

# Relative Widths of Some Sets of $l_p^m$ \*

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# **Abstract**

In this paper, the relative widths of some sets in  $l_p^m$  are studied. Relative widths is the further development of Kolmogorov widths and it is a new problem in approximation theory which aroused some mathematics workers great interest recently. We present some basic propositions of relative widths and investigate relative widths of some sets (ball or ellipsoid) of  $l_p^m$ .

Keywords: Kolmogorov Widths, Relative Widths

### 1. Introduction

In 1984, V. N. Konovalov in [1] first proposed the definition of relative widths which is in the sense of Kolmogorov. Let W and V be centrally symmetric sets in a Banach space X. The Kolmogorov n-dimensional widths of W relative to V in X (shortly, relative widths) is

$$K_n(W,V,X) := \inf_{L^n} \sup_{f \in W} \inf_{g \in V \cap L^n} ||f - g||_X$$

where the infimum is taken over all n-dimensional subspaces  $L^n$  of X,  $n \in N$ . When V = X the relative widths coincides with the n-dimensional Kolmogorov widths (shortly, n - K widths) of W in X, which we denote by  $d_n(W, X)$ . Of course,

$$K_n(W,V,X) \ge d_n(W,X)$$

for any set V, and if  $V_1 \subseteq V_2$ , then  $K_n(W, V_1, X) \ge K_n(W, V_2, X)$ .

Y. N. Subbotin and S. A. Telyakovskii in [7-9], V. M. Tikhomirov in [11], V. F. Babenko in [2-4], V. N. Konovalov in [1,5,6], V. T. Shevaldin in [10] etc. gained many results in this field. And some Chinese mathematics workers such as Yongping Liu, Lianhong Yang in [15-17] and Weiwei Xiao in [12-14] also did some work on relative widths.

Let 
$$l_p^m$$
,  $1 \le p \le \infty$ , denote space of vectors  $\mathbf{x} = (x_1, \dots, x_m)$  with norm

$$\|\mathbf{x}\|_{p} = (|x_{1}|^{p} + \dots + |x_{m}|^{p})^{1/p}, \ 1 \le p < \infty$$
  
 $\|\mathbf{x}\|_{\infty} = \max\{|x_{1}|, \dots, |x_{m}|\}, \ p = \infty.$ 

Let  $B_p := \left\{ \boldsymbol{x} \in l_p^m : \left\| \boldsymbol{x} \right\|_p \le 1 \right\}$  be the unit ball in  $l_p^m$ . Let  $\boldsymbol{D} = diag\left\{ D_1, \cdots, D_m \right\}$  be an  $m \times m$  real diagonal matrix. Without loss of generality we assume that  $D_1 \ge D_2 \ge \cdots \ge D_m > 0$ . Let M be a positive real number, set

$$M\mathcal{D}_p = \left\{ \mathbf{D}\mathbf{x} : \mathbf{x} \in R^m, \|\mathbf{x}\|_p \leq M \right\},$$

obviously it is ellipsoid in  $l_p^m$ . When M=1, we denote it by  $\mathcal{D}_p$ .

**Theorem A:** [19] For 
$$1 \le p \le \infty$$
,  $1 \le m < n$ ,  $d_n \left( \mathcal{D}_p, l_p^m \right) = D_{n+1}$ ,

Similar to the proof in [18] we can get the following proposition.

#### Proposition 1.

1) If W is a finite set of m elements, then for the linear spanning subspace lin(W) one has

$$K_n(W, lin(W), X) = K_n(lin(W), lin(W), X) = 0$$
 for  $n \ge m$ .

2) If  $W_1 \subset W$ , then

$$K_n(W_1,V,X) \leq K_n(W,V,X).$$

3) For any scalar  $\alpha$ , and any W and V, one has  $K_{n}(\alpha W, \alpha V, X) = |\alpha| K_{n}(W, V, X)$ .

4) 
$$K_0(W,V,X) \ge K_1(W,V,X) \ge K_2(W,V,X) \ge \cdots$$

5) Let  $W = K_0 + \Gamma_m$ , where  $K_0$  is a bounded set and

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 $\Gamma_m$  is a subspace of dimension m. If n < m, then  $K_n(W,V,X) = \infty$ .

6) For the convex hull co(W), if for each subspace  $X_n$  of dimension n,  $co(W) \cap X_n$  is a locally sequentially compact and closed subset, then

$$K_n(W,co(W),X) = K_n(co(W),co(W),X).$$

7) If Y is a subspace of X and  $W \subset Y \subseteq X$ ,  $V \subset Y$ , then

$$K_n(W,V,X) \leq K_n(W,V,Y).$$

**Theorem 1** For  $m > n \in N$ ,  $1 \le p \le \infty$ ,  $D_1 > D_{n+1}$ , the smallest number M which makes the equalities

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) = d_n\left(\mathcal{D}_p, l_p^m\right) = D_{n+1},\tag{1}$$

hold is  $M_0 := 1 - \frac{D_{n+1}}{D_1}$ , and

$$K_{n}\left(\mathcal{D}_{p}, M \mathcal{D}_{p}, l_{p}^{m}\right) = \begin{cases} \left(1-M\right)D_{1}, & 0 < M < M_{0}, \\ D_{n+1}, & M \geq M_{0}. \end{cases}$$

**Theorem 2** For all  $m \in N$  such that m > 1,

$$K_{m-1}(B_1, B_1, l_{\infty}^m) = \frac{1}{2}.$$

# 2. Proof of Theorems

**Proof of Theorem 1:** For  $x_0 = (D_1, 0, \dots, 0)$ , we have

$$K_{n}\left(\mathcal{D}_{p}, M \mathcal{D}_{p}, l_{p}^{m}\right) = \inf_{L^{n} \subset l_{p}^{m}} \sup_{\mathbf{x} \in \mathcal{D}_{p}} \inf_{\mathbf{y} \in M \mathcal{D}_{p} \cap L^{n}} \left\|\mathbf{x} - \mathbf{y}\right\|_{p}$$

$$\geq \sup_{\mathbf{x} \in \mathcal{D}_{p}} \inf_{\mathbf{y} \in M \mathcal{D}_{p}} \left\|\mathbf{x} - \mathbf{y}\right\|_{p}$$

$$\geq \inf_{\mathbf{y} \in M \mathcal{D}_{p}} \left\|\mathbf{x}_{0} - \mathbf{y}\right\|_{p}$$

$$= D_{1}\left(1 - M\right).$$

That is

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) \ge D_1\left(1 - M\right), \quad \forall \ 0 < M \le 1.$$
 (2)

In order to make the equalities (1) hold, we have that

$$D_{n+1} \ge D_1 (1-M),$$

that is 
$$M \ge 1 - \frac{D_{n+1}}{D_n}$$
. (3)

For  $0 < M \le 1 - \frac{D_{n+1}}{D_1}$ , we will prove that

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) \le \left(1 - M\right) D_1.$$
 (4)

For each  $x = Dz \in \mathcal{D}_p$ ,  $||z||_p \le 1$ , set

 $y = (Mx_1, \dots, Mx_n, 0, \dots, 0) \in L^n \cap M\mathcal{D}_p$ . When  $p = \infty$ , the inequality (4) is trivial, so we only need to prove the case of  $1 \le p < \infty$ .

$$\begin{aligned} \|\mathbf{x} - \mathbf{y}\|_{p}^{p} &= (1 - M)^{p} (|x_{1}|^{p} + \dots + |x_{n}|^{p}) + |x_{n+1}|^{p} + \dots + |x_{m}|^{p} \\ &= (1 - M)^{p} (|D_{1}z_{1}|^{p} + \dots + |D_{n}z_{n}|^{p}) + |D_{n+1}z_{n+1}|^{p} + \dots + |D_{m}z_{m}|^{p} \\ &\leq (1 - M)^{p} D_{1}^{p} (|z_{1}|^{p} + \dots + |z_{n}|^{p}) \\ &+ (1 - M)^{p} D_{1}^{p} (1 - M)^{-p} D_{1}^{-p} D_{n+1}^{p} (|z_{n+1}|^{p} + \dots + |z_{m}|^{p}) \\ &\leq (1 - M)^{p} D_{1}^{p} \|z\|_{p}^{p} \leq (1 - M)^{p} D_{1}^{p}. \end{aligned}$$

In fact, when  $0 < M \le 1 - \frac{D_{n+1}}{D_1}$ , we have

 $(1-M)^{-1}D_1^{-1}D_{n+1} \le 1$ . So we get that inequality (4).

By inequalities (2) and (4), we have that

$$\forall 0 < M \le 1 - \frac{D_{n+1}}{D_1}, K_n(\mathcal{D}_p, M\mathcal{D}_p, l_p^m) = (1 - M)D_1.(5)$$

From (3) and (5) we get that the smallest number M which makes the equalities (1) hold is  $M_0 = 1 - \frac{D_{n+1}}{D_1}$ .

For  $M \ge M_0$ ,

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) \le K_n\left(\mathcal{D}_p, M_0\mathcal{D}_p, l_p^m\right) = D_{n+1}. \quad (6)$$

By Theorem A, for all M > 0,

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) \ge d_n\left(\mathcal{D}_p, l_p^m\right) = D_{n+1}.$$
 (7)

From (6) and (7) we get

$$K_n\left(\mathcal{D}_p, M\mathcal{D}_p, l_p^m\right) = D_{n+1}, \quad \forall M \ge M_0.$$

The proof of Theorem 1 is complete.

**Proof of Theorem 2:** From [6] we know that

$$K_{m-1}(B_1, B_1, l_{\infty}^m) \ge \frac{1}{2}.$$
 (8)

We want to prove that

$$K_{m-1}(B_1, B_1, l_{\infty}^m) \le \frac{1}{2}.$$
 (9)

By proposition (6) we know that

$$K_{m-1}(B_1, B_1, I_{\infty}^m) = K_{m-1}(W, B_1, I_{\infty}^m), \tag{10}$$

where

$$W = \left\{ \left(0, \dots, 0, \left(\pm 1\right)_i, 0, \dots, 0\right) : i = 1, \dots, m, i \text{ represent the } i \text{th coordinate} \right\}.$$

Set

$$L_*^{m-1} := \left\{ \boldsymbol{x} \in R^m : x_1 + x_2 + \dots + x_m = 0 \right\}.$$

For 
$$a = (0, \dots, 0, (\pm 1)_i, 0, \dots, 0) \in W$$
, set  $b = (0, \dots, 0, (\pm 1/2)_i, (\mp 1/2)_{i+1}, 0, \dots, 0) \in L_*^{m-1} \cap B_1$ ,

 $i=1,\cdots,d$ , when i=d, i+1 represent the 1st coordinate, we get  $\|a-b\|_{\infty}=1/2$ . So we proved

$$K_{m-1}(W, B_1, l_{\infty}^m) \leq 1/2,$$

which means that inequality (9) is valid. The proof of Theorem 2 is complete.

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