

# Sentinel Lymph Node Biopsy as Guidance for Lateral Neck Dissection in Patients with Papillary Thyroid Carcinoma

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## **Abstract**

Introduction: The surgical management of lateral lymph nodes in differentiated thyroid carcinoma is controversies. Therefore, we analyzed whether sentinel lymph nodes (SLN) biopsy of the first draining nodes in the jugulo-carotid chain is an accurate technique to select patients with true-positive but nonpalpable lymph nodes for selective lateral node dissection. Materials and Methods: From January 2009 to December 2009, 12 patients with solitary papillary carcinoma measuring 2 cm by ultrasonography were included in this study. After the thyroid gland was exposed to avoid injuring the lateral thyroid lymphatic connection, approximately 0.2 ml of 5mg/ml indocyanine green was injected into the parenchyma of upper and lower thyroid gland. Some stained lymph nodes in the jugulo-carotid chain could be identified following the stained lymphatic duct and dissected as the SLN. After that, thyroidectomy with modified neck dissection was performed. Results: The mean tumor size was 22.1 ± 4.6 mm. Identification and biopsy of stained SLN in the ipsilateral jugulo-carotid chain was successful in all 12 cases. In 6 cases, histopathological analysis of SLNs revealed metastases of the papillary thyroid carcinoma. Among them, 2 cases had additional metastatic lymph nodes in the ipsilateral compartment. Of the 6 patients who had negative lymph node metastasis (LNM) in SLNs, all patients had negative LNM in the ipsilateral compartment. Conclusions: The method may be helpful in the detection of true-positive but nonpalpable lymph nodes and may support a decision to perform a selective lateral node dissection in patients with papillary thyroid carcinoma.

Keywords: Sentinel Lymph Node, Thyroid Carcinoma, Lateral Neck Dissection

## 1. Introduction

Well differentiated papillary thyroid carcinoma has a high propensity to spread to regional lymph nodes and lymph node metastases are frequently occurred in patients with clinically negative lymph nodes [1]. Since lymph node metastasis has not been considered prognostic poor survival, prophylactic lymph node dissection is controversial [2]. There is wide consensus on an anatomosurgical subdivision of potentially involved lymph nodes in four compartments; central, ipsilateral, contalateral, and mediastinal [4]. The central and ipsilateral compartments are two representative compartments to which papillary carcinoma can metastasize. The central compartment can be dissected via the same surgical incision used for thyroidectomy. Furthermore, a second surgery for recurrence to the central nodes increases the risk of complications such as recurrent nerve paralysis and

parathyroid dysfunction [5,6]. Therefore, routine central node dissection is recommended at the initial surgery regardless of whether the dissection is therapeutic or prophylactic. In contrast, the lateral compartment dissection, regarded as the second region to which papillary carcinoma metastasizes, is less accessible because wound extension and a wide range of tissue peeling are necessary for lateral node dissection, and postoperative complaints of patients such as pain, limitation of neck movement, edema, and sensory abnormality increase. Therefore, lateral node dissection should be performed for patients with papillary carcinoma demonstrating metastasis in the lateral compartment. Although preoperative radiological investigations such as ultrasonography (US), enhanced computed tomography (CT), and magnetic resonance imaging (MRI) were improved, its diagnostic accuracy is far from perfect.

The concept of sentinel lymph nodes (SLN) as the first

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node(s) to receive drainage from a tumor was introduced by Ramon Cabanas [7] and later well established in skin melanoma [8] and breast cancer. [9,10] Controversies in the surgical management of lymph nodes in differentiated thyroid carcinoma, have led some authors to propose that SLN should be sampled in patients with thyroid carcinoma to support the decision whether to perform lymph node dissection or not. The technique is feasible and safe and may be used to select patients with true positive lymph nodes for selective lymph node dissection. [11-17]

In previous studies, the stained lymphatic channels in the central compartment were traced and followed up to the first blue-stained lymph node, which was defined as the SLN. However, central and lateral compartment each has its own lymphatic vessels. We analyzed whether SLN biopsy of the first draining node(s) in the jugulocarotid chain is an accurate technique to select patients with true-positive but nonpalpable lymph nodes for selective lateral node dissection.

#### 2. Materials and Methods

## 2.1. Patients

From January 2009 to December 2009, 12 patients with well differentiated papillary thyroid carcinoma underwent SLN biopsy in the lower jugulo-carotid chain. Preoperatively, all patients had clinical examination for suspicious thyroid tumors, US of the neck, fine needle aspiration biopsy, CT of the neck, chest X-ray, and blood tests of thyroid hormones, thyrotrophin (TSH), serum thyroglobulin, and anti-thyroglobulin antibody levels. No patient had a history of neck surgery for head and neck cancers. Patients with solitary papillary carcinoma measuring 2 cm by US without massive extrathyroid extension (corresponding T4 or pT4), clinically apparent lymph node in lateral component or distant metastasis at diagnosis were included in this study. The study was approved by the Institutional Review Board of our institution, and written informed consent sheet was obtained from each patient.

# 2.2. Surgical Procedure and Detection of SLN

Under general anesthesia, the patient is placed in a supine position with the neck extended. A transversal skin incision was made on the anterior border of the sternocleidomastoid muscle of the tumor side, 3 cm above the sternal notch. The superior and inferior skin flaps developed and the strap muscles were separated from the sternocleidomastoid muscle. The sternohyoid muscle was separated from the sternothyroid muscle. After di-

viding the sternohyoid and sternothyroid muscle, the sternohyoid muscle was retracted medially and the sternothyroid muscle was retracted laterally. Then the thyroid gland could be exposed to avoid injuring the lateral thyroid lymphatic connection. By using a 27-gauge needle, approximately 0.2 ml of 5mg/ml indocyanine green was injected into the parenchyma of upper and lower thyroid gland. Care was taken not to stain any surrounding tissue with the indocyanine green. After 5 minutes, connective tissue between the sternothyroid and sternocleidomastoid muscles was sharply divided and the carotid artery and the internal jugular vein were exposed. During this procedure, we could usually find a stained lymphatic duct cross the carotid artery. Some stained lymph nodes in the jugulo-carotid chain could be identified following the stained lymphatic duct. The first stained lymph node was defined as the SLN. After that, the lateral neck dissection was continued above and beyond the omohyoid muscle, around the internal jugular vein, extending from the carotid artery to the trapezoid muscle and total thyroid lobectomy and dissection of the central neck compartment were performed (Figure 1). All dissected nodes were examined by conventional (hematoxylin eosin) histopathological examination. Postoperatively, thyroid-stimulating hormone suppression thyroxine therapy was administered to all patients. All patients were followed regularly by clinical and US examination, whole-body radioiodine scanning, and serum thyroglobulin measurements.

## 2.3. Data Analysis

Pathologic specimens were stained with hematoxylin and

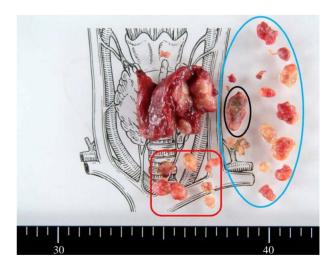


Figure 1. Macroscopic appearance of resected specimen (lymph node was separated from each compartment): (red circle) central compartment, (blue circle) lateral compartment, (black circle) sentinel lymph nodes in lateral compartment.

eosin and were observed under light microscopy. Results of permanent pathology were considered the standard of reference. Sensitivity, specificity, accuracy, and positive and negative predictive values of the SLN biopsies were calculated. Values were expressed as the mean  $\pm$  standard deviation. Postsurgical morbidity information on the patients was obtained from follow-up clinical and laboratory data.

## 3. Results

The median age at diagnosis was 53 years (range 32–65), and there were 9 women and 3 men. The mean tumor size was  $22.1 \pm 4.6$ mm. Of the 12 patients, 8 (67%) had pathological lymph node metastasis (LNM). LNM in the central neck compartment and ipsilateral compartment was found in 8 patients and 6, respectively. The average number of lymph nodes dissection in ipsilateral and central compartment was  $11.4 \pm 4.1$  and  $4.6 \pm 4.0$ , respectively.

Identification and biopsy of stained SLN in the ipsilateral jugulo-carotid chain was successful in all 12 cases. The identification rate (IR) of SLN was 100%. The average number of SLNs per draining area was  $2.0 \pm 0.7$ .

In 6 cases, histopathological analysis of SLNs revealed metastases of the papillary thyroid carcinoma. Among them, 2 cases had additional metastatic lymph nodes in the ipsilateral compartment. Of the 6 patients who had negative LNM in SLNs, all patients had negative LNM in the ipsilateral compartment. We found the IR of 100% (12 out of 12), with specificity of 100% (6 out of 6) and sensitivity of 100% (6 out of 6). Negative predictive value was 100% (6 out of 6), and positive predictive value was 100% (6 out of 6), while overall accuracy of the method was 100% (12 out of 12) (**Table 1**).

## 4. Discussion

The cervical chain nodes are distributed within the anterior and lateral areas of the neck, mainly alongside the various jugular veins. Most of them form part of the deep lateral cervical chain which runs along the lateral side of

Table 1. Sentinel lymph node biopsy for evaluating lateral lymph node metastasis.

|                         | SLN<br>LNM positive | SLN<br>LNM negative | Total |
|-------------------------|---------------------|---------------------|-------|
| Lateral<br>LNM positive | 6                   | 0                   | 6     |
| Lateral<br>LNM negative | 0                   | 6                   | 6     |
| Total                   | 6                   | 6                   | 12    |

SLN: sentinel lymph node, LNM: lymph node metastasis.

the internal jugular vein located deep within the sternocleidomastoid muscle, and which drains directly into the jugular lymphatic trunk. Other nodes, however, join to form alternative pathways such as the posterior cervical accessory chain, the superficial external jugular chain, and two anterior cervical chains known as the superficial anterior jugular chain and the deep prelaryngo-tracheal chain. In the lower part of the neck, a paratracheal recurrent chain is also present [18]. These cervical chain nodes are independent lymph node compartment. The lymphatic drainage of the thyroid gland is chiefly by vessels that accompany the arterial blood supply. The superior lymphatic channels drain the cranial border of the isthmus, much of the medial surface of the lobes, and the ventral and dorsal surfaces of the upper part of the lobes. The inferior channels drain the major portion of the isthmus and the lower portions of the lobes. The upper channels empty into the upper deep cervical nodes, and the lower ones empty into the lower deep cervical nodes including the supraclaviculars and into pretracheal and prelaryngeal nodes. An additional drainage, from the middle of each lobe, passes directly laterally to enter the deep cervical nodes. Therefore, regional lymph node metastases such as the central and ipsilateral compartments in which lymph node metastases were occurred have different lymphatic channels from the thyroid gland.

Although some papers [19,20] described that the node status of the central compartment in papillary thyroid carcinoma was moderately predictive of that of lateral compartment, 20% to 45% patients with the absence of positive central nodes had positive nodes in the ipsilateral neck compartment. Moreover, skip metastasis leaping the central lymph node compartment has also been reported in papillary thyroid carcinoma [21-23]. Therefore, lateral compartment has its own lymphatic vessels and the first metastatic node(s) named SLNs.

SLN biopsy have emerged as a promising minimally invasive surgical technique to detect metastatic nodes in patients with melanoma and breast, colon, esophageal, gastric, lung, head and neck, and thyroid cancers. Kelemen et al. [11] first applied the concept of SLN in 17 thyroid malignancies using patent blue dye. The IR was 88.2%, due to two retrosternal localized SLN, and falsenegative cases constituted 8%. Fukui and colleagues [12] analyzed the methylene blue staining of SLN in 22 patients with papillary thyroid carcinoma. IR was 95.5%, with 14.3% false-negative investigations. Catarci and coworkers [13] performed a combined technique of SLN mapping in 6 cases of papillary thyroid carcinoma. The overall IR using vital dye (patent blue V), preoperative lymphoscintigraphy (99mTc-labeled colloidal albumin), and intraoperative hand-held gamma probe detection was

100%, while IR was 66%, 50%, and 83% for each of the methods respectively. In a recent study, Roh *et al.* [24] showed that SLNs were identified in 46 of 50 patients (92%). Of these, 14 SLNs were positive and 32 SLNs were negative on intraoperative frozen sections. One patient had a positive SLN in the jugular region and subsequently underwent modified radical neck dissection. Final pathologic examination revealed that 18 patients (36%), including 4 who had negative SLNs, had central lymph node metastasis. Thus, the sensitivity, specificity, accuracy, and positive and negative predictive values of SLN biopsy were 77.8%, 100%, 92%, 100%, and 88.9%, respectively. Temporary and permanent hypocalcemia developed in 19 patients and 1 patient, respectively. There were no direct complications of SLN sampling.

Overall, the previous studies have shown that stained SLNs were found in the central or lateral neck compartments, or both, and SLN biopsy has the potential to identify which patients might be most likely to benefit from central neck dissection at the time of initial surgery [24]. Since central neck dissection did not increase permanent morbidity and revealed a significant rate of non-clinically evident node metastases, central neck dissection should be routinely combined with thyroidectomy in papillary thyroid carcinoma [25].

Taking into consideration the central and ipsilateral compartments have different lymphatic channels from the thyroid gland and its own SLN and central neck dissection was routinely performed in our hospital, our study was designed to trace the stained nodes in the jugulo-carotid chain to identify which patients might be most likely to benefit from lateral neck dissection in cases of papillary thyroid carcinoma.

In our study we used total of 0.4 ml of 5 mg/ml indocyanine green injected into the parenchyma of upper and lower thyroid gland for intraoperative lymphatic mapping. After 5 minutes, the whole thyroid lobe in which indocyanine green injected dyed well. Although the majority of lymphatic channels and lymph nodes were dyed blue in central compartment, several lymphatic channels were identified in lateral compartment. The SLNs in lateral compartment were identified following a stained lymphatic channel. Although the sample size was small in our study, IR was 100%, with specificity of 100% and sensitivity of 100%. Negative predictive value was 100%, and positive predictive value was 100%. Moreover, 4 in 6 cases with metastasis to the lateral compartment, the SLN is the only positive node.

Our report suggest that SLN biopsy of lateral compartment in patients who have papillary thyroid carcinoma without suspected lymphadenopathy can identify occult metastases early and can avoid the morbidity associated with routine and unnecessary lymph node dis-

section. However, additional studies are needed that include diagnostic sensitivity in frozen section, larger patient samples, a control group and longer follow-up.

## 5. Conclusions

Our results imply that SLN biopsy in the lower jugulocarotid chain using indocyanine green mapping is a feasible, safe, and accurate method of estimating lymph node status in the lateral neck compartment. The method may be helpful in the detection of true-positive but nonpalpable lymph nodes and may support a decision to perform a selective lateral node dissection in patients with papillary thyroid carcinoma.

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