

Preparation and Characterization of Holmium-Beta-Cyclodextrin Complex

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Abstract

The purpose of this study was to prepare and characterize of holmium-beta-cyclodextrin complex (Ho- β -CD) in order to increase the solubility and stability of Holmium. To achieve this goal, Ho- β -CD complex was prepared by evaporation method of holmium and beta cyclodextrin solutions in a proportion (1:1) and (1:3), respectively. Infrared (IR) and Raman spectroscopy, X-Ray Diffraction were performed to identify the complex. Morphology of the Ho, β -CD, and Ho- β -CD were studied using Scanning Electron Microscopy (SEM).

Keywords

Holmium, β -Cyclodextrin, Inclusion Complex Ho- β -CD

1. Introduction

Lanthanide series may be divided into two groups: the light lanthanide elements (La, Ce, Pr, Nd, Pm, Sm, Eu) and the heavy rare elements (Gd, Tb, Dy, Ho, Er Tm Yb, Lu) [1]. Lanthanides are elements in which the f orbitals are partly or completely filled, while the outermost p and d orbitals are empty. Since the f orbitals do not have as much effect on the chemical properties as the p, and d, they are chemically very similar. The chemical characteristics of the lanthanides area dominated by their +3 oxidation state [1] [2].

Recently, in the pharmaceutical industry has appeared on the some novel metal drugs containing lanthanide cations with potential pharmacological applications essentially based on its similarity to calcium. The lanthanides for their size and electronic structure have some unique characteristics that make them suitable for certain therapeutic purposes and as diagnostic [3].

Among the radionuclide used for cancer therapy, ^{131}I , ^{90}Y , ^{188}Re , ^{166}Ho , or ^{153}Sm are applied for the treatment of a multitude of malignant disorders; they have been used for cancer therapy, palliation of bone pain arising from secondary metastases, radio-synovectomy or intravascular radiation therapy [4].

^{166}Ho is used in nuclear medicine for the therapy of arthritis by radiation synovectomy for bone marrow ablation, and in the study of immunospecific radiopharmaceuticals, among others [5]-[7].

Several lanthanide complexes formed with acyclic and cyclic ligands have been prepared and evaluated for radiopharmaceutical applications [8]. In order to get information, it is also important to prepare holmium complexes anchored by cyclodextrins.

Cyclodextrins (CDs) are cyclic oligosaccharides (α -1,4)-linked of α -D-glucopyranose containing a relatively hydrophobic central cavity and hydrophilic outer surface. The most common cyclodextrins are α -cyclodextrin, β -cyclodextrin, and γ -cyclodextrin which contain 6, 7 and 8 glucopyranose units respectively. The melting point of α , β and γ -cyclodextrin are between 240°C and 265°C consistent with their stable crystal lattice structure [9]-[12]. The complexes formed by the CDs may favorably alter stability (volatile materials), solubility and bioavailability of encapsulated compound. Despite its high solubility in water, the internal cavity of cyclodextrins is non-polar and these compounds are capable of guest host complexes by inclusion of hydrophobic molecules [13].

Cyclodextrins are widely used in various fields of pharmaceutical industry such as drug delivery, stabilization of drugs, additives in the biotechnology and analytical methods etc. Cyclodextrins increase the water solubility of poorly soluble drugs and improve their bioavailability. Light thermal and oxidative stability of actives can be improved through the formation of cyclodextrin complexes [9]-[13].

In particular, the β -cyclodextrin, have a limited aqueous solubility (has the highest solubility of the CDs), and their complex formation with lipophilic drugs, and other compounds with limited aqueous solubility, frequently gives rise it [9]. That is why, β -CD to be employed in this research.

In this context, both holmium and beta cyclodextrin hold a distinctive place for all uses and applications that have been mentioned, consequently, is important to prepare inclusion complex holmium-beta-cyclodextrin to improve these pharmaceutical applications mainly.

For these reason, the aim of the present research was to prepare and characterize the inclusion compound Holmium- β -cyclodextrin.

2. Material and Method

2.1. Materials

All the chemical compounds were grade analytical, used as obtained, and solutions were prepared with distilled water. β -cyclodextrin (β -CD), with molecular formula of $\text{C}_{42}\text{H}_{70}\text{O}_{35}$ and molecular weight of 1134.98 g/mol, and holmium nitrate pentahydrate ($\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$) with molecular weight of 382.56 g/mol, both were obtained from Sigma-Aldrich Company, Inc. Ethylene dinitrilotetraacetic acid disodium salt dehydrates (EDTA) and Xylenol orange were obtained from Merck Company.

2.2. Preparation of β -Cyclodextrin and Holmium Solutions

β -cyclodextrin was dissolved in distilled water and stirred for 30 minutes by sonication with Cole Parmer Ultrasonic equipment 8891 (Illinois, USA). The concentration of this solution was 0.002 M.

On the other hand, Holmium Nitrate pentahydrate was dissolved in 10^{-3} M hydrochloride acid. The concentration of holmium ($\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$) in the standard solution was determined by titration with a 0.025 M EDTA solution. Three drops of pyridine and 3 drops of xilenol orange were also added. The holmium concentration in the standard solution was 0.4 M.

2.3. Preparation of the Holmium- β -Cyclodextrin Inclusion Complex

The inclusion complex of holmium with β -cyclodextrin (further abbreviated as Ho- β -CD) was prepared as following: solution of β -cyclodextrin was mixed with standard solution of the holmium in the molar ratio 1:1 Ho: β -CD or 1:3 Ho:3 β -CD, and stirred for 30 minutes by sonication. The resulting solution was slowly evaporated to dryness on a grill heating (Plate-Stirrer, Corning PC-351). Ho- β -CD obtained was washed with water and dried

in an oven LAB-LINE Instrument at 60°C for 2 hours.

The Ho- β -CD inclusion complex was examined by infrared spectroscopy, Raman spectroscopy, X-Ray Diffraction, elemental analysis and scanning electron microscopy (SEM).

2.4. Characterization of Holmium- β -Cyclodextrin Inclusion Complex

Scanning electron microscopy (SEM) and elemental analysis

Surface morphology of Ho- β -CD was evaluated by scanning electron microscopy using a Philips XL30 FEGSEM. A voltage of 5 to 10 kV was applied. Samples of β -cyclodextrin, Holmium Nitrate pentahydrate, and Ho- β -CD were mounted onto aluminium stubs and sputter-coated with a gold layer of about 10 nm. These samples were analyzed by an energy dispersive X-ray spectrometer (EDX).

2.5. Absorption Spectra

A UV-Vis spectrophotometer (Perkin Elmer UV-Vis lambda 10) with 1 cm quartz cells was used for all following spectroscopic studies. The absorption vs. wavelength profiles were obtained in the range of 200 - 700 nm.

2.6. Infrared Spectroscopy

Infrared spectroscopies were recorded on a Nicolet Magna-IR 550 FT-IR spectrometer (Madison, Wisconsin, USA), in the range of 400 - 4000 cm^{-1} . Samples of β -cyclodextrin, Holmium Nitrate pentahydrate, and Ho- β -CD were prepared by mixing with spectroscopy grade KBr grain. The KBr mixture was then pressed into a pellet. In addition to solid state IR experiments, samples were analyzed.

2.7. X-Ray Diffraction Studies

X-Ray Diffraction experiments were carried out by diffraction solid state X-ray equipment with powder diffractometer Siemens D-5000, with copper anode, $\lambda = 1.5406 \text{ \AA}$. The samples of the inclusion complex of Ho- β -CD (1:1), Ho- β -CD (1:3), $[\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}]$, and β -cyclodextrin were placed in a specimen, it was introduced into a goniometer to which a beam made of X-ray, obtaining a graph of intensity against diffraction angle with a sweep of 4° to 70° 2 θ . The results obtained were compared to cards patterns reported by the Joint Committee on Powder Diffraction Standards (JCPDS) to verify the presence of the material studied.

2.8. Raman Spectroscopy

Raman spectroscopy was performed on a Kaiser RXN spectrometer equipped with a 70 mW 785 nm diode laser for excitation, a holographic grating for dispersion and a peltier cooled Andor CCD camera for detection.

Raman spectroscopy was done using a Horiba-JobinYvonLabRamHR VIS high resolution confocal Raman microscope system with 633 nm laser.

3. Results and Discussion

3.1. Scanning Electron Microscopy (SEM) and Elemental Analysis

In **Figure 1**, a representative scanning electron microscopy (SEM) images are shown. We have found that the particles are heterogeneous, smooth, agglomerated surfaces and of different sizes in the ranging 10 to 30 micrometers in all the samples (**Figures 1(a)-(d)**).

In the case of Ho- β -CD can be seen is different from morphology reagents separately. It is obvious that Holmium- β -cyclodextrin Inclusion complex 1:1 and 1:3 are present Ho and β -CD according at differing rates. This suggested the Ho molecules are included in the β -CD inclusion complex.

EDS analysis in 10 different point of each sample to obtain an average of the elements constituting of each of these materials, shows the presence of several elements, the most abundant, holmium, nitrogen, carbon, oxygen, among others. The results are shown in **Table 1**.

The carbon in $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$ is due at CO_2 of the environmental. This compound is very hygroscopic. This effect was observed in the FTIR studies (absorption band 2362.72 cm^{-1}), too.

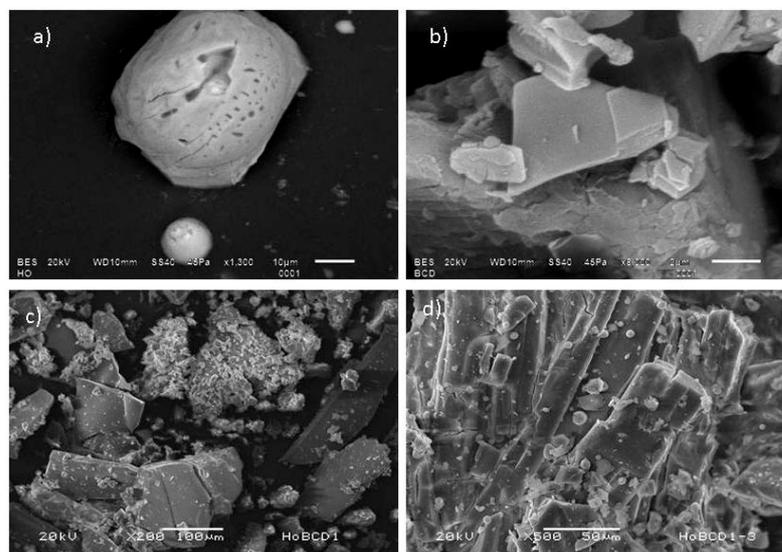


Figure 1. SEM images of (a) Holmium Nitrate Pentahydrate, (b) β -cyclodextrin, (c) Holmium- β -cyclodextrin Inclusion complex 1:1, (d) 1:3.

Table 1. Average values of the elements analysis found in the different samples.

Element	Samples			
	Ho(NO ₃) ₃ ·5H ₂ O	β -CD	Ho- β -CD (1:1)	Ho- β -CD (1:3)
C	15.40 ± 4.5	58.66 ± 1.54	50.86 ± 10.93	57.11 ± 4.20
O	67.04 ± 1.38	41.34 ± 1.54	47.09 ± 9.42	41.56 ± 5.11
Ho	5.45 ± 3.79		2.06 ± 1.89	1.32 ± 1.03
N	12.11 ± 1.40			

3.2. Absorption Spectra

Figure 2 shows the absorption spectra for the reagents (β -cyclodextrin and Ho(NO₃)₃·5H₂O) and Ho- β -CD inclusion complex. In **Figure 2**, it was recorded that β -CD has no absorption in the range 200 - 700 nm.

Absorption spectra shape for Ho(NO₃)₃·5H₂O and Ho- β -CD inclusion complex were similar, but, the absorbance of Ho- β -CD inclusion complex was lower than that of Ho(NO₃)₃·5H₂O alone, due to the formation inclusion complex between Ho(NO₃)₃·5H₂O and β -CD. Similar phenomena have been observed by Kavirajaa *et al.* and Wang *et al.* [14] [15].

3.3. Characterization of the Reactants and the Inclusion Compound Ho- β -CD by Infrared

Figure 3 shows infrared spectra for the reagents (β -cyclodextrin and Ho(NO₃)₃·5H₂O) and Ho- β -CD (1:1) inclusion complex.

The presence or absences of characteristic peaks associated with specific structural groups of the molecules were noted. The frequencies for pure β -cyclodextrin observed at 3395.3 cm⁻¹, 2924.96 cm⁻¹, 1156.52 cm⁻¹, and 1030.16 cm⁻¹ which corresponds to the symmetric and antisymmetric stretching of ν [OH], ν [CH₂], ν [C-C], and bending vibration of ν [O-H] respectively. Meanwhile, IR spectrum of Ho(NO₃)₃·5H₂O (**Figure 3(c)**), displays absorption band at 1633 cm⁻¹ (δ OH of HOH) and 1482, 1384, 1041.6, 819.6 and 747.87 cm⁻¹ due to the nitrate group [6].

Table 2 and **Table 3** have shown the difference in frequencies between Ho(NO₃)₃·5H₂O, β -CD and the Ho- β -CD inclusion complex, respectively. Both tables show some increase in intensity changes, $\Delta\delta$. The increment is due to the insertion of the Ho part into the cavity of β -CD. The decrease in the frequency between the Ho- β -CD inclusion complex and its constituent molecule is due to the changes in the microenvironment which

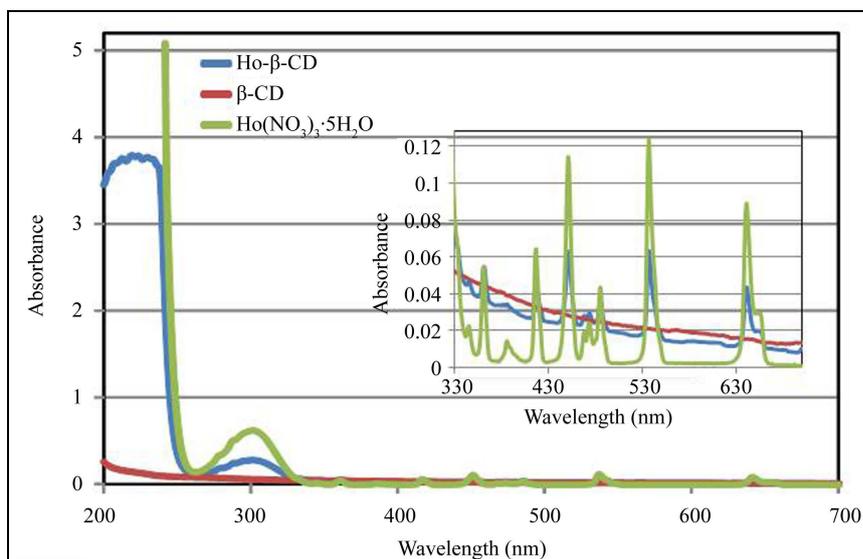


Figure 2. Absorption spectra for the reagents (β -cyclodextrin and $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$) and $\text{Ho-}\beta\text{-CD}$ inclusion complex.

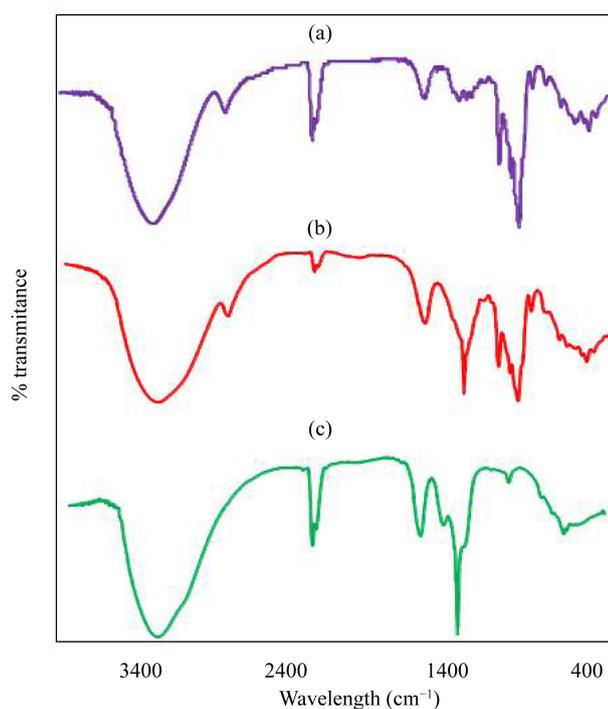


Figure 3. IR spectra in KBr pellet. (a) β -CD, (b) $\text{Ho-}\beta\text{-CD}$, (c) $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$.

lead to the formation of hydrogen bonding and the presence of Vander Waals forces during their interaction to form the $\text{Ho-}\beta\text{-CD}$ inclusion complex.

On the other hand, the FTIR spectrum of the $\text{Ho-}\beta\text{-CD}$ inclusion complex imitated the characteristic peak of the β -CD and the $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$, which can be regarded as a simple superimposition of those host and guest molecules. Thus, the FTIR spectra significantly prove the formation of $\text{Ho-}\beta\text{-CD}$ inclusion complex [14].

Furthermore, the absorption bands 1440, 1374, and 1341 cm^{-1} of the β -CD disappear (**Figure 3(a)**), meanwhile the infrared spectrum for $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$ show an intense absorption band at 1384.6 cm^{-1} (**Figure 3(c)**),

Table 2. Comparison between the frequencies of β -CD and the Ho- β -CD inclusion complex.

Functional group	Wavenumber (cm ⁻¹)		
	β -CD	Ho- β -CD inclusion complex	Changes $\Delta\delta$
ν [OH], Symmetric and antisymmetric	3395.3	3393.69	-1.61
ν [CH ₂],	2924.96	2928.22	-3.26
ν [C-C],	1156.52	1156.86	0.37
ν [O-H] Bending vibration	1030.16	1029.47	-0.69

Table 3. Comparison between the frequencies of Ho(NO₃)₃·5H₂O and the Ho- β -CD inclusion complex.

Functional group	Wavenumber (cm ⁻¹)		
	Ho(NO ₃) ₃ ·5H ₂ O	Ho- β -CD inclusion complex	Changes $\Delta\delta$
ν [OH],	3398.37	3393.69	-4.68
δ [OH of HOH]	1633.04	1638.92	5.68
δ [NO ₃]	1482, 1384.65, 1041.58, 819.61, 747.87		

it is observed in the Ho- β -CD inclusion complex (at 1387 cm⁻¹) (**Figure 3(b)**), which is an indication of the formation of this inclusion complex.

3.4. Characterization of the Reactants and the Inclusion Compound Ho- β -CD by X-Ray Diffraction

Another method commonly used to study the reagents (Holmium Nitrate Pentahydrate and β -cyclodextrin) and Holmium- β -cyclodextrin inclusion complex is XRD. The X ray diffraction spectra of reagents (Ho(NO₃)₃·5H₂O and β -CD) and Ho- β -CD inclusion complex are shown in **Figure 4**. Diffractogram of the Ho- β -CD inclusion complex and pure compounds differ markedly. β -CD showed characteristic peaks at 2θ of 10.9, 12.6, 15.7, 16.9, 18.9, 19.7, 21.1, 22.8, 24.3, 25.8, 27.2, 28.8, 31.91, and 34.70. In the other hand, X-ray diffraction pattern of HO(NO₃)₃·5H₂O in its crystalline form exhibits diffraction peaks at 2θ values of 10.9, 11.2, 14.2, 14.6, 15.6, 16.5, 17.2, 19.4, 22.9, 23.0, 23.8, 24.8, 26.8, 27.2, 28.1, 34.9, 37.9, and 38.9. The XRD pattern of Ho(NO₃)₃·5H₂O and β -CD shows intense and sharp peaks that prove the crystalline nature of the compounds (**Figure 4(a)** and **Figure 4(c)**). XDR pure standards of a) β -cyclodextrin, b) Ho- β -CD, and c) [Ho(NO₃)₃·5H₂O] [16], which was shown in **Figure 4**, revealed several diffraction peaks indicating its crystalline nature. Whereas, Ho- β -CD inclusion complex is characterized by diffraction peaks, which appears in the diffraction angle 2θ at 10.9, 11.99, 12.9, 13.2, 14.12, 15.4, 17.0, 17.64, 17.97, 18.2, 19.9, 20.9, 23.0, 23.8, and 26.1 are differ markedly with the X ray diffraction spectra of reagents [17].

The diffraction pattern of the Ho- β -CD inclusion complex was found to be different than diffraction pattern of pure β -CD and Ho(NO₃)₃·5H₂O. Comparing the pattern for Ho- β -CD inclusion complex with that pure compound marked difference is shown. In complex, the new peaks were found and shift in peak position also where found and have peaks which are superimposition of two individual. The intensity of new peaks confirms complex formation.

3.5. Characterization of the Reactants and the Inclusion Compound Ho- β -CD by Raman Spectroscopy

The insertion of the guest molecule into the cavity of the β -CD will result in the chemicals shift of guest and host molecule in the Raman spectra. In **Figure 5**, Raman spectra at positions 1, 2, and 3 are compared with synthetic and reference materials (β CD, Ho- β CD, and Ho(NO₃)₃·5H₂O). The changes in the peak intensities show the gradual decrease/disappear in β CD/Ho(NO₃)₃·5H₂O amount. The broadening of the Ho- β CD peak, when passing from position 1 and 3 to position 2 across the sample, can be seen.

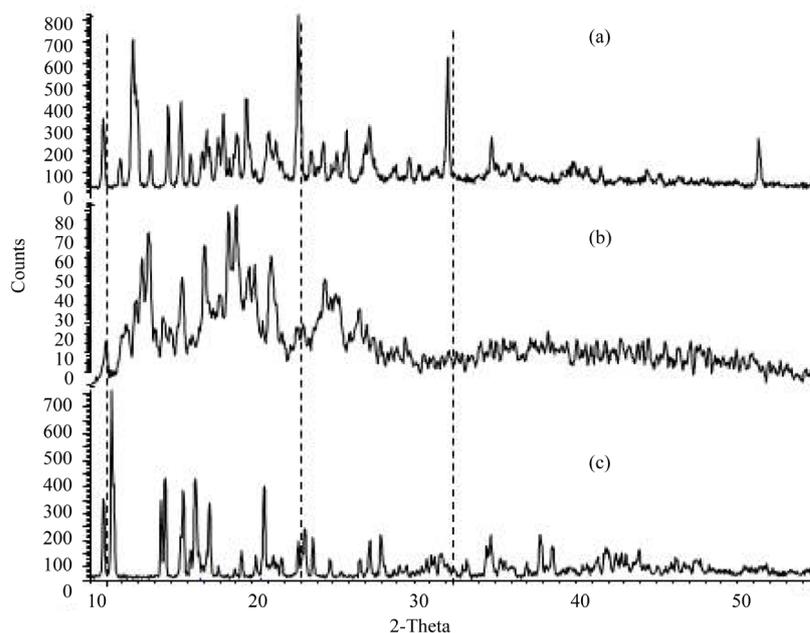


Figure 4. X-ray diffraction analysis of powder samples. (a) β -CD, (b) Ho- β -CD, (c) $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$.

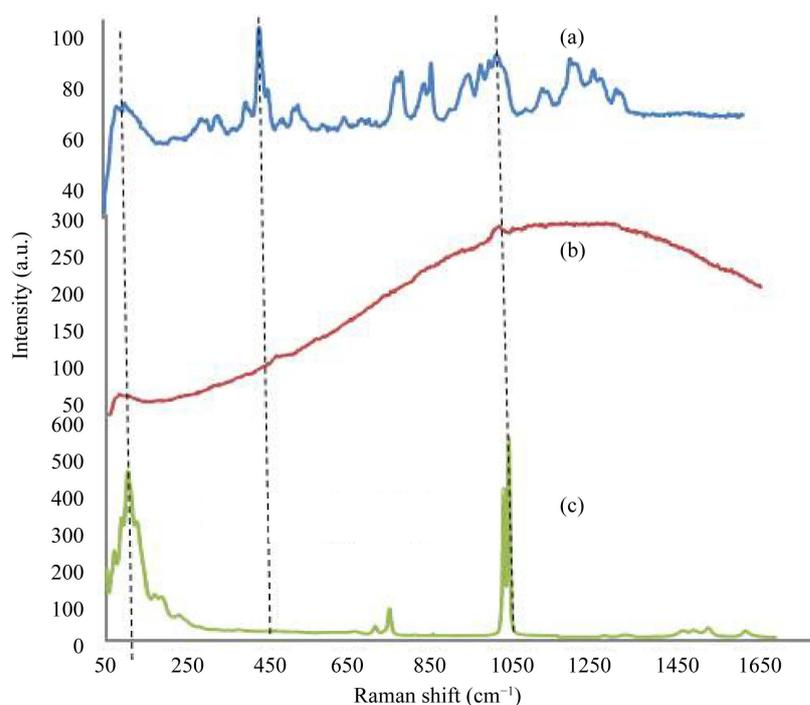


Figure 5. Raman spectra of the β -cyclodextrin inclusion complex β -cyclodextrin-holmium and holmium nitrate pentahydrate free.

The Raman spectrum obtained in the analysis of the of the β -cyclodextrin, inclusion complex Ho- β -CD, and $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$ can be observed in **Figure 5**, in which the Infrared and Raman spectroscopy was performed to ascertain the presence of holmium in the Ho- β -CD inclusion complex. The Raman spectra of $\text{Ho}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$, β -cyclodextrin, Ho- β -CD (1:1) were virtually different (**Figure 5**), which implies that the holmium is surrounded by the β -cyclodextrin. These finding are in agreement with the infrared measurements on Ho- β -CD inclusion

complex that showed the same and different peak characteristic as in the reactive.

4. Conclusion

The formation of Holmium- β -cyclodextrin inclusion complex has been achieved. The morphology of the samples is evaluated, which indicates that the chemical compositions of the inclusion complex formed. FTIR and Raman confirm the presence of Ho in the complex β -CD, while XRD results suggest that the two components form Holmium- β -cyclodextrin inclusion complex. This result opens up excellent opportunity to use these materials in internal selective radiotherapy.

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