} \qquad \forall k, r, r', o, o'$$

Equations (7) and (8) ensure the deliveries and collections of empty and full bins equal the allotment at each siding.

$$\sum_{k=1}^{K}\sum_{r=1}^{R}\sum_{e=1}^{E}\sum_{o=1}^{O}\alpha_{k_{r}os_{e}}q_{k_{r}os_{e}}\lambda_{k_{r}} = A_{se} \quad \forall s.$$

$$\tag{7}$$

$$\sum_{k=1}^{K} \sum_{r=1}^{R} \sum_{e=1}^{E} \sum_{o=1}^{O} B_{k_r o s_e} q_{k_r o s_e} \lambda_{k_r} = A_{se} \quad \forall s.$$

$$\tag{8}$$

Equation (9) satisfies the siding capacity.

$$\alpha_{k_r o s_e} + B_{k_r o s_e} \le \emptyset_{s_e} \qquad \forall s \tag{9}$$

Equation (10) requires the release of empty bin delivery conditions in the outbound direction.

$$\alpha_{k_r o' s_e} \le p_k \ \& \ B_{k_r o' s_e} = 0 \quad \forall k, r, o', s, e \ \& \ o' \le o \tag{10}$$

Equation (11) ensures the collection of full bins in the inbound direction.

$$B_{k_r o s_e} \le f_k \& \alpha_{k_r o' s_e} = 0 \quad \forall k, r, o', s, e \& o' > o$$

$$\tag{11}$$

Equation (12) ensures no delay time in the first run.

$$\alpha_{k_{R}os_{e}} \ge \left(t_{k_{1}os_{e}} - H_{start_{s}}\right) * H_{rate_{s}}$$
⁽¹²⁾

Equation (13) ensures no delay time in delivering to the harvesters. .

$$\alpha_{k_r o s_e} \ge \left(t_{k_{(r+1)} o s_e} - t_{k_r o s_e} \right) * H_{rate_s}$$

$$\tag{13}$$

Equations ((14) and (15)) ensure that the number of full bins collected is no more than the produced number of full bins at each siding at each run.

$$B_{k_1 o s_e} \le \left(t_{k_1 o s_e} - H_{start_s} \right) * H_{rate_s} \tag{14}$$

$$B_{k_{(r+1)}os_e} \le \left(t_{k_{(r+1)}os_e} - t_{k_ros_e} \right) * H_{rate_s}$$
(15)

4. Solution Techniques

Some previous solution techniques developed to solve the single objective function model are extended and adapted to solve the multi-objective model.

4.1. Siding Neighbourhood Search (SNS) Algorithm

The SNS algorithm was developed to build different paths and routes for each train from any visited point using the idea of neighbourhood change. Active siding (with harvester) neighbourhoods are considered to create new paths for the trains through the sugarcane rail network. This algorithm includes the following main steps:

Begin

Step 1. Construct the locomotive runs by assigning the first active siding to visit by the train that has the most urgent need for empty bins considering blocked paths by bins or trains.

Step 2. Construct neighbours list for the visited siding using the three main criteria:

Set the shortest distance from the current siding, to help in reducing the total operating time of each trip.

Set the urgent need for delivering empty bins, to reduce the harvesting delays.

Set the urgent need for collecting full bins because of siding capacity is filled.

Step 3. Select the siding that will be visited by the train from the neighbours list.

Step 4. Update the neighbours list for each siding by removing the sidings that finished their daily allotment. **Step 5.** Repeat the second step until the daily total allotments of all sidings are completed.

End

4.2. Sidings Satisfaction Priorities (SSP) Algorithm

This algorithm was developed to integrate with *Siding Neighbourhood Search* to produce a global list of all visit priorities for all active sidings in the system. A global list includes all neighbour lists that will be visited by trains in the SNS algorithm to and remove any conflicts between sidings by the filtering operation for the different neighbour lists. The filtering operation takes account of the sugarcane rail objectives and constraints. For instance, two trains visiting one active siding at the same time is not allowed. This list is always updated to provide the traffic office any information about the train trips or the expected paths for each train while processing the trip. The SSP algorithm consists of the following main steps:

Begin

Step 1. Construct a global list including all neighbours for all active sidings in SNS algorithm.

Step 2. Set the active sidings list that has high priority to visit.

Step 3. Eliminate any conflicts for the siding visits that will be selected from the high priority list.

Step 4. Update the high priority list and remove visited sidings from the list.

Step 5. *Update the global list.*

End

4.3. Rail Conflict Elimination (RCE) Algorithm

As a train conflict through the single rail track is a serious issue, the Rail Conflict Elimination (RCE) Algorithm is developed to resolve complex situations related to the conflicts through the rail network. Such a conflict will affect the safety and the efficiency of the system when two trains wish to pass through the same section at the same time. In this case, the potential conflicts are resolved by the RCE algorithm, as illustrated in **Figure 5** and **Figure 6**.

The main procedure of the RCE algorithm is described below.

Begin

Step 1. Select section s. Step 2. Set trains k and k' require section s

Step 3. Set the finish times of trains k and k' on same section s.

Step 4. Get the conflict point (s, t) on section s during time t.
Step 5. If the finish time of train k is less than the finish time of train k', thentrain k will be selected to be scheduled first on section s;
Step 7. Else, train k' is selected first.

Step 8. *Backtrack choosing another section.* **End**

5. Computational Results

Five trains on a part of a rail network consisting of 22 rail sections including single, double and triple tracks are shown in **Figure 7**. **Figure 7** shows 17 runs from the five trains where the vertical axis shows the different track sections while the horizontal axis shows the time in minutes. This type of graph shows the conflict points, the







Figure 6. Solving the conflicts by a slow train technique.





locations where one train has to wait for another to pass and the length of waiting time. **Figure 7** also displays the start time and finish time of each trip, the number of trains used in the system and the time taken in travelling through each section and for delivering and collecting.

Figure 7 shows a conflict point at 150 minute mark between the red and pale blue trains occupying in section 7. The pale blue train wants to go from section 6 through section 7 to section 14. The red train has to go from section 11 through section 7 to section 6. In the scenario, the red train is blocked at section 11 until the pale blue train passes through section 7 and moves into section 14 before the red train use section 7. In practice, many factors can affect the efficiency of the sugarcanerail systems such as number of trains and siding capacity. In this paper, the makespan and the total operating time of the sugarcanerail systems are considered in sensitivity analysis. In terms of the data provided by Kalamia Sugar Mill in Northern Queensland, there are 87 sections, 33 sidings with 4789 bins as a total allotment. The maximum makespan of the system is limited as 72 hours. In a sensitivity analysis, different numbers of trains are tested by comparing the values of the makespans and the total operating times of the obtained schedules.

Figure 8 shows the makespan value and total operating times in minutes for different number of trains where the trains speed is a fixed value, 30 km/h, and the capacity of the sidings is limited. The makespan of 2 trains is 5698.98 minutes and the total operating time equals 9343.2 minutes and the total number of runs = 72 trips. In case of using 2 trains, while the total operating time is low, the makespan is greater than the maximum makespan of the system, namely, three days. Thus, using 2 trains in the system is not sufficient to satisfy the total allotment, implying that the case of 2 trains is not applicable. By increasing the number of trains need to run 84 trips in the total operating time is 10,730.88 minutes. Even when the number of trains is increased to be 4 trains, the total allotment is not satisfied as the makespan is 4371.96 minutes. The total number of trips is 102 with the total operating time of 12,413.46 minutes. As a result, the cases of 3 or 4 trains are also not applicable to meet such a daily demand.

As analysed in **Figure 8**, the case of 5 trains is able to reduce both the makespan and the total operating time, where the makespan of 4186.98 minutes is less than the maximum makespan. Consequently, using 5 trains is the most applicable solution in this case study, as the total operating time is also optimised. The total number of trips 114 trips in total operating time is 13,100.34 minutes. **Figure 9** shows the Gantt chart of 5 trains scheduling

and the total trips that are used to satisfy the demand of the system for three days. When increasing the total number of trains from 6 to 12, the makespan values keep steady but the total operating times are increasing. This is because the total blocking time of all train runs may be increased due to the use of additional trains through the rail network.



Figure 8. Sensitivity analysis of makespan and total operating time by different numbers of trains.



Figure 9. Gantt chart of sugarcane train schedule using 5 trains.

6. Conclusion

The sugarcane transport system is very complicated as the mathematical formulation model has a large set of constraints and variables even when the problem size is small. In the proposed MIP model, the system constraints are classified into two main categories: 1) constraints related to the rail operations' feasibility due to passing of trains without accidents; 2) constraints related to the capacities of sidings and empty/full bins. To efficiently find the near-optimal schedule, Siding Neighbourhood Search (SNS) and Sidings Satisfaction Priorities (SSP) algorithms are developed and integrated in the solution procedure. A Rail Conflict Elimination (RCE) Algorithm is also adapted to resolve the train conflicts through the single rail track with the consideration of train passing constraints. Computational experiments show that the outputs are satisfactory to optimise the performance of the sugarcane transport systems system. Regarding the future research directions, more dynamic and stochastic elements will be considered and incorporated in a reactive sugarcane railway scheduling problem. Moreover, the proposed model in this paper will be extended to investigate an integrated train-track transportation dynamics [21]-[23] in a supply chain and applied to Australian sugarcane industry.

Acknowledgements

The authors acknowledge the funding support of the Sugar Research and Development Corporation, MSF Sugar Limited, Sucrogen Limited, Proserpine Co-operative Sugar Milling Associated Limited, Mackay Sugar Limited, Bundaberg Sugar Limited and Isis Central Sugar Mill Co. Ltd.

References

- [1] Australian Sugar Milling Council. (2014). http://asmc.com.au/
- [2] Abel, D.J., Stark, K.P., Murry, C.R. and Demoulin, Y.M. (1981) A Routing and Scheduling Problem for a Rail System: A Case Study. *Journal of the Operational Research Society*, **32**, 767-774. <u>http://dx.doi.org/10.1057/jors.1981.154</u>
- [3] Pinkney, A.J. and Everitt, P.G. (1997) Towards an Integrated Sugarcane Transport Scheduling System. Proceedings of the Australian Society of Sugar Cane Technologists, 19, 420-425.
- [4] Pinkney, A.J. and Kent, G.A. (2014) Real Time Harvest and Transport System. Syndicated Report No. 6/14, Sugar Research Institute, Australia.
- [5] Masoud, M., Kozan, E. and Kent, G.A. (2010) Scheduling Techniques to Optimise Sugarcane Rail Systems. ASOR Bulletin, **29**, 25-34.
- [6] Masoud, M., Kozan, E. and Kent, G.A. (2010) A Constraint Programming Approach to Optimise Sugarcane Rail Operations. *Proceedings of the 11th Asia Pacific Industrial Engineering and Management Systems Conference*, Malaysia, 7-10 December 2010, Vol. 147, 1-7.
- [7] Masoud, M., Kozan, E. and Kent, G.A. (2010) A Comprehensive Approach for Scheduling Single Track Railways. *The Annual Conference on Statistics, Computer Sciences and Operations Research*, Egypt, Cairo, 45, 19-30.
- [8] Masoud, M. (2012) Scheduling Techniques to Optimise Rail Operations. PhD Thesis, Queensland University of Technology, Brisbane.
- [9] Masoud, M., Kozan, E. and Kent, G.A. (2011) A Job-Shop Scheduling Approach for Optimising Sugarcane Rail Operations. *Flexible Services and Manufacturing Journal*, 23, 181-196. <u>http://dx.doi.org/10.1007/s10696-011-9092-5</u>
- [10] Hu, H., Li, K. and Xu, X. (2013) A Multi-Objective Train-Scheduling Optimization Model Considering Locomotive Assignment and Segment Emission Constraints for Energy Saving. *Journal of Modern Transportation*, 21, 9-16. <u>http://dx.doi.org/10.1007/s40534-013-0003-1</u>
- [11] Li, X., Wang, D., Li, K. and Gao, Z. (2013) A Green Train Scheduling Model and Fuzzy Multi-Objective Optimization Algorithm. Applied Mathematical Modelling, 37, 2063-2073. <u>http://dx.doi.org/10.1016/j.apm.2012.04.046</u>
- [12] Li, X., Chien, C.F., Yang, L.X. and Gao, Z. (2014) The Train Fuelling Cost Minimization Problem with Fuzzy Fuel Prices. *Flexible Service and Manufacturing Journal*, 26, 249-267. <u>http://dx.doi.org/10.1007/s10696-012-9159-y</u>
- [13] Masoud, M., Kozan, E. and Kent, G.A. (2015) Hybrid Metaheuristic Techniques for Optimising Sugarcane Rail Operations. International Journal of Production Research, 53, 2569-2589. <u>http://dx.doi.org/10.1080/00207543.2014.957870</u>
- [14] Burdett, R.L. (2015) Multi Objective Models and Techniques for Analysing the Absolute Capacity of Railway Networks. *European Journal of Operational Research*, 245, 489-505. <u>http://dx.doi.org/10.1016/j.ejor.2015.03.020</u>
- [15] Kozan, K. and Liu, S.Q. (2012) A Demand-Responsive Decision Support System for Coal Transportation. *Decision Support Systems*, 54, 665-680. <u>http://dx.doi.org/10.1016/j.dss.2012.08.012</u>

- [16] Liu, S.Q. and Kozan, E. (2009) Scheduling Trains as a Blocking Parallel-Machine Job Shop Scheduling Problem. Computers and Operations Research, 36, 2840-2852. <u>http://dx.doi.org/10.1016/j.cor.2008.12.012</u>
- [17] Liu, S.Q. and Kozan, E. (2011) Optimising a Coal Rail Network under Capacity Constraints. Flexible Services and Manufacturing Journal, 23, 90-110. <u>http://dx.doi.org/10.1007/s10696-010-9069-9</u>
- [18] Liu, S.Q. and Kozan, E. (2011) Scheduling Trains with Priorities: A No-Wait Blocking Parallel-Machine Job-Shop Scheduling Model. *Transportation Science*, 45, 175-198. <u>http://dx.doi.org/10.1287/trsc.1100.0332</u>
- [19] Kent, G.A. and Kozan, E. (2012) Reducing Transport Costs through the Automation of Schedule Generation. Syndicated Report 1/12, Sugar Research Institute, Australia.
- [20] Kent, G.A. and Pinkney, A.J. (2015) Real Time Harvest and Transport System. Report for Milestone 3, SRA Project Code 2014/037, Sugar Research Australia.
- [21] Liu, D., Lechner, B. and Freudenstein, S. (2016) Evaluation of High-Speed Track Quality Using Dynamic Simulation of Vehicle-Track Interaction. *Journal of Transportation Technologies*, 6, 9-14. <u>http://dx.doi.org/10.4236/jtts.2016.61002</u>
- [22] Zhai, W., Wang, K. and Cai, C. (2009) Fundamentals of Vehicle-Track Coupled Dynamics. *Vehicle System Dynamics*, 47, 1349-1376. <u>http://dx.doi.org/10.1080/00423110802621561</u>
- [23] Grunow, M. and Gu, H. (2007) Supply Optimization for the Production of Raw Sugar. International Journal of Production Economics, 110, 224-239. <u>http://dx.doi.org/10.1016/j.ijpe.2007.02.019</u>