

# Electronic Ratchet Nanodiodes

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## Abstract

Using a two-dimensional ensemble Monte Carlo (EMC) method, electronic nanometer devices with different parameters are studied in detail. Calculation results show that at nanoscale the electric properties of interface inside the devices play an important role in determining the working properties of the devices. By properly arranging device structures, surface charges originated from device fabrication can be exploited to produce a predetermined electric potential in the devices. Based on this fact, two structures that can lead to an asymmetric potential along their nanochannel are proposed for designing strong nonlinear devices. Further studies indicate that Ratchet effect brought by the asymmetric potential results in diode-like current-voltage characteristics of the devices. Through optimizing device parameters, zero threshold voltage can be achieved, which is desired for detecting applications. Moreover, since the devices are at nanoscale, simulation results reveal that used as rectifiers the working frequencies can be up to a few THz.

**Keywords:** Ratchet Effect, Nanodiode, Asymmetric, Monte Carlo

## 1. Introduction

The conversion of unbiased, zero on average, deterministic or stochastic perturbations into directed motion (rectification) in a mesoscopic system has in recent years attracted considerable interest in a broad physics community [1,2]. Such system is usually referred to as a Brownian motor or a ratchet system and requires two necessary conditions, namely, that the system must be, first, brought away from thermodynamic equilibrium, and, second, absent of the inversion symmetry (thus determines the preferential direction) [1,2]. In order to realize the latter condition, several unique methods are proposed. The most common one is by means of spatial symmetric breaking [3–5] or temporal symmetric breaking [6]. Recently, spatial and temporal symmetric but spatiotemporal asymmetric system is also demonstrated theoretically<sup>7</sup> and experimentally [8]. Furthermore, the breaking of inversion symmetry can be induced by dynamic mechanism, e.g., white shot noise [9,10], position-dependent or state-dependent mobility [11,12] in some complex situation.

In semiconductor systems, the well known rectifying device is the pn junction or Schottky barrier based diode whose symmetry is broken by the doping profile or a band offset, respectively. At low temperature, the properties of electrons in mesoscopic structure are determined by quantum mechanisms open a new area for investigating the ratchet effects due to quantum process such as tunnelling[13,14] and quantum interference [15,16]. Recently, another interesting behavior of electrons, namely ballistic transport, has also been exploited to induce directed current in a planar nanodevice which have been demonstrated to work at room temperature and at least tens of GHz [17–22], whereas theoretical work predicted operations at THz frequencies [23,24].

More recently, based on a semiconductor nanochannel with a broken geometric symmetry, a new type of nonlinear nanometer-scale device, called self-switching diode (SSD), has been designed [25]. Working as rectifiers, SSDs showed very high speeds up to 110GHz at room temperature [26] and up to 2.5THz at a temperature of 150K [27]. Following the experiments, theoretical works were also carried out to further study the SSD

operations [28–33]. Moreover, both experimental and theoretical works indicated in such nanometer devices the surface charges on the sidewall of etched trenches have a non-negligible influence on the device operations [25, 28–31].

Comparing with classical models (drift-diffusion model and hydrodynamic model), Monte Carlo (MC) model shows an advantage of physical accuracy. For example, it can overcome the problems like spurious velocity overshoot or artificially enhanced particle diffusion that occurs when hydrodynamic model is applied to simulate deep submicron devices [34]. By using MC model, one is able to qualitatively reproduce the main features of nanodevices even if in some cases electrons move in quasi-ballistic fashion [30]. In this work, we perform MC simulations to focus on studying the influence of the surface charges at the semiconductor-insulator interface on the electron transport through the planar nanodevices. Simulation results show that such surface charges can be exploited to create an asymmetric electric potential along the nanochannel. This asymmetric potential brings a Rocking Ratchet effect and then results in diode-like current-voltage characteristics of the devices. Through optimizing such Rocking Ratchet device (RRD) parameters, zero threshold voltage can be achieved. Since the device is at nanoscale, simulation results reveal that it can work at frequencies up to a few THz as a rectifier.

The paper is structured as follows. In Section 2, two RRD structures are proposed and then the EMC model is also described. In Section 3, RRDs with different parameters are simulated and their performances are compared. The origin of the strong nonlinear steady-state characteristics is analyzed and high-frequency performances are also achieved. Finally, the conclusions of the work are summarized in Section 4.

## 2. Monte Carlo Model

Figures 1 shows schematically the top views of proposed RRD “A” (c) and “B” (b), and their cross section (c). The devices are based on an  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{In}_{0.53}\text{Al}_{0.47}\text{As}$  heterostructure, where a two-dimension electron gas (2DEG) is formed at the  $\text{InGaAs}-\text{InAlAs}$  interface. For each device, the two insulating grooves are created by, e.g., chemical etching, through the 2DEG layer, which ensures that the electrons have to pass the narrow channel between the two grooves in order to conduct a current between the left and right terminals. RRD “A” is with a non-uniformed channel, while RRD “B” with a uniformed one. But the two RRDs are both designed to have asymmetric distributions of semiconductor insulator interfaces in the devices. Since there are surface char-

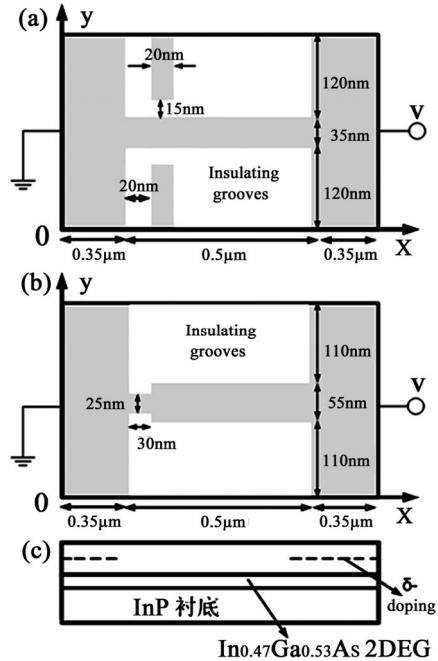


Figure 1. Schematic top view of simulated RRD “A” (a) and RRD “B” (b), and their cross section (c).

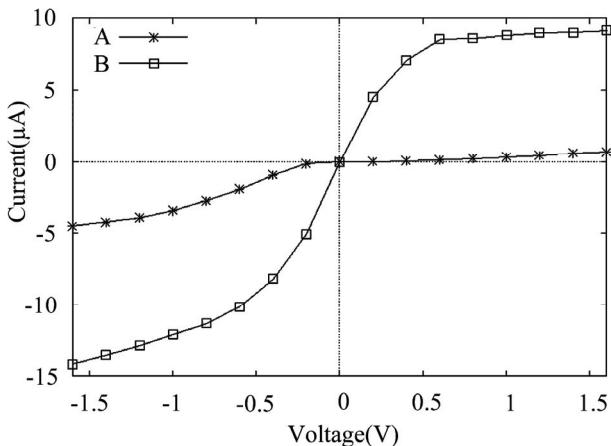
ges originated from device fabrication along the semiconductor-insulator interfaces, an asymmetric surface charges distribution in the RRDs can be expected. Our following studies will show that this asymmetry leads to diode-like current-voltage ( $I-V$ ) characteristics.

A semi-classical EMC method self-consistently coupled with the Poisson equation is used in this work [35]. For an exact modeling of the devices, 3D simulations would be necessary in order to take into account the effect of the lateral surface charges and the real topology of the structures. However, this is time-consuming and also for most cases the 2D model suffices [28–31]. Hence, some theoretical treatments are made to enhance the efficiency of the EMC simulations, which are similar to the methodology previously adopted on SSDs [28–33]. Firstly, a 2D EMC simulation is performed only on the active  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  layer, since it is the 2DEG that largely determines the electronic properties of the device. Secondly, to account for the fixed positive charges of the whole layer structure, a virtual net background doping  $N_V = 1.0 \times 10^{17} \text{ cm}^{-3}$  (without impurity scattering) is assigned to the  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  layer. As such, the electron transport through the undoped  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}$  layer is well described. Finally, in order to include the influence of surface states at semiconductor-air interfaces originated from the chemical etching process during the device fabrication, a uniform negative charge density  $N_s$  is added at the edge of the insulating trenches during the simulations. Our model has been verified by means of simulating a few real SSD structures that have been fabricated from either an  $\text{In}_{0.53}\text{Ga}_{0.47}\text{As}/\text{In}_{0.53}\text{Al}_{0.47}\text{As}$  or an  $\text{In}_{0.75}$

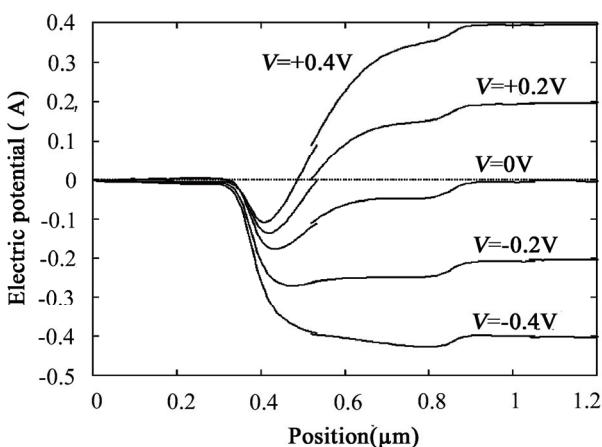
$\text{Ga}_{0.25}\text{As}/\text{InP}$  devices and a good agreement was obtained between the simulated and measured  $I$ - $V$  characteristics [36].

### 3. Results and Discussion

In this section, efforts are devoted to a deep study of RRDs, including the origin of diode-like  $I$ - $V$  characteristics, the effect of surface charge density on the steady-state performance, and the rectification properties. During EMC simulations, the left terminal of the devices is grounded and a sweeping voltage from -1.6V to 1.6V with a step of 0.2V is added on the right terminal of the devices as shown in Figure 1(a) & (b). At each applied voltage, the simulation has been carried out for a long enough time to ensure that the devices reach a steady state. Simulation results of the steady-state  $I$ - $V$  character-



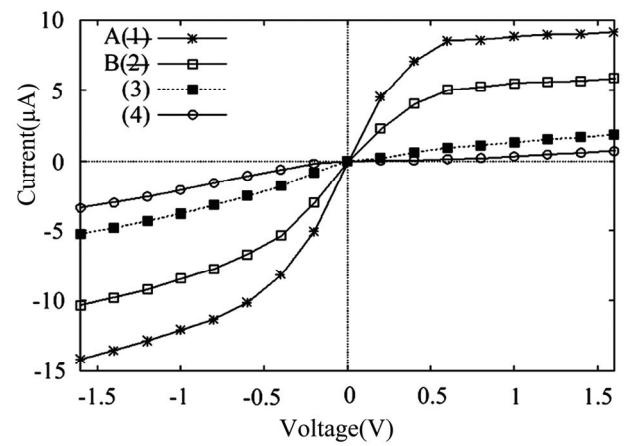
**Figure 2. Current-voltage characteristics of RRD “A” and “B” showing diode-like characteristics.**



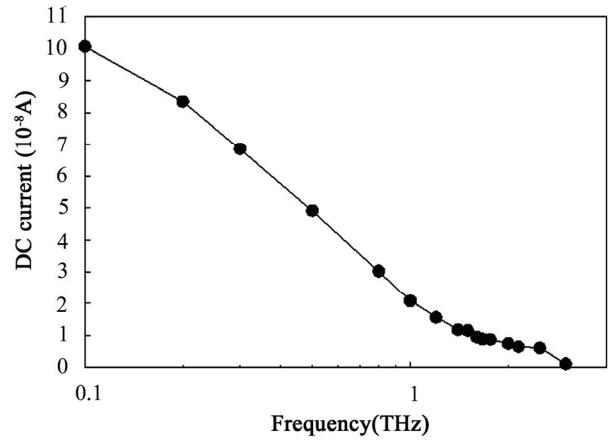
**Figure 3. Electric potential variation along the center of the nanochannel at applied biases of  $V = \pm 0.4$  V,  $\pm 0.2$  V and 0 V.**

istics of the two proposed RRDs (“A” and “B”) with a negative surface charge density  $N_s = -0.15 \times 10^{12} \text{ cm}^{-2}$ , are plotted in Figure 2. It can be found that for applied voltages with the same absolute value, current induced by a negative one is always larger than that by a positive one, showing asymmetric electron transport in the devices.

As we mentioned in Section I, asymmetric transport is always relative to the absent of some inversion symmetry. Here the surface charge profile and the device geometry are both with broken symmetry. The asymmetric geometry of RRD “A” is because of the two isolated areas which unlike that of SSDs can not block the transport of electrons. Meanwhile, RRD “B” is just like two connected resistors. Based on the two facts, one can easily expect that the asymmetric geometry of considered RRDs makes little contribution to the asymmetric transport.



**Figure 4  $I$ - $V$  characteristics of RRD “B” with surface charge densities of  $N_s = -0.15 \times 10^{12} \text{ cm}^{-2}$  (1),  $-0.20 \times 10^{12} \text{ cm}^{-2}$  (2),  $-0.25 \times 10^{12} \text{ cm}^{-2}$  (3) and  $-0.27 \times 10^{12} \text{ cm}^{-2}$  (4).**



**Figure 5 Frequency responses of RRD “B” with virtually zero threshold voltage, showing gradual reduce of the DC current with the frequency.**

In order to investigate the effect of surface charge asymmetry, the electric potential variation along the center of the devices (also the center of the nanochannel) is calculated and the results of RRD “A” at different applied biases of  $V = 0.4$  V, 0.2 V and 0 V are shown in Figure 3. Since the negative charge will produce an electric field to prevent the approaching of electrons, an asymmetric electric potential barrier emerges along the nanochannel even at zero bias. The absent of this electric potential barrier will block the electrons and make it different for them to flow through the nanochannel. By applying a voltage on the right terminal of the device, one can lower this electric potential barrier so that making the electrons pass through the nanochannel easier. As shown in Figure 3, the electric potential barrier responds to not only the amplitude but also the sign of applied voltage. Obviously, a negative voltage has more efficiency on lowering the electric potential barrier than a positive one, which is called as Rocking Ratchet Effect [1,2]. This effect leads to a strong asymmetric electron transport through the devices resulting in diode-like I-V characteristics as shown in Figure 2.

To further investigate the effect of surface charges on the device performances, RRDs with various surface charge densities are simulated. The results of RRD “B” with surface charge densities of  $N_s = -0.15 \times 10^{12}/\text{cm}^2$ ,  $-0.20 \times 10^{12}/\text{cm}^2$ ,  $-0.25 \times 10^{12}/\text{cm}^2$  and  $-0.27 \times 10^{12}/\text{cm}^2$  are shown in Figure 4. One can find that with the increase of surface charge density the current reduces while the ratio of negative current to positive one increases. The increment of this current ratio indicates a growing of asymmetric transport through the device which followed results in an enhancement of nonlinear I-V characteristics near zero bias. By choosing a proper surface charge density  $N_s$  between  $-0.25 \sim 0.27 \times 10^{12}/\text{cm}^2$ , a virtually zero threshold voltage of the device can be obtained as shown in Figure 4. Virtually zero threshold voltage is desired in practical applications for not only simplifying the additional circuit but also reducing the 1/f noise [37]. Although the surface charge density is difficult to exactly control during device fabrications, one can alternatively change the width of nanochannel to obtain a virtually zero threshold voltage.

With strong nonlinearity at zero bias, RRDs are expected to use as a high-speed rectifier to convert microwave signal to dc signal. To confirm this, a sinusoidal signal with amplitude of 0.25 V is applied to the right terminal while the left terminal is grounded. The rectified current is then calculated at frequencies from 100 GHz to several THz. Figure 5 shows the simulation results for the RRD “B” with virtually zero threshold voltage. Although with the increase of signal frequency, the dc output reduced gradually, the device has non-zero dc output at frequency up to 3 THz, showing a potential for THz applications.

## 4. Conclusions

A 2D ensemble Monte Carlo simulation has been carried out to study the electric properties of RRDs, including the origin of diode-like I-V characteristics, the effect of surface charge density on the steady-state performance, and the rectification properties. Simulation results show that at nanoscale the surface charges originated from device fabrication have a strong influence on the electric potential in the device and can be exploited to produce a predetermined asymmetric electric potential barrier along the nanochannel by properly arranging the inner etched insulating grooves of the devices. Based on this asymmetric potential barrier, two RRDs with diode-like I-V characteristics are proposed and their working principle is explained in terms of Rocking Ratchet effect. Since the proposed devices possess strong nonlinear I-V characteristics, they may be useful in composing planar integrated circuits. Moreover, the above strong nonlinear feature exists even in the case of very high frequency (up to 3 THz) showing strong potential applications, such as power detectors or multipliers, in THz region.

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