# **Fuzzy splicing in precursor-mRNA sequences: prediction of aberrant splice-junctions in viral DNA context**

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### ABSTRACT

RNA splicing normally generates stable splice-junction sequences in viruses that are important in the context of virus mimicry. Potential variability in envelop proteins may occur with point-mutations inducing cryptic splice-junctions, which would remain unrecognized by T-memory cells of higher organisms in vaccine trials. Such aberrant splicejunctions result from evolution-specific non-conservation of actual splice-junction sites due to mutations; as such, locations of splice-junctions in a test DNA sequence could only be imprecisely specified. Such impreciseness of splice-junction locations (or cryptic sites) in a sequence is evaluated in this study via "noisy" attributes (with associated stochastics) to the mutated subspace; and, relevant fuzzy considerations are invoked with membership attributes expressed in terms of a spatial signal-to-noise ratio (SSNR). That is, SSNR adopted as a membership function expresses the belongingness of a site-region to exon/intron subspaces. An illustrative example with actual (Dengue 1 viral) DNA data is furnished demonstrating the pursuit developed in predicting aberrant splice-junctions at cryptic sites in the test sequence.

**Keywords:** DNA; Exon/Intron; Aberrant/Cryptic Splice-Junction; MRNA Sequence; Fuzzy Subspace; Spatial SNR

# **1. INTRODUCTION**

Eukaryotic genomic data encoded *via* spatial statistical occurrence of the nucleotide set  $\{A, T, C, G\}$  eventually translates into a protein complex through transcription and translation processes. The effort of such correct translation is, however, subject to the effects of mutations on the evolutionary conservation. The underlying corruptions may manifest at the so-called splice junc-

tions that separate/delineate two subsequences in a DNA sequence, namely, the (genetic) information-bearing *codon* segment (called an *exon*) and the non-informative "*junk*" *codon*, also known as *non-codon* or *intron*. (Exons bear necessary information towards protein-making, whereas non-codons are non-informative and their genetic role has not been fully elucidated. Exons and introns appear randomly along the DNA sequence. Codons tend to be typically no more than 200 characters long, while non-codons could be tens of thousands of characters in length. Thus in majority, introns prevail mostly in a typical eukaryotic gene).

Towards the process of protein-making, introns are first scissored out (in the transcription stage) from the sequence and the remaining exons are spliced together constituting the so-called *messenger RNA* (mRNA), which is rendered ready for translation into a protein complex (at the cell interior). Should any errors have occurred (due to mutations), they would give room to the possibility of evolving wrong or cryptic splice-junctions and lead to (imperfect) translations. That is, aberrant splice-junctions may result from mutational spectrum [1] and would hamper the making of correct proteins. Illustrated in **Figure 1** is the formation of mRNA *via* transcription through translation steps.

Further, in **Figure 1**, the locations of splice-junction shown may not so reliably be distinct. In a canonical sense, the splice-junction *consensus* (**Figure 1(a)**) may follow certain rules as regard to introns and exons [2]. For example, the introns almost always begin with the residue set {**gt**} at 5'-end and ends with an {**ag**} at the 3'-end. But, inasmuch as the nucleotide sequence corresponds to a set of statistically permutated elements, {*A*, *T*, *C*, *G*}, numerous putatively occurring {**gt**} and {**ag**} locations (other than in the introns as indicated) may prevail and resemble such canonical patterns.

The putatively occurring  $\{gt\}$  and  $\{ag\}$  locations imply that relying on such canonical details alone may not reasonably and robustly indicate the presence of true splice-junctions. Further, in the event of point mutations,





**Figure 1.** Transcription through translation steps: (a) Typical splice-junction consensus. (b) Illustration of splice-junctions delineating exons and introns in the context of transcription through translation phases of central dogma dictating the use of genetic information in the DNA to make the eventual protein complex. (UTR: Untranslated region).

stemming of aberrant splice-sites is inevitable [1]. As such, should a junction be recognized and prevailing of possible cryptic junction sites elucidated, it is necessary to analyze statistically, the prevailing long-range genetic information so as to determine the extent to which subsequences surrounding the splice-junctions differ from sequence segments of adjoining spurious analogs; hence, true *versus* aberrant (cryptic) splice junctions can be distinguishably identified.

Among feasible techniques developed in ascertaining the delineation of codon/noncodon parts, (that is, in locating the splice-junctions), indicated in [3] is an entropy estimator method that extracts "meaningful signal" from the exon/intron segments of a test DNA; and hence, an entropy technique is applied to detect the underlying splice-junctions between the segments. This is, an information-theoretic (or entropy-based) tool envisaged in a classical setting. It demarcates introns/exon boundary with a fair efficacy of performance.

With the advent of newly sequenced genomes, recognition of genes has, however become a challenge and detecting relevant splice-junctions with a system (that does not require prior training) implies inherent difficulties in this endeavor warranting more novel approaches; for example, the so-called *entropic segmentation method* of [4], has shown promising results in using an algorithm based on the so-called Jensen-Shannon (JS) contrast measure to distinguish coding versus non-coding regions in a DNA sequence. This JS-measure is based on conditional entropy aspects of statistical divergence (SD) specified in terms of the well-known Kullback-Liebler measure [5]. The main driver behind the success of this method is due to distinguishable statistical characteristics of exon and intron segments. That is, a non-uniform codon usage prevails in the exon part meaning that, specific to coding regions not all bases of  $\{A, T, C, G\}$  occur with the same probability; but, there are subtle differences between the statistics of their appearance exist depending on the position of each base in the codon triplets. In contrast, in non-informative intron segments, the occurrence probabilities of A, T, C and G are the same (equal to 1/4).

Developed in [6] is another strategy that identifies the splice-junctions between codon and non-codon regions present in a massive stretch of a DNA chain, especially when the delineating boundary in question is submerged in a subspace where codon and non-codon parts exist as overlapping and ambiguous/fuzzy entities. A fuzzy inference engine (FIE) developed thereof uses again information-theoretic based metrics (with relevant algorithms applied to symbolic as well as binary sequence data representing the DNA) so as to score differentiating extents of codon/non-codon populations at a given site in the DNA sequence. The information-theoretic metrics adopted in [6] refer to various statistical divergence (such as KL and JS measures) as well as distance and discriminant concepts. Further, the algorithms indicated in [6] yield consistent results on the delineation boundary sought on test subspaces that are fuzzy; and simulated studies using human as well as bacteria codonstatistics confirm the efficacy of the approach pursued.

Another approach due to Neelakanta *et al.* [7] uses the concept of information redundancy in complex systems and defines a complexity metric that is adopted to differentiate codon/non-codon segments and specify thereof, the intermediate splice-junction.

Notwithstanding the existence of pursuits as above in locating splice-junctions, the computed statistical divergence (SD) is extended in the present study in getting mapped into a novel membership function that specifies the fuzzy subspace of overlapping exon and intron segments. Relevant membership function is defined on the basis of "error" feature prevailing in the overlapping ("noisy") segment with mutational aberrations. The underlying heuristics are described below.

As indicated before, the evolutionary conservation of splice-junctions could be hampered with inevitable phylogenetic-specific mutations. If such mutations are (assumed) independent, any "noisy" change in the spatial DNA pattern of the sequence (at the splice-junctions) can be marked as a "spatial jitter" with a characteristic parameter called *spatial signal-to-noise ratio* (SSNR).

Splice-junctions with a spatial jitter as above correspond to fuzzy offsets of exons and introns at their junctions. That is, the spatially-jittered junction corresponds to an overlapping mix of codon and non-codon entities and hence constitutes a (fuzzy) universe. In other words, the splice-junction information has a fuzzy structure that can only be identified/specified in norms of linguistic descriptions. Such descriptions can be characterized by a membership (function) [5,8] of belongingness to the attributes of exon or introns.

The thematics of the present study refers to developing an appropriate FIE that delineates fuzzy overlaps of codon/non-codon parts so as to elucidate the underlying cryptic (or aberrant) splice-junctions. This is done on the basis of SSNR defined with reference to the spatial-jitter. The SSNR is also adopted to represent the relevant membership function. Remainder of the paper describes the underlying considerations.

#### 2. SPATIAL JITTER ACROSS SPLICE JUNCTIONS

Consider a small window(-length) accommodating a finite-number (say, 100) of putatively occurring base residues along a DNA sequence. Suppose this window traverses a splice-junction. With no a priori information available on the accurate disposition of the splice-junction, it can be initially assumed that the reading gathered thereof is a "blurred" information implying an overlap of exon/intron region with a fuzzy codon/non-codon transition. That is, a spreading function is assumed to prevail across the finite window-length. The resulting spatially-varying 1-D signal so gathered from the scan of the entire DNA sequence would resemble a set of random telegraphic waveform train constituted by changing statistical profiles of exons and introns (being scanned). The task in hand is then to detect the spatial transition sites, each delineating adjoining exon/intron (or intron/ exon) segments despite of the noisy, blurred spatial information of the transition site.

Suppose  $\pi(x)$  represents an uncorrupted DNA sequence pattern metric computed along the variable x denoting the 1-D space of the sequence length. Relevant signal component will assumed to be corrupted in the event of mutational changes in {A, C, T, G} had occurred along the sequence are encountered. Such mutation-specific effects can be modeled as a contrbution of "noise", m(x) on the signal part,  $\pi(x)$ . Hence, the signal output of the window-reader can be modeled by either a spatial-domain convolution description, namely,  $s(x) = \pi(x) \times m(x)$  or, equivalently by a corresponding frequency-domain description,  $S(f) = \Pi(f)M(f)$ , where S(f),  $\Pi(f)$  and M(f) are the Fourier transforms of s(x),  $\pi(x)$  and m(x) respectively.

Consider an intron-exon splice junction illustrated in **Figure 2**. The upper figure (marked as (a)) is a crisp noise-free (uncorrupted) site with a splice-junction at  $x_o$  along the DNA sequence constituted by  $\{A, C, T, G\}$  residues. Should mutational corruptions have taken place, this crisp transition-boundary  $x_o$  becomes  $(x_o \pm \Delta x)$ , where  $\Delta x$  denotes spatial jitter. Further in **Figure 2**, the y-axis depicts the measure/metric of (relative) statistical divergence of exon *versus* intron (or *vice versa*) prevailing at any point, x on the sequence. (This statistical divergence prevails due to the reason that exon has a distinct distribution of  $\{A, C, T, G\}$  constituents *vis-à-vis* the corresponding distribution in the intron segment).

The effect of (mutation-specific) corruption would make the splice-junction to become unclear or fuzzy, as shown in **Figure 2(b)**. In essence,  $\Delta x$  is a jitter variable superimposed on s(x) corresponding to crisp disposition



**Figure 2.** "Spatially-jittered" splice-junction manifesting as fuzzy exon/intron (or *vice versa*) transitional residues along the sequence. (a) Unaltered (crisp) splice-junction; (b) Fuzzy splice-junction with a graded variation of divergence (distance) between the statistical features of exon/intron (or intro/exon) along the transition region (specified as a measure on the ordinate, (*y*) The abscissa (*x*) depicts a scale of residues along the DNA sequence.

of the splice junction  $x_0$ . The expected root-meansquared (RMS) jitter  $J_r$  at any splice-junction  $x_0$  can be expressed by the "noise power" imposed by the mutation errors.

In traditional communication theory, the term *signal-to-noise ratio* (SNR) is defined to specify the quality of an uncorrupted "signal (power) level" to the corrupting "noise power". Translating this concept, suppose the average length of intron-plus-exon is  $\bar{X}$ , corresponding "spatial SNR" (SSNR) with reference to the DNA sequence space (of Figure 2) can be defined as follows: SSNR =  $(\bar{X})^2/J_r^2$ .

#### 2.1. Error Probability of Splice-Junction Prediction

Relevant to a "noisy" intron/exon (or exon/intron) transitions, the accuracy of locating the transition site,  $x_0$  is constrained by the probability of error associated with the estimation of  $x_0$ . In this context, within the specified blurring limits of jitter, the SSNR implicitly would predict the error probability of estimating the splice-junction.

Suppose a sequence of exon/intron (or *vice versa*) transitions ( $x_0^i$ ,s) prevail at locations indexed by  $i = 0, 1, 2, \dots, m$ . From these data, one can extract exon or intron widths ( $\chi$ ) as follows:  $\chi_{i+1} = (x_{i+1} - x_i)_{\text{E or I}}$  for all values of  $i = 0, 1, 2, \dots, m$ , where the suffix (E or I) denotes the measurement done on an exon or an intron respectively.

In terms of the average length of consequent intron plus exon  $(\overline{X})$  subspaces, the transition (split-junction) locations in the presence of mutation error-induced jitter can be expressed as follows:

$$(x_i)_{\text{Noisy}} = \sum_{j=0}^{i} k_j \overline{X} + \delta_i \overline{X}$$

where  $k_i$  is an integer with  $k_0$  being zero; and,  $i = 0, 1, 2, \dots, m$ ; further,  $\delta$  is a dimensionless random variable, which in a simple case, has zero-mean Gaussian distribution with variance  $\sigma^2 = (1/\text{SSNR})$ . (This variance is invariant along the sequence length if the sequence statistics is assumed to be stationary). Now defining a normalized variable,  $\kappa_i = \chi_i / \overline{X}$ , it can be estimated as:  $\kappa_i = k_i + (\delta_i - \delta_{i-1})$  with  $(i = 0, 1, 2, \dots, m)$ ; hence one can specify the probability of correct decoding of the splice-junction,  $P_c(m)$  as the probability that  $|\kappa_i - k_i| \le 0.5$ .

Inasmuch as,  $\kappa_i = k_i + (\delta_i - \delta_{i-1})$ , the aforesaid probability can be restated as follows:

$$P_{c}(m) = \operatorname{Prob}\left\{\left|\delta_{1}-\delta_{0}\right|\right\}$$

$$\left|\delta_{1}-\delta_{0}\right| \leq 0.5, \cdots, \left|\delta_{m}-\delta_{m-1}\right| \leq 0.5$$
(1)

With the assumed Gaussian statistics for  $\delta$ , the cumulative probability of correct decoding of the splice-junction, namely  $P_c(m)$  can be deduced as follows:

$$P_{c}(\Delta x,\sigma)\Big|_{x_{0}} = \sqrt{\frac{1}{2\pi\sigma}} \int_{-\infty}^{\Delta x} \exp\left[-\frac{1}{2}\left(\frac{\Delta x}{\sigma}\right)^{2}\right] d(\Delta x)$$
$$= \frac{1}{2} + \frac{1}{2} erf\left(\frac{\Delta x}{\sqrt{2\sigma}}\right)$$
(2)

where  $\Delta x$  with respect to an  $i^{th}$  junction is given by  $\Delta_{ix} = (\delta_{i} - \delta_{i-1})x$ ; and,  $erf(u) = \frac{2}{\sqrt{\pi}} \int_{0}^{u} \exp(-u^{2}) du$ . Further, the fuzzy-space in question enclaves the universe

 $z^{m}$  depicting an m-dimensional hypercube across the unit interval,  $\mathbf{I} \in [-0.5, +0.5]$ .

Eq.2 implies that the probability of correct detection (and hence error probability) of the splice-junction disposition is implicitly dependent on SSNR parameter. The plot of Eq.2 is shown in Figure 3 where  $P_c$  is plotted as a function of  $(x \pm \Delta x)/x_0$  with respect to a presumed, crisp splice-junction at  $x_0$  posing a transitional error-prone width  $\Delta x$ . This error-prone region depicts a subspace of overlapping exon/intron subspaces that smear the exact location of  $x_0$ . This unspecific (error-prone) subspace  $\Delta x$  is therefore, fuzzy imposing an imprecision on  $x_0$ . Relevantly, the generic description of  $P_c$  in this fuzzy subspace takes a membership attribute of vagueness vis-à-vis the position vari-



**Figure 3.** Probability (*Pc*) of correct estimation of a splicejunction versus  $(x_0 \pm \Delta x)/x_0$ .

able, *x*. The membership here depicts the belongingness to exon subspace or intron subspace. Hence described in the next section are the underlying aspects of the fuzzy subspace in question with the object of ascertaining the splice-junction in the fuzzy subspace.

# 3. FUZZY SPLICE-JUNCTION PREDICTION

Suppose a set of input values  $\Delta x_i$  are taken from the sequence and considered as non-specific or fuzzy. By denoting those segment values by  $\{\Delta_i x\}_{f_i}$  corresponding  $\{(P_c)_i\}_f$  can be written in terms of uncertain limiting-values of all the vectors in the bounding (lower and upper) interval,  $\Delta x \in [\Delta x_L, \Delta x_H]$ . Hence it follows that [5]:

$$\left\{ \left( P_c \left[ \Delta x \right] \right)_i \right\}_f \approx \left\{ \left( P_c \left[ \Delta x \right]_L \right)_i \right\}_f + \sum_{j=1}^{\alpha - l} \left( \rho_f \right)^{j-l} \left[ \left\{ \left( P_c \left[ \Delta x_L \right] \right)_i \right\}_f \right] \Delta x^j / j! \right]$$

$$(3)$$

where  $\rho_f(.)$  depicts the slope equal to  $d(P_c)_i/d\Delta x_i$  and  $\alpha$  is the number of interval-valued parameter for the range within  $[\Delta x_L, \Delta x_H]$ . Further, Equation (3) denotes an algebraic sum of *addenda* computed *via* interval arithmetic, which denotes the "width of the results". In other words, for the specified vector bounding-limits of  $\{(P_c)_i\}_{f_i}$ , namely,  $\Delta x \in [\Delta x_L, \Delta x_H]$ , an  $\alpha$ -set of interval-valued parameters namely,  $\{\mathbf{Q}\}, Q = Q_1, Q_2, ..., Q_{\alpha}$ , prevails at or around  $x_0$  with no fuzzy attributes. Then relevant crispdomain relation of  $\{\Delta x\}$  versus  $\{P_c\}$  can be written by a differential equation given by [5]:  $d^2P_c/d\Delta x^2 + (dP_c/d\Delta x)^2 = g(\Delta x)$  where g(.) is some arbitrary function of  $\Delta x$ . In the event of overlapping fuzzy attributes existing at  $x_0$ , then the corresponding (fuzzy)-domain relation between  $\{\Delta x\}$  versus  $\{P_c\}$  can be generalized by a stochastical discourse of  $P_c$  versus  $\Delta x$  expressed in terms of a fuzzy stochastical differential Equation [5]. Further, in such exon-to-intron transition subspace (denoted as **F**) having fuzzy attributes, corresponding demarcation of exon/intron transition can be assumed to be at a centroid location ( $X_c$ ) with a line-of-delineation through the centroid. This location refers to a defuzzified elucidation based on membership-of-belongingness of the site-of-interest in the fuzzy space. The procedure to find  $X_c$  is described below.

#### 3.1. Centroid of the Fuzzy Subspace

The SSNR and  $P_c$  considerations versus  $(x_0 \pm \Delta x)/x_0$ indicated before imply inherent statistical attributes of  $\{A, C, T, G\}$  population in the exon and intron regions across the splice-junction. As said earlier, the exon-side statistics encodes for genetic information (so as to make necessary protein) and the intron-side statistics is non-informative. In other words, suppose the probabilities of occurrence of the elements  $\{A, C, T, G\}$  in the exon are denoted by the set:  $\{Q_A, Q_C, Q_T, Q_G\}$  with  $(Q_A)$ +  $Q_C$  +  $Q_T$  +  $Q_G$  = 1). Then, the associated errors for the elements of  $\{A, C, T, G\}$  are decided by the inequalities,  $Q_A \neq Q_C \neq Q_T \neq Q_G$ . Now, suppose the corresponding probabilities of occurrence in the intron are: { $\Theta_A$ ,  $\Theta_C$ ,  $\Theta_T$ ,  $\Theta_G$  with  $(\Theta_A + \Theta_C + \Theta_T + \Theta_G = 1)$ ; then, the associated errors for the elements of  $\{A, C, T, G\}$  on intron-side are set by the condition that,  $\Theta_A = \Theta_C = \Theta_T = \Theta_G = 0.25$ . This is because the intron-side being non-informative, Laplacian hypothesis applies in presuming that all (four) elements are equally-likely to occur. Hence, by virtue of the distinction between  $\{Q\}_{A, C, T, G}$  and  $\{\Theta\}_{A, C, T, G}$ , relevant entropy/information-theoretic (IT) distances (that is, statistical divergence or SD values) can be computed (for the exon and intron regions). The results would show distinction in the profiles of SD (in exon and intron regions) as illustrated in Figure 4. (This SD can be any one on the divergence measure such as KL or JS mentioned before. Illustrative measures are presented later in the results with reference to a real DNA structure).

Following the considerations presented in [9,10], the expression for  $P_c$  is  $(1/2) + (1/2)erf(\Delta x/\sqrt{2}\sigma)$  and it can be approximately written as:  $L'_q(z)/L'_q(0)$  where  $L_q(z)$  denotes the Bernoulli-Langevin function and the prime sign depicts the differentiation with respect to the argument  $z = (\Delta x/\sqrt{2}\sigma)$ . Explicitly,

$$L_{q}(z) = (1+1/2q) \coth \{(1+1/2q)z\}$$
$$-(1/2q) \coth \{(1/2q)z\}$$

where q represents an disorder entity associated with the statistics of the population concerned [11]. Described in

[11] is that the upper-bound corresponding to isotropic disorder statistics is decided with q = 1/2 and the lower-bound (depicting an anisotropic disorder) is specified by  $q \rightarrow \infty$ . Inasmuch as the statistics of exon-region would differ from that of intron-region,  $q_E \neq q_I$ . Further, as indicated in [9], the ratio  $L'_q(z)/L'_q(0)$  denotes approximately the membership function  $\mu_q$  for the fuzzy space or block,  $\mathbf{F}: \{x_i\}$ ) of interest with its fuzzy range

(upper-to-lower) is decided by: q = 1/2 to  $q \rightarrow \infty$ . Hence, shown in **Figure 4**, is the mapping of computed divergence measures (SD) of intron and exon subspaces (across the slice-junction) into corresponding membership values,  $\mu_q$ (SD) (with q = 1/2 yielding upper-bound values and  $q \rightarrow \infty$  giving the lower-bound values). For example, suppose a location  $x_a$  (in exon region) gives the SD-value equal to (a). Then, the value (a), maps on to the membership-plane as the entities (aU) and (aL) depicting respectively, the upper- and lower-bound values. Similarly, assuming a location  $x_b$  (in intron region) has an SD-value (b), this value maps on to the membership-plane as (bU) and (bL) denoting respectively the upper- and lower-limits. The steps as above can be elaborated as follows:

First, the chosen divergence measure (SD: KL or JS) is computed for the entire fuzzy domain **F** at each pointer-position within a chosen window-size. For this purpose, two subspaces  $\mathbf{F}_{\text{Exon}}$  and  $\mathbf{F}_{\text{Intron}}$  depicting respectively, the exon- and intron-side of the **F**-space are specified. Then, the computation of the SD-measures with exon statistics  $\{Q\}_{A, C, T, G}$  in  $F_{\text{Exon}}$ -subspace and with intron statistics  $\{\Theta\}_{A, C, T, G}$  in  $F_{\text{Intron}}$ - subspace is done with KL or JS algorithm.

The values of SD generated in each differential window (of  $\mathbf{F}_{\text{Exon}}$  and  $\mathbf{F}_{\text{Intron}}$ -subspaces) accounts for the extents of codons and noncodons in the relevant fuzzy subspace. Corresponding to window-specific pointer positions along the sequence, the SD-score profile obtained across each differential block will be distinct for each subspace (exon or intron) in question. Next, the values of SD obtained are translated *via* membership function to provide descriptive details of belongingness in the fuzzy domain.

The translated values gathered can be subjected to a defuzzification process [8,12] in order to get the centroid position (of the pointer) that delineates the boundary of the two, fuzzy test subspaces. Relevant local search follows the principle of "search and score" procedure applied appropriately on the assigned membership values that describe the qualitative aspects of overlapping and ambiguous codon/non-codon locales across the fuzzy site.

The boundary that marks the desired splice-junction being searched corresponds to a defuzzified location



**Figure 4.** SD-to- $\mu_q$ (SD) mapping. (I):  $(x_o \pm \Delta x)/x_o$  versus SD curves in the intron and exon subspaces. Note the SD profiles are distinct in each region; (II):  $(x_o \pm \Delta x)/x_o$  versus membership function,  $\mu_q$ (SD). (Other details given in the text).

obtained *via* centroid-finding method. Towards centroid, the fuzzy exon- and fuzzy intron-domain would converge close a single membership value.

Referring to **Figure 4**, the SD-value (a) in the exon subspace yields mapped values of  $\mu_q$  (SD): (*aL* and *aU*); and, the SD-value, (b) in the intron subspace maps into  $\mu_q$ (SD): (*bL*, *bU*). Suppose the set {*aL*, *aU*} in turn projects on to x-axis at  $x_{aL}$  and  $x_{aU}$  respectively; and, likewise, the set {*bL*, *bU*} projects on to x-axis at  $x_{bL}$  and  $x_{bU}$  respectively. Then, the mean position of ( $x_{aL}$ ,  $x_{aU}$ ,  $x_{bL}$  and  $x_{bU}$ ) would correspond to the centroid being sought.

## 4. SIMULATION EXPERIMENTS USING REAL DNA DATA

The efficacy of efforts and procedure described above is illustrated with an example of real-world DNA sequence of Dengue virus type 1 (NCBI Reference Sequence: NC\_001477.1) [13]. Its CDS stretches from nucleotide position 95 through 10273. Using the nucleotide population details of this virus, a moving-window based calcu-

lation of KL-measure is plotted in Figure 5 across the entire sequence length.

The data available in [13] for example, shows a CDS stretch from position 7574 through 10270 with an indication of a transition at 7574. Presented in Figure 6 is an exclusive plot of KL-measure across this selected CDS regime at the transition locale around 7574. While the codon (exon)/non-codon (intron) transition is markedly seen (via KL value change), there is however a subspace of fuzziness, wherein an overlap of exon and intron regimes prevails indistinguishably (viewed in terms of simple KL-measure). Therefore, by assigning membership attribute, the FIE algorithm (described earlier) can be invoked to decide on the location of the splice-junction in the fuzzy region. Hence, drawn in Figure 7 is the profile of membership values ( $\mu_a$ ) mapped from the computed KL-measures (of Figure 6) across the transition region of interest. There are two profiles: (A) depicts  $\mu_q$ -values with q = 1/2 (meaning the upper-bound on the membership); and, (B) denotes  $\mu_q$ -values with q = $\infty$  (meaning the lower-bound on the membership).

From Figure 7, the location of the splice-junction buried in the fuzzy domain can be ascertained. This location corresponds to the centroid coordinate  $(x_c)$ . This centroid position is featured by the upper- and lowerbound profiles of the  $\mu$ -value. As discussed earlier,  $x_C$ corresponds to the mean position of  $x_{aL}$ ,  $x_{aU}$ ,  $x_{bL}$  and  $x_{bU}$ ; and, for the data presented in Figure 7, the computed results show that this centroid  $(x_c)$  is at 7401 as against the crisp value indicated in [13] as 7574. (The centroid (7401) is the mean of:  $[(x_{bL} + x_{bU})/2 = 7401]$  and  $[(x_{aL} + x_{bU})/2 = 7401]$  $(x_{aU})/2 = 7401$ ]).

## 5. DISCUSSIONS AND CLOSURE

Depicted in Figure 8, are base residues reported around, for example splice-junction site, namely 7574 of [13]. The present method predicts in addition, a cryptic set of 7370 and 7419 in the vicinity of the centroid 7401 determined. The selection of this set {7370, 7401} is based on the considerations of [2] suggesting the intron's 3'-side preferential ending being ag. That is, the values 7370 and 7401 are picked around the centroid determined such that they are in conformance with the abutting of ag-residues. Further, in Figures 8(a)-8(b), the intron-subspace ends with residue set  $\{ag\}$  at 7574 and is consistent with the canonical splice-junction consensus (as mentioned earlier) of [2]. Notwithstanding this canonical pattern, the mutational influences could have possibly induced aberrant splice-junctions.

A scan through the test DNA indicates a cluster of sites between 7500 through 7700 exist at which the residues a and g occur together making it ambiguous on



4000

6000

8000

2000

Figure 5. Nucleotide position versus computed KL-measure of the DNA sequence of Dengue virus type 1 (NCBI Reference Sequence: NC 001477.1) [13].



Figure 6. Nucleotide position in the limited range of 5000 to 9000 versus computed KL-measure of the DNA sequence of Dengue virus type 1 (NCBI Reference Sequence: NC 001477.1) [13].

the decision that splice-junction (such 7574 of [13]) alone can be the splice-junction of interest. However, following the fuzzy pursuit presented here, it enables pointing out that other cryptic splice-junctions such as 7370 and 7419 could reasonably be alternative splicejunction sites having adjacent ag residues as illustrated, for example in Figures 8(a)-8(b) with 7419 site.

The complete list of aberrant splice junctions evaluated for the test viral DNA in the present study is presented in Table 1 and illustrated in Figure 9. Table 1 indicates the centroid values determined as well as cryptic transition sites predicted on the basis of the details in [2]. It may be noted that the data available in [13] portrays overlaps of CDS domains that eventually facilitate various protein structures as listed.

The purpose of knowing correct and aberrant splice-junctions in the context of viral DNA (such as DEN 1 virus) is pertinent to and implicates vaccine designs [14]. In general, a gene is first transcribed into pre-mRNA, which is a copy of genomic DNA containing



**Figure 7.** Membership profiles  $(\mu_q)$  across the fuzzy transition region of interest. (a)  $\mu_q$ -values with q = 1/2 (meaning the upper-bound on the membership) *versus* nucleotide positions of the test DNA; (b)  $\mu_q$ -values with  $q = \infty$  (meaning the lower-bound on the membership) *versus* nucleotide positions of the test DNA.



Figure 8. Details on nucleotides adjacent to the predicted splice-junctions: (a) As per [13]; and (b) as per present method. (In both cases, the intron-subspace ends with a residue pair **ag** bases consistent with the canonical splice-junction consensus. (See text).



**Figure 9.** Summary of results on the locations of splice junctions. Downward arrows indicate values available in [13] for DEN 1 virus. Upward arrows indicated computed values that include details of cryptic sites in the fuzzy subspace.

exon and intron regions. Gene-splicing is an important form of protein diversity and has also regulatory functions and RNA-splicing is essential so as to regulate precisely the process that occurs after gene transcription and before mRNA translation (in which introns are removed and exons are retained). The sequences between the boundaries of introns (denoting regions of DNA or precursor RNA that are not represented in mature RNA, but

CDS range data from []	Description	Transition site []	Bounds of membership value		Centroid of UB	Cryptic transition
			Upper-bound (UB)*	Lower-bound (LB)*	and LB	predicted**
95394	Capsid protein	394	1, 401	301	352	350 354 394
94436	Anchored capsid protein	436	301, 701	301, 701	501	515
710 · · · 934	Membrane glycoprotein	710	701	701	701	
437934	Membrane glycoprotein precursor	934/935	701, 1101	701, 1101	901	954
935 · · · 2419	Envelope protein	2419/2420	1801, 2501	2801	2151	2160
24203475	Nonstructural protein 1	3475/3476	3301, 3801	3301, 3801	3551	3553
3476…4129	Nonstructural protein 2a	4129/4130	4001, 4301	4001, 4301	4151	4149, 4170
4130 · · · 4519	Nonstructural protein 2b	4519/4520	4301, 4701	4301, 4701	4501	4326, 4356 4452, 4505
4520 · · · 6376	Nonstructural protein 3	6376	6201, 6701	6201, 6701	6451	6447, 6462
6377 · · · 6757	Nonstructural protein 4a	6757	6701, 7001	6701, 7001	6851	(922 (957
6758 · · · 6826	2k protein	6826	6701, 7001	6701, 7001	6850	6833, 6857
6827 · · · 7573	Nonstructural protein 4b	7573/74	7201, 7601	7201, 7601	7401	7370, 7419
7574 · · · 10270	Nonstructural	10270	10001, 10401	10001, 10401	10201	10202, 10211

 Table 1. Transition sites indicated in [13] and the predicted sites as per the present method.

\*\* The UB and LB values indicated correspond to the sites where minima of  $\mu_q$ -plot (map) in the fuzzy domain of interest are observed, (for example, see Figure 7).

\* The predicted site is based on locating a site in the vicinity of the centroid where the introns almost always begin with the residue set  $\{GT\}$  at 5'-end and ends with an  $\{AG\}$  at the 3'-end as illustrated in Figure 8.

reside between regions) and exons (depicting regions of DNA or precursor RNA represented in mature RNA) are not random. There are several splicing events that are possible eventually resulting in: Exon-skipping, intronretention, cryptic splice-site usage and alternative 3- and 5'-side splice-sites [1]. Further, in RNA splicing, the so-called splicing-variants may be formed prior to mRNA translation due to differential inclusion or exclusion of regions in the pre-mRNA structure. Also, a systematic analysis of splice-junction sequences in eukaryotic protein coding genes using GenBank databank has revealed a striking similarity among the rare splice-junctions [2] that do not contain **ag** at the 3' splice site, or **gt** at the 5' splice site. As mentioned before, indistinct splice-junctions would result from deleterious effects of mutations that target the splice-sites causing variability in splicing patterns.

Such deleterious effects eventually form a major source of protein diversity leading to a considerable extent of diverse proteomic functions that stem from a relatively small number of genes. Thus, changes in splice-site (alternative splicing) can induce different effects on the encoded proteins, not only in humans but also in viruses.

As regard to the viral leader sequences, there may be a splice donor site for generation of subgenomic messages,

usually the *Env* (viral envelope) transcript. In general, the role of RNA splicing is to generate a set of stable splice-junctions across viral sequences so that virus mimicry is enabled as a mechanism for potential variability in envelope proteins, (which are prone to changes due to point-mutation and thus, avoid to be recognized by T-memory cells of higher organisms in vaccine trials). The present study offers a systematic way of elucidating cryptic splice-junction sites in viral DNA structures, the knowledge of which can be profitably used in vaccine design efforts. The study is being extended to a variety of viruses in order to elucidate the underlying cryptic aspects of splice-junctions. Pertinent analytical framework and computational aspects are augmented with the details available in [15-17].

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