α₂-macroglobulin co-administered *in vivo* promotes antigen delivery and presentation

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ABSTRACT

Administered in vivo, covalent receptor-recognized α_2 -macroglobulin ($\alpha_2 M^{\tilde{x}}$)-antigen complexes enhance humoral and cell-mediated immunity. We hypothesized that in vivo α₂M^{*}-encapsulation could be promoted in the setting of vaccines that co-deliver α₂M^{*} with unbound antigen, thereby eliminating the need to prepare complexes in vitro. Mice immunized intradermally with co-delivered α₂M and OVA demonstrated antigen-specific immune responses, including anti-tumor responses, similar to those elicited by conjugated α₂M*-OVA complexes. Enhanced immunity appears to result from in vivo α₂M^{*}-encapsulation of antigen. This finding represents a significant advancement in the development of $\alpha_2 \mathbf{M}^*$ as an antigen delivery vehicle capable of enhancing the presentation of subunit vaccines.

Keywords: *α*₂-Macroglobulin-Antigen Complexes; Antigen Presentation/Processing; Vaccination; Cytokines; Spleen

1. INTRODUCTION

Previous studies have demonstrated that antigen encapsulation by α_2 -macroglobulin $(\alpha_2 M)^1$ enhances antigen-specific immune responses, both *in vitro* and *in vivo* [1-7]. For these studies, $\alpha_2 M$ -encapsulated antigen complexes were typically prepared by *in vitro* incubation of amine-activated $\alpha_2 M$, designated $\alpha_2 M^*$, with an 8 to 100-fold-molar excess of antigen in the presence of heat [3,4,7]. These $\alpha_2 M^*$ -antigen complexes were then purified by size-exclusion chromatography to remove unbound antigen. While producing $\alpha_2 M^*$ -antigen complexes is not extremely difficult on a small scale, it would be more challenging to produce the complexes and perform the necessary quality control on an industrial scale.

While the maximal incorporation of antigen into $\alpha_2 M^*$ occurs following 24 h of incubation at 37°C, some incorporation of antigen occurs at much earlier time peri-

ods [8,9]. It is thought that $\alpha_2 M^*$ -encapsulation occurs naturally in vivo as a mechanism of targeting antigen for uptake, processing, and presentation by professional antigen presenting cells [1]. It, however, is unknown whether in vivo $\alpha_2 M^*$ -encapsulation could be promoted in the setting of a vaccine that co-delivers $\alpha_2 M^*$ with unbound antigen. A high local concentration of antigen may be necessary to drive incorporation into $\alpha_2 M^*$. Because most routes of injection result in rapid dissipation of antigen, conditions that result in a depot effect, for example intradermal injection and alum absorption, should promote $\alpha_2 M^*$ -encapsulation in vivo. In this study, we investigate the ability of $\alpha_2 M^*$, co-administered with unbound antigen, to enhance antigen-specific immune responses through in vivo encapsulation, resulting in enhanced antigen delivery.

2. MATERIALS AND METHODS

2.1. Purification and Activation of Murine and Human $\alpha_2 M$

Purification and amine-activation of murine α_2M was performed as previously described [7,8,10,11]. Human α_2M was purified from fresh, frozen human plasma, obtained from the American Red Cross (Durham, NC, USA), according to a previously published protocol [12].

Native human α_2M was converted to the "amine-activated" form $(\alpha_2 M^*)$ by incubation in 200 mM ammonium bicarbonate at room temperature for 1 h. Buffer exchange into PBS was achieved using a 5 mL disposable de-salting column. Native human $\alpha_2 M$ was converted to the "trypsin-activated" form (α_2 M-T) by incubation with a 5-fold molar excess of trypsin (Worthington Biochemical, Lakewood, NH) for 1 h at room temperature. The Halt protease inhibitor cocktail (Thermo Scientific, Rockford, IL) was then added to inhibit proteolytic activity. Excess trypsin and protease inhibitors were removed by consecutive passes over a 100 kDa centrifugal concentrator (Pall Life Sciences, Ann Arbor, MI). Purified protein contained less than 10 pg of endotoxin per mg of protein, as determined by a commercial assay kit (Limulus Amebocyte Lysate Kinetic-QCL by Cambrex, Walkersville, MD).

2.2. Encapsulation of OVA into Murine $\alpha_2 M^*$

Complexes of amine-activated $\alpha_2 M^*$ and Alexa Fluor[®] 647-conjugated ovalbumin (OVA) (Molecular Probes, Eugene, OR) were prepared as previously described [7]. Molar ratio of incorporation was approximately 3:1 OVA: $\alpha_2 M^*$, as determined by fluorescence quantification.

2.3. Time-Dependent Study of OVA Encapsulation into Human α₂M

A 3-fold molar excess of OVA was incubated at 37° C with either $\alpha_2 M^*$ or $\alpha_2 M$ -T for 0.5 to 24 h. Samples were then immediately analyzed by native PAGE.

2.4. Cells and Cell Culture

The MO5 cell line, an OVA-transfected subclone of B16 melanoma, was a kind gift from Dr. Kenneth Rock (University of Massachusetts Medical School, Worcester, MA). MO5 cells were cultured in complete media supplemented with 2 mg/mL G418. Murine splenocytes were harvested and cultured as previously described [7].

2.5. Mice

Female C57/BL6 mice were obtained from Charles River Laboratories (Raleigh, NC). All mice were housed in the Duke University Animal Facility, an AAALAC approved facility. All experiments were conducted under an Institutional Animal Care and Use Committee-approved protocol.

2.6. Intradermal Immunization and Tumor Challenge

Prior to tumor challenge, C57/BL6 mice were immunized by intradermal injection into the right ear pinna with 10 μ L antigen or PBS, with or without the addition of $\alpha_2 M^*$ or CpG 1826, 5'-TCCATGACGTTCCTGACGTT-3' (Midland Certified Reagent Co., Midland, TX, USA). The treatment groups (n = 5; each receiving 1.35 μ g OVA/injection) included the following: OVA alone; OVA administered with 10 μ g CpG 1826; $\alpha_2 M^*$ -OVA; $\alpha_2 M^*$ -OVA administered with 10 μ g CpG 1826; $\alpha_2 M^*$ co-administered with unbound OVA; and $\alpha_2 M^*$ co-administered with unbound OVA with 10 μ g CpG 1826. The quantity of $\alpha_2 M^*$ administered with unbound OVA was equivalent to the amount of $\alpha_2 M^*$ present in the $\alpha_2 M^*$ -OVA preparations (6 μ g).

Preparations of $\alpha_2 M^*$ and OVA were kept in separate containers on ice until immediately prior to injection in order to minimize the possibility of *in vitro* $\alpha_2 M^*$ -encapsulation. Mice were subsequently boosted at days 35

and 63, consistent with our previously published immunization protocol [7]. Control groups (n = 5) received intradermal injections of PBS. CpG 1826, or $\alpha_2 M^*$ alone. Serum anti-OVA IgG was monitored every 2 weeks by ELISA, as previously described [7]. Mice were injected s.c. in the left flank with 10⁴ MO5 tumor cells in MatrigelTM basement membrane matrix (BD Biosciences PharMingen) at week 14. Staining of mouse PBLs with iTAg™ MHC Tetramer H2-K^b SIINFEKL-PE (Beckman Coulter, Fullerton, CA) and Caltag™ FITC-conjugated Rat anti-Mouse CD8a antibody (Invitrogen Corp., Carlsbad, CA) was performed 2 weeks following tumor implantation, as previously described [7], in order to quantify the proportion of CD8+ T cells specific for the H2-K^b-restricted CTL epitope of OVA, the SIINFEKL peptide (OVA₂₅₇₋₂₆₄). Tumor diameters were measured using digital calipers, and tumor volume was calculated using the equation $V = 0.4 ab^2$, where a and b are the longest and shortest diameters, respectively. Mice were euthanized when tumor volume reached 2 cm³.

For the detection of fluorescently-labeled OVA encapsulated by $\alpha_2 M^*$ *in vivo*, three mice were injected intradermally in the right ear pinnae with 3:1 OVA: $\alpha_2 M^*$ (30 µg:10 µg) in PBS. For comparison, one mouse was injected with only OVA (30 µg) and another was injected with only $\alpha_2 M^*$ (10 µg). After 1.5 h, mice were euthanized, and ear pinnae were flushed with 3 × 20 µL PBS. The collected fluid samples were analyzed by native PAGE, and incorporation of antigen was measured directly by fluorescence imaging using an Odyssey infrared imaging system (LI-COR Biosciences, Lincoln, NE, USA).

2.7. [3H]-Thymidine Proliferation Assay

Splenocytes harvested from mice that had been previously immunized with OVA and CpG 1826 (as above) were pulsed for 6 h with 2.5 μ M OVA, either free or codelivered with amine-activated $\alpha_2 M^*$ or trypsin-activated $\alpha_2 M^*$ T (7.5 μ M), in serum-free media. As controls, cells were also pulsed with Con A (5 μ g/mL) and $\alpha_2 M^*$ containing no antigen. Cells were then washed, resuspended in complete media, loaded at 2.5×10^5 cells per well onto a 96-well flat-bottom plate and cultured for 3 d at 37° C in a humidified 5% CO₂ incubator. Cultured cells were treated with 1 μ Ci/well [methyl-³H]thymidine (PerkinElmer, Waltham, MA) 18 h prior to harvesting. Incorporation of [³H]thymidine was measured using a Tri-Carb 2100 TR liquid scintillation counter (PerkinElmer, Boston, MA).

2.8. Statistical Analysis

For *in vitro* studies, the Student's *t*-test was performed to determine *P* values and ascertain statistical signifi-

cance between two treatments. For *in vivo* studies (antibody titers, tetramer staining, and tumor growth), ANOVA was performed, followed by multiple comparison procedures (Tukey) to determine differences between groups. Significance between Kaplan-Meier survival curves was determined by log-rank Mantel-Cox analysis. The level of significance used was 0.05.

3. RESULTS

3.1. Antigen Encapsulation by α₂M^{*} Occurs Rapidly and Can Be Detected Following as Little as 30 min of Incubation

Consistent with a previous report [8], maximal incorporation of antigen by $\alpha_2 M^*$ occurs after 24 h of incubation at 37°C (**Figure 1**). However, some association of antigen with $\alpha_2 M^*$ can be observed at 30 min. This association likely represents covalent antigen incorporation by $\alpha_2 M^*$ because it is not observed with proteolytically-activated $\alpha_2 M$ -T. Although proteolytic thiol ester cleavage in $\alpha_2 M$ is irreversible, thiol ester cleavage by primary amines can be reversed with heat, allowing the incorporation of new antigens by $\alpha_2 M$ [11]. A small association between OVA and $\alpha_2 M$ -T was observed following 24 h of incubation.

3.2. Co-Administration of α₂M^{*} with Unbound Antigen Enhances Humoral and Cell-Mediated Immunity to a Similar Degree as Conjugated α₂M^{*}-Antigen Complexes

To determine if co-delivery of antigen with unbound $\alpha_2 M^*$ could enhance immune responses *in vivo*, groups of naïve C57/BL6 mice (n = 5) were immunized intradermally with OVA and unbound $\alpha_2 M^*$, with or without the addition of an immunostimulatory adjuvant, CpG 1826. This study was performed concurrently with a previously reported experiment investigating immune responses to prepared $\alpha_2 M^*$ -OVA complexes [7]. Separate unbound $\alpha_2 M^*$ and OVA preparations were kept on ice and combined immediately prior to injection in order to minimize the possibility of $\alpha_2 M^*$ -encapsulation occurring *in vitro*. Following two booster injections (days 35 and 63), mice were challenged with a subcutaneously implanted OVA-expressing B16 melanoma flank tumor.

For both conjugated $\alpha_2 M^*$ -OVA and unconjugated $\alpha_2 M^*$ + OVA groups, the development of anti-OVA IgG antibody was first observed 8 weeks following initial injection (result not shown). End-point titers (antibody titers at the time of tumor implantation; week 14) are shown in **Figure 2(a)**. OVA administered either with unbound $\alpha_2 M^*$ or the well-characterized adjuvant CpG 1826 produced similar antibody titers in immunized mice.

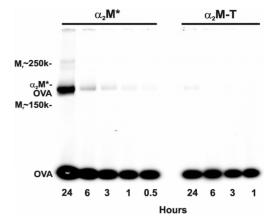


Figure 1. Time-dependence of $\alpha_2 M^*$ incorporation of antigen. A 3-fold molar excess of OVA was incubated at 37°C with either amine-activated $\alpha_2 M^*$ or proteolytically-activated $\alpha_2 M$ -T for 0.5 to 24 h. Native PAGE demonstrates co-migration of fluorescently-labeled OVA (45 kDa) with $\alpha_2 M^*$ dimers (360 kDa) after 30 min of incubation; maximal comigration can be observed after 24 h of incubation. For incubation periods less than 24 h, no association between OVA and $\alpha_2 M$ -T is observed. Previous studies have shown no incorporation of OVA into native $\alpha_2 M$ [7].

Although the mean antibody titers produced by conjugated $\alpha_2 M^*$ -OVA were greater than those elicited with OVA and unbound $\alpha_2 M^*$, the difference between these groups was not found to be statistically significant.

Tetramer staining of PBLs was performed 2 weeks following tumor implantation in order to observe expansion of the antigen-specific CD8+ T cell population in these mice (Figure 2(b)). Mice treated with OVA and unbound $\alpha_2 \overline{M}^*$ with the addition of CpG, however, did elicit expansion of the OVA-specific CD8+ T cell population, which was significant compared to the control groups. Although the OVA with unbound $\alpha_2 M^*$ without CpG group appeared to elicit some degree of expansion of the OVA-specific CD8+ T cell population, the tetramer staining population for this group was not significantly greater than that of the control groups (PBS, CpG, or $\alpha_2 M^*$ alone). Conjugated $\alpha_2 M^*$ -OVA treatment groups appeared to elicit greater expansion of antigen-specific CTLs than the OVA with unbound $\alpha_2 M^*$ groups, the differences between these treatment groups were not found to be statistically significant. The greatest expansion of OVA-specific CD8+ T Cell population was observed in the α_2 M conjugated-OVA with the addition of CPG.

3.3. Co-Administration of α₂M^{*} with Unbound Antigen Enhances Anti-Tumor Immune Responses

To investigate the anti-tumor response of co-administered $\alpha_2 M^*$ vaccinated mice were challenged with OVA

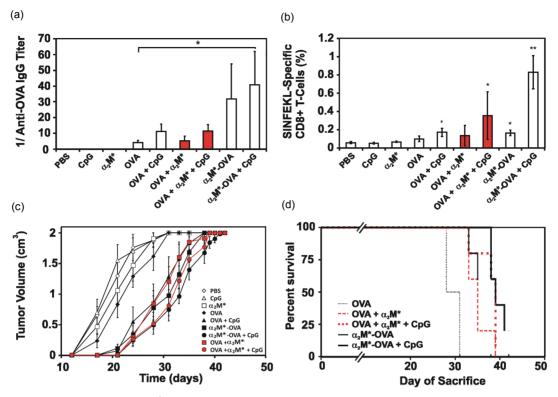


Figure 2. Co-delivery of $\alpha_2 M^*$ with antigen enhances tumor protection *in vivo*. End-point titers (a), tetramer staining of PBLs (b), and tumor growth (c) are shown for each mouse treatment group. For clarity, groups treated with $\alpha_2 M^*$ co-administered with unbound OVA are shown in red. Values indicate mean \pm SEM. P values (*P < 0.05; **P < 0.005) indicate differences between the respective treatment group and each of the three control groups (PBS, CpG, $\alpha_2 M^*$). The bracket in Panel A indicates a comparison between the indicated groups (*P < 0.05). (d) Kaplan-Meier plot depicts survival of treatment groups.

expressing BIG melanoma flank tumors. Anti-tumor responses elicited by the co-delivery of $\alpha_2 M^*$ with unbound OVA were found to be similar to those observed with $\alpha_2 M^*$ -OVA. Observable growth of OVA-expressing tumors over time is shown in **Figure 2(c)**. Mice immunized with OVA and unbound $\alpha_2 M^*$, with or without CpG, demonstrated delayed tumor growth compared to each of the control groups, tumor growth for these groups was not significantly different from the $\alpha_2 M^*$ -OVA treatment groups. Survival of mice treated with co-delivered $\alpha_2 M^*$ and unbound OVA, either with or without CpG, was significantly prolonged (P < 0.005) compared to OVA treatment alone (**Figure 2(d)**). However, survival of these mice did not differ significantly from that of mice treated with conjugated $\alpha_2 M^*$ -OVA.

3.4. Encapsulation of Antigen by α₂M^{*} Occurs in Vivo in the Setting of Intradermal Injection

We hypothesized that enhanced *in vivo* immune responses with $\alpha_2 M^*$ and OVA co-delivery were the result of *in vivo* encapsulation of antigen. The conditions of antigen delivery, including the depot effect caused by

intradermal injection and the 37°C environment of the mouse, are similar to the conditions used to successfully incorporate antigen into $\alpha_2\text{M}^*$ in vitro [8]. To determine if such in vivo encapsulation of antigen could occur in this setting, we similarly intradermally injected the ear pinnae of mice with a 3:1 molar ratio of $\text{OVA}:\alpha_2\text{M}^*$. For comparison, mice were injected with either OVA or $\alpha_2\text{M}^*$ alone. After 1.5 h, the mice were euthanized, and the ear pinnae were flushed with $3 \times 20~\mu\text{L}$ PBS. The fluid that was recovered was analyzed by native PAGE (**Figure 3**). The detection of fluorescently labeled OVA co-migrating with $\alpha_2\text{M}^*$ dimers in these mice confirmed the occurrence of in vivo encapsulation of OVA into $\alpha_2\text{M}^*$.

3.5. Enhanced Immune Responses with $\alpha_2 M^*$ Co-Administration Result from Encapsulation of Antigen, Rather than Ligation of $\alpha_2 M^*$ Receptors

The detection of *in vivo* $\alpha_2 M^*$ encapsulation suggests a mechanism for the enhanced *in vivo* immune responses discussed above, it was also possible that ligation of the $\alpha_2 M^*$ receptor, low-density lipoprotein receptor-related protein 1 (LRP-1)/CD91, in the absence of antigen en-

capsulation, may also contribute to this enhanced immunity. To investigate this possibility, splenocytes harvested from OVA-immunized mice were treated for 6 h with OVA and either unconjugated amine-activated $\alpha_2 M^*$ or proteolytically-activated $\alpha_2 M^*$. After 3 days, cell proliferation was measured by [3H]thymidine incorporation (**Figure 4**). Cell proliferation was increased approximately two-fold following co-delivery of $\alpha_2 M^*$ with antigen. However, co-delivery of $\alpha_2 M^*$, which is receptor-recognized but incapable of incorporating new antigen, did not enhance proliferation. Therefore, we concluded that this enhanced response is secondary to $\alpha_2 M^*$ -encapsulation and not ligation of the $\alpha_2 M^*$ receptor, (LRP-1)/CD91.

4. DISCUSSION

It has been suggested that new generation vaccines

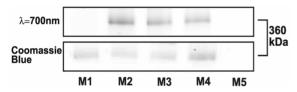


Figure 3. Incorporation of antigen by $\alpha_2 M^*$ occurs *in vivo*. Five mice received 10 μ L intradermal injections in the left ear pinnae. One mouse (M1) was injected with only $\alpha_2 M^*$, three mice (M2, M3, M4) were injected with a 3:1 molar ratio of OVA: $\alpha_2 M^*$, and one mouse (M5) was injected with only OVA. After 1.5 h, mouse ears were flushed with 3 × 20 μ L PBS, and collected fluid was analyzed by native PAGE (top: infrared fluorescence scan at λ = 700 nm, bottom: Coomassie stain).

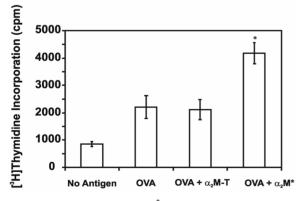


Figure 4. Co-delivery of $\alpha_2 M^*$ with antigen enhances cell proliferation *in vitro*. Splenocytes harvested from OVA-immunized mice were treated for 6 h with OVA, either alone or in combination with $\alpha_2 M^*$ or $\alpha_2 M$ -T. After 3 days of culture, cell proliferation was measured by [3 H]-thy-midine incorporation. As a control, incorporation by cells treated with no antigen is also shown. Each concentration was assayed in triplicate; values are mean \pm SD. Results are representative of three experiments. $^*P < 0.05$ compared to OVA or OVA $+ \alpha_2 M$ -T.

will largely consist of purified recombinant proteins [13]. However, formulations of purified protein are frequently poor at eliciting humoral and cell-mediated immunity. Therefore, the development of adjuvants and antigen delivery vehicles that are efficacious, as well as cost-effective and practical, is of extreme importance.

The highly conserved proteinase inhibitor α_2 M has received attention in recent years for its ability to entrap diverse macromolecules and target them for rapid receptor-mediated uptake by professional antigen presenting cells. Antigen delivery by $\alpha_2 M^*$ elicits 100 to 1000-fold enhanced antibody titers against protein and peptide based vaccines and vaccine candidates, such as hepatitis B surface antigen [3] and the HIV envelope gp120 C4-V3 peptide [4]. Complexes of $\alpha_2 M^*$ and trypanosomal proteinases have been shown to activate CD4+ T cells more efficiently than antigen alone [14] and to stimulate the production of antibodies that effectively inhibit activity of the enzyme [15]. Furthermore, our laboratory has recently demonstrated that $\alpha_2 M^*$ -encapsulation enhances antigen-specific CTL responses and protection against antigen-presenting tumors [7]. Although these studies have established that $\alpha_2 M^*$ -encapsulation can be achieved with relative ease on a small scale, assuming adequate resources and training in biochemical techniques, the large scale production of $\alpha_2 M^*$ -antigen complexes may present new challenges. Therefore, achieving enhanced immunologic responses with co-administered $\alpha_2 M^*$, avoiding the steps of in vitro incorporation and isolation of complexes, represents a significant advance for this antigen delivery vehicle.

5. CONCLUSION

Our findings demonstrate that co-delivery of $\alpha_2 M^*$ with unbound antigen can enhance humoral and cellmediated immunity, resulting in improved anti-tumor responses, to similar degree as α₂M*-antigen complexes prepared in vitro. These enhanced immune responses with $\alpha_2 M^*$ co-delivery appear to result from in vivo encapsulation of antigen, rather than a direct effect of ligating LRP by $\alpha_2 M^*$ not carrying bound antigen $\alpha_2 M^*$ receptors. The capacity of $\alpha_2 M^*$ to promote antigen delivery in vivo results from the rapidity with which it encapsulates local macromolecules. Antigens encapsulated by $\alpha_2 M^*$ are targeted for rapid receptor-mediated uptake by professional antigen presenting cells, resulting in efficient antigen processing and presentation. We conclude that administration of $\alpha_2 M^*$ in the context of a high localized concentration of antigen, such as that which can be achieved with a depot, facilitates antigen delivery and presentation. These findings represent a significant advancement in the use of $\alpha_2 M^*$ as an antigen delivery vehicle.

6. ACKNOWLEDGEMENTS

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ABBREVIATIONS

 α_2 M: α_2 -macroglobulin; α_2 M*: amine-activated α_2 M; α_2 M-T: trypsin-activated α_2 M;

 $\alpha_2 M^*$ -OVA: $\alpha_2 M^*$ -encapsulated ovalbumin;

CpG 182: 5'-TCCATGACGTTCCTGACG-TT-3';

LRP-1: low-density lipoprotein receptor-related protein

OVA: ovalbumin;

OVA₂₅₇₋₂₆₄: H2-K^b-restricted CTL epitope of OVA (SI-INFEKL peptide).