

# Analysis of Mean Monthly Rainfall Runoff Data of Indian Catchments Using Dimensionless Variables by Neural Network

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## ABSTRACT

*This paper focuses on a concept of using dimensionless variables as input and output to Artificial Neural Network (ANN) and discusses the improvement in the results in terms of various performance criteria as well as simplification of ANN structure for modeling rainfall-runoff process in certain Indian catchments. In the present work, runoff is taken as the response (output) variable while rainfall, slope, area of catchment and forest cover are taken as input parameters. The data used in this study are taken from six drainage basins in the Indian provinces of Madhya Pradesh, Bihar, Rajasthan, West Bengal and Tamil Nadu, located in the different hydro-climatic zones. A standard statistical performance evaluation measures such as root mean square (RMSE), Nash–Sutcliffe efficiency and Correlation coefficient were employed to evaluate the performances of various models developed. The results obtained in this study indicate that ANN model using dimensionless variables were able to provide a better representation of rainfall–runoff process in comparison with the ANN models using process variables investigated in this study.*

**Keywords:** Dimensional Variables, Artificial Neural Networks, Rainfall–Runoff

## 1. Introduction

The rainfall-runoff relationship is one the most complex hydrological phenomenon due to the tremendous spatial and temporal variability of watershed characteristics and rainfall patterns as well as a number of variables involved in the physical processes. Also, this process is non-linear in nature and thus difficult to arrive at explicit solutions [1,2]. The runoff needs to be estimated for efficient utilization of water resources. The rainfall-runoff models play a significant role in water resource management planning and hydraulic design. Several attempts have been made to model the non-linearity of the rainfall–runoff process, arising from intrinsic non-linearity of the rainfall–runoff process and from seasonality. These rainfall-runoff models generally fall into these broad categories; namely, black box or system theoretical models, conceptual models and physically-based models [3-5]. Black box models normally contain no physically-based input and output transfer functions and therefore, are considered to be purely empirical models. Conceptual rainfall-runoff models usually incorporate interconnected physical elements with simplified forms, and each element is used to represent a significant or dominant constituent hydrologic process of the rainfall-runoff transformation [6,7]. A dimensional analysis

technique has also been developed and used to obtain mean annual flood estimation in several Indian catchments [8].

In recent year, applications of Artificial Neural Network (ANN) has become increasing popular in water resources and have been used in various fields for the prediction and forecasting of complex nonlinear processes, including the rainfall runoff phenomenon. Many studies have demonstrated that the ANNs are excellent tools to model the complex rainfall–runoff process and can perform better than the conventional modeling techniques [1,9-12]. However, many a times, less attention is given to simplify the ANN structure.

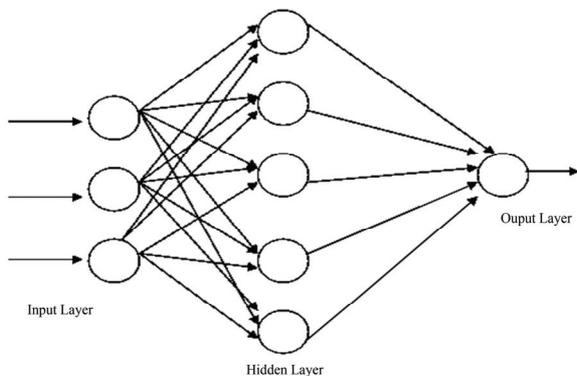
The use of dimensionless variables as input and output to ANN in rainfall-runoff modeling has not been found in the literature as of our best knowledge. Although, some evidences of using dimensionless variables in ANN are known in application of estimation of scour downstream [13] and for heat problems [14]. Swamee used the dimensionless variables to compute annual flood estimation and hence, the same dimensionless variables are used in this present study in the context of rainfall-runoff process [8].

Thus, in view of the above, the objectives of the present study are to 1) evaluate dimensional analysis technique of Swamee *et al.*; 2) investigate the technique of

ANNs using process variables as well as dimensionless variables for modeling the complex rainfall–runoff process; and 3) to achieve simplifications in ANN structure. The paper begins with a brief introduction of the computing techniques of ANN and study area followed by the details of the model development before discussing the results and making concluding remarks. The techniques are applied on all river basin data used in the present study and Damodar river basin is used as an example of individual river basin to examine the effects on individual catchment.

**2. Artificial Neural Network**

The Artificial Neural Network represents an alternative computational paradigm where the solution to a problem is learned from a set of samples. An artificial neural network consists of simple synchronous element, called neurons, which are analogous to the biological neurons in the human brain [7,15]. These neurons are arranged in layers in a network. The neurons in one layer are connected to those in the adjacent layers and strength of connection between the two neurons in adjacent layers is called “weight”. There are weights on each of the interconnections and it is these weights that are altered during the training process to ensure that the inputs produce an output that is close to the desired value with an appropriate training rule being used to adjust the weights in accordance with the data that are presented to the network. An ANN normally consists of three layers, an input layer, a hidden layer, and an output layer. In a feed-forward network, the weighted connections feed activations only in the forward direction from an input layer to the output layer. Each node in a layer receives and processes weighted input from a previous layer and transmits its output to nodes in the following layer through links. A typical three layer feed-forward network is shown in **Figure 1**. There are many optimization techniques for neural networks training using the backpropagation algorithm. Recently, Levenberg–Marquardt learning algorithms are used increasingly due to the better performance and learning speed with a simple structure [15,16].



**Figure 1. Three layer feed-forward neural network**

This learning algorithm is discussed here briefly as follows:

The Levenberg–Marquardt algorithm is based on approaching second-order training speeds without having the computation of Hessian matrix [17]. The Levenberg–Marquardt algorithm uses an approximation to the Hessian matrix in the following Newton-like update: when  $\mu$  is large, this becomes gradient descent with a small step size, and when  $\mu$  is small, the algorithm approximates the Newton’s method.

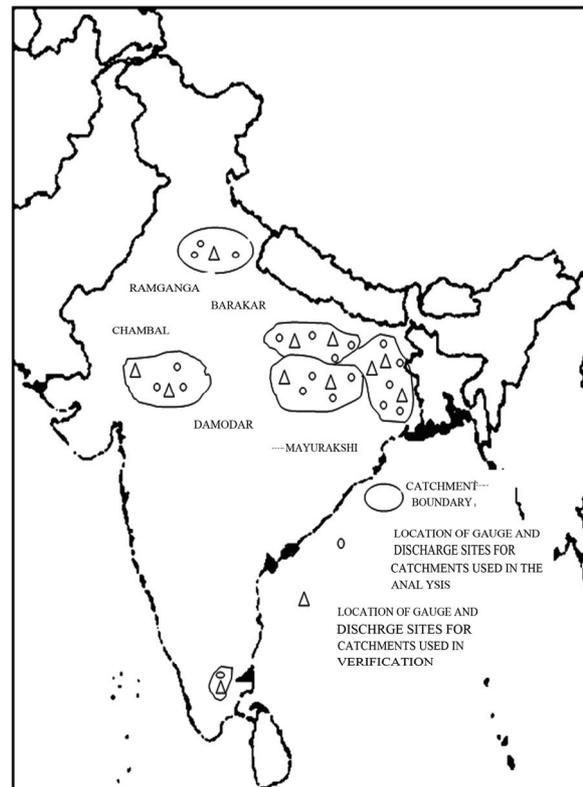
The Levenberg-Marquardt algorithm uses this approximation to obtain the revised weight in the following form:

$$X_{k+1} = X_k - [J^T J + \mu I]^{-1} J^T e \quad (1)$$

where  $J$  is the Jacobian matrix that contains first derivatives of the network errors with respect to the weights and biases;  $e$  is a vector of network errors and  $I$  is an identity matrix [15,18,19].

**Study Area**

The data used in this study are from 31 sub-catchments of six large drainage basins in the Indian provinces of Madhya Pradesh, Bihar, Rajasthan, West Bengal and Tamil Nadu. Locations of the various catchments and sub-catchments taken for the analysis are shown in **Figure 2**. The sub-catchments were grouped under six major river basins namely Damodar, Barkar, Chambal, Mayurakshi, Lower Bhawani and Ram Ganga.



**Figure 2. Geographical locations of different catchments**

The values of monthly runoff were determined by summing up the daily observed discharges for the month. The monthly rainfall for each catchment was averaged using the Thiessen polygon method. The hydrological data for use in the present study is taken from Pooja Jain and Rama Raju [20,21]. These data were originally taken from the reports of Soil and Water Conservation Division, (1984, 1987) published by Water Conservation Division

of the Ministry of Agriculture, Government of India. The periods for which data is available vary from 10 to 17 years. Some data points were excluded from published hydrological data where runoff was more than precipitation, which is practically not possible. Mean values of several years of data are given in **Table 1** and ranges of the above mentioned data used in the present study are given in **Table 2**.

**Table 1. Mean value of data used**

Hydrological region	June		July		Aug		Sept		Oct		S(%)	FA(%)	A(Km2)
	R <sub>m</sub> (mm)	P <sub>m</sub> (mm)											
Damodar	42.62	97.67	131.16	282	95.32	222	84.66	206	31.41	47.9	8.5	12	299.61
	63.36	165	151.8	251	148.9	263	130.2	188	51.7	74.6	17.5	10	291.53
	45.11	123.7	142.6	283.4	165.4	280.1	123	236.8	66.3	87.3	14.5	10	261.9
	54	113.3	145.3	275.8	136.1	285	131.5	228.6	49.9	74.6	12.5	15	172.88
	49.6	132.11	128.16	266	177	326.1	148.65	229.1	57.3	90.24	13	20	267.7
Barakar	31.2	134.2	98.51	240	190.6	327.7	150.5	231.2	40.7	50.9	12.8	28	69.62
	78.9	155.5	99.85	224.1	120	271.6	77.6	136.7	40.24	52.64	16.5	30	396.99
	51.72	159.41	131.26	242	216.22	301	108.8	197.8	57.84	91.5	8.5	40	149.6
	59	140	142.2	301	138.9	284.7	127.64	223.7	75.3	107.6	12.5	35	441.54
	22.74	74.3	113.81	270	135.18	263.7	139.9	280.5	46.54	86.41	14	50	156.64
Chambal	33.2	78.2	137.2	331.8	154.7	306	113	230.1	29.6	46	11.5	40	235.87
	72.7	166.6	103.64	340.4	159.25	299.6	126.7	212.6	51.04	60.6	9	60	35.58
	7.53	49.18	62.11	230	112.7	277.5	46.91	149.1	5.73	12	1	52	15.4
	4.5	43.65	55.43	192.2	129.71	232.8	37.31	135	5.88	11.3	1	42	16
	4.6	49.22	88.2	235.1	114.6	272	50.6	171.2	5.6	59.6	8	40	29.2
Mayurakshi	19.7	74.5	109.6	266.7	97.45	238.1	50.16	132.4	6.1	6.1	6.5	1	383.2
	11.17	49.7	84.41	228.6	120.71	286.1	59.7	193.5	10.9	64.6	1.5	15	345.65
	45.46	134.5	177.41	351.6	137.8	244.6	152	271.6	58.11	81.41	5	25	1514.45
	31.5	110.6	152.65	321.4	143.06	261.2	122.43	212.4	47.42	71.6	13	38	1054.47
	60.8	175	142	362	154	220	162.2	225.6	48.11	45.2	8	26	346.75
Lower Bhawani	40.54	147	268.6	370.7	149.6	236.3	171.23	245.8	40.8	45	3.5	35	39.26
	23.5	108.7	149.21	307.4	107.1	221.5	108	219.8	40.35	47.5	6	35	76.79
	49.3	142.2	191.6	351	133.8	238	130.16	236.2	38	51.3	4	48	196.84
	25.8	108.8	131.2	390.4	196.1	257.8	150.7	223.2	44.26	33.7	6	45	311.91
	34	130.7	118.1	310	113.7	256.5	128.1	247.3	38.4	57.6	5.5	40	65.19
Ram Ganga	25.41	65.6	23.71	66.5	15	45.2	46.4	149.2	77.42	196.2	4	100	19.44
	19.11	82.9	37.6	65.1	11	53.8	19.3	122.3	14.51	147.4	8	100	17.5
	36.4	234.3	137.8	507.4	142.2	443.7	120.5	207.8	46.7	187.3	5	65	238.39
	128.2	300	360.8	520.2	193.4	399	75.9	346.8	93.2	184	4	88	89.1
Ram Ganga	28.75	194.7	58.72	481.4	106.2	429	100	231.3	41	120.1	7	79	144.69
	48.33	246.7	67.3	425.7	106.6	430.7	103.6	221.6	60.3	155.4	5	69	90.75

**Table 2. Range of the data**

Sl.No.	DATA	Range	
		Minimum	Maximum
1	Catchment Area(Km <sup>2</sup> )	15.4	1514.45
2	Monthly Runoff (mm)	4.5	360.8
3	Monthly rainfall(mm)	11.3	520.2
4	Land Slope (%)	1.0	17.5
5	Forest Cover (%)	1.0	100

### 3. Methods

#### 3.1 Dimensional Analysis

For dimensional analysis, Buckingham’s  $\pi$  theorem can be used to obtain the various dimensionless groups [8]. Swamee has investigated the influence of inclusion of 4 dimensionless groups in mean flood flow estimation. These dimensionless groups were formed using variables such as discharge  $Q$ , Area  $A$ , average rainfall  $p$ , of duration  $D$  and recurrence interval  $T$ , Slope  $S$  and forest cover  $F_A$  [8].

Based on available data for Indian catchments, following variables were identified: rainfall ( $P$ ), runoff ( $R$ ), slope ( $S$ ) and forest cover ( $F_A$ ). Adopting  $A$  as the repeated variable, following nondimensional groups were formed:

$$R_* = A^{-0.5} R \tag{2}$$

$$P_* = A^{-0.5} P \tag{3}$$

where  $R$  is the runoff in mm,  $P$  is the rainfall in mm and  $A$  is the drainage area in  $\text{km}^2$ .

Using the above dimensionless group, the following empirical equation was proposed:

$$R_* = a_0 P_*^{a_1} (S + a_2)^{a_3} (F_A + a_4)^{a_5} \tag{4}$$

Here  $a_0$ - $a_5$  are empirical constants,  $S$  is the slope (percent) and  $F_A$  is the forest cover.

The computed value for  $R_*$  for  $i$ th data set  $R_{*ci}$  was obtained as

$$R_{*ci} = a_0 P_{*i}^{a_1} (S_i + a_2)^{a_3} (F_{Ai} + a_4)^{a_5} \tag{5}$$

Here suffix  $i$  stand for  $i$ th data set and  $a_0$ - $a_5$  are fitted coefficients.

Using Equation (5), the observed value  $R_*$  for  $i$ th data set  $R_{*oi}$  was obtained. To calibrate the model, the error criterion was set to minimize the average percentage error  $Ea$ , defined as

$$Ea = \frac{100}{n} \sum_{i=1}^n \left| \frac{R_{*oi} - R_{*ci}}{R_{*oi}} \right| \tag{6}$$

#### 3.2 ANN Model Development Using Process Variables

Before the data presented to the ANN training, it must be standardized in order to restrict its range to the interval [0, 1]. The actual observed outputs of the network being outside this bounded range of neuron transfer function; need to be normalized such that they fall within the

bounded output range. To develop a model, it is important to establish a correlation between the dependent variable with the independent variables. For this purpose, correlation matrix has been made and is given in **Table 3**. Using the information drawn from the correlation matrix analysis, runoff models have been decided as a function of different input variables. However, rainfall has been considered as a common input variable among all.

$$ANNPAM1: \quad R(t) = f\{ P(t) \} \tag{7}$$

$$ANNPAM2: \quad R(t) = f\{ P(t), S \} \tag{8}$$

$$ANNPAM3: \quad R(t) = f\{ P(t), S, F_A \} \tag{9}$$

$$ANNPAM4: \quad R(t) = f\{ P(t), S, F_A, A \} \tag{10}$$

$$ANNPAM5: \quad R(t) = f\{ P(t-1), P(t), S, F_A, A \}$$

Here *ANNPAM* represents Artificial Neural Network Process variables All river basins Model.

The development of rainfall–runoff models using ANNs, involves the following steps: 1) selection of data set for training, cross-validation and validation of the model, 2) identification of the input and output variables, 3) normalization of the data, 4) selection of the network architecture, 5) determining the number of neurons in the hidden layer, 6) training of the ANN models, and 7) validation and cross-validation of ANN model using the selected performance evaluation statistics.

Back Propagation Learning Network (BPLN) has been first calibrated using about 60 percent of data and 20 percent of data have been used in the validation of model. The remaining 20 percent have been used for cross validation of the model. The momentum coefficient is adapted to 0.9 and learning rate is fixed to 0.05 for neural network training. The number of epochs has been set to 3000. Log sigmoid is used as transfer function. The set of inputs combination which produced desired results corresponding to minimum RMSE were adopted for further analysis.

#### 3.3 ANN Model Development Using Dimensionless Variables

Following model *ANNDAM1* has been developed using dimensionless variables of rainfall ( $P_*$ ), slope ( $S$ ), forest cover ( $F_A$ ) and runoff ( $R_*$ ). These dimensionless variables are discussed previously. Here *ANNDAM* represents Artificial Neural Network Dimensionless All river basin Model.

**Table 3. Correlation matrix of the variables**

	Runoff	Rainfall	Slope	Forest cover	Area
Runoff	1.0000				
Rainfall	0.7769	1.0000			
Slope	0.1333	-0.0114	1.0000		
Forest cover	-0.1315	0.0891	-0.3675	1.0000	
Area	0.1299	0.0405	0.1761	-0.3471	1.0000

$$ANNDAM1: R^*(t) = f\{P^*(t), S, F_A\} \quad (11)$$

$$ANNDAM2: R^*(t) = f\{P^*(t-1), P^*(t), S, F_A\} \quad (12)$$

**Model-Performance Criteria**

For identification of best combination of input variables, different models are tested using various performance criteria [22]. Root mean square error (RMSE) has been calculated for training, validation and testing data of these models. The RMSE is defined as follows.

$$RMSE = \sqrt{\frac{\sum_{N=1}^N (Y_c - Y_i)^2}{N}} \quad (13)$$

In addition, the Nash–Sutcliffe efficiency ( $\eta$ ) is also widely used in water resources sector to assess the performance of a model [23].

$$\eta = 1 - \frac{\sum_{i=1}^N (Y_i - Y_c)^2}{\sum_{i=1}^N (Y_i - \bar{Y})^2} \quad (14)$$

Also the correlation coefficient (CC) was also used a performance criteria and is computed by using the following relationship [22].

$$CC = \frac{\frac{1}{N} \sum_{i=1}^n (Y_i - \bar{Y})(Y_c - \bar{Y}_c)}{\sigma_{yc} \sigma_{yi}} \quad (15)$$

where  $Y$  is observed output,  $Y_c$  is computed output,  $\bar{Y}$  is the mean of observed output,  $\bar{Y}_c$  is the mean of computed output,  $\sigma$  is the standard deviation and  $N$  is total no. of samples.

**4. Training and Validation and Cross-Validation of Data**

**4.1 Training, Validation and Cross-Validation of All River Basins Data**

Data have been analyzed in this section using dimensional analysis and ANN using process variables as well as using dimensionless variables.

**Using Dimensional Analysis Model**

The dimension analysis model *DAAMI* was developed and fitted coefficients  $a_0$ - $a_5$  were calculated by minimizing  $E_a$  by using steepest descent technique. *DAAM* represents Dimensional Analysis All river basins Model. The optimum value of  $a_0$ - $a_5$  was obtained for which  $E_a$  was 39.74. This yielded the following form of (5):

$$DAAMI: R^* = 0.41P_*^{0.89}(S + 0.052)^{0.112}(F_A + 0.049)^{-0.001} \quad (16)$$

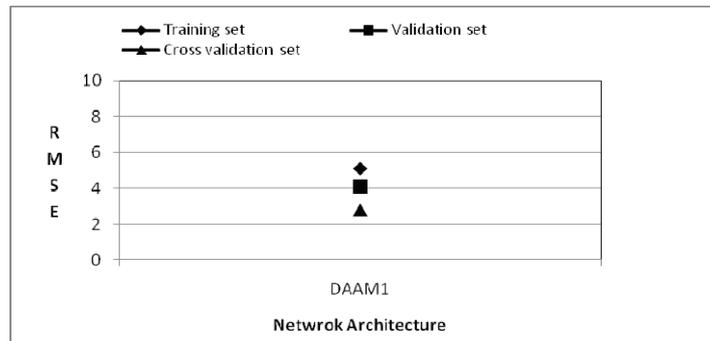
By using above expression, for model *DAAMI*, RMSE was 5.11, 4.05, 2.79 and Nash-Sutcliffe efficiency was 0.58, 0.45, and 0.73 as well as CC was 0.837, 0.729, and 0.910 for training, validation and cross validation set respectively for. The performance statistics in terms of RMSE, Nash-Sutcliffe efficiency and CC of the results for this model have been summarized in **Table 4**. The trends of the RMSE for different models have been shown in **Figure 3**.

**Using ANN with BPLVM Using Process Variables**

Using the same input process variables defined as the models (*i.e.* *ANNPAM1* through *ANNPAM5*), the ANN models have been trained using Levenberg-Marquardt algorithm (BPLVM) for different ANN architectures. The performance statistics of the results for all the models used with different architectures have been summarized in **Tables 5(a)-(d)**. The trends of the RMSE for different architectures have been shown in **Figures 4(a)-(d)**.

**Table 4. Summary of dimensional analysis to Model DAAMI**

Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
DAAMI	5.113	0.583	0.8374	4.052	0.450	0.7296	2.797	0.731	0.9104



**Figure 3. RMSE of dimensional analysis for DAAMI**

**Table 5(a). Summary of ANN application to ANNPAM1 using BPLVM process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
1-1-1	37.178	0.632	0.795	30.584	0.704	0.841	22.761	0.767	0.886
1-4-1	29.302	0.772	0.878	30.981	0.696	0.840	21.792	0.786	0.890
1-6-1	28.936	0.777	0.882	28.929	0.735	0.868	23.117	0.759	0.879
1-2-2-1	32.783	0.714	0.845	29.653	0.722	0.855	21.844	0.785	0.888
1-4-5-1	30.546	0.752	0.867	29.820	0.718	0.854	21.179	0.798	0.896
1-6-7-1	26.367	0.815	0.903	29.982	0.715	0.847	25.961	0.696	0.861

**Table 5(b). Summary of ANN application to ANNPAM2 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
2-1-1	36.4981	0.6455	0.803	30.6828	0.7019	0.844	19.9987	0.8198	0.911
2-4-1	35.7986	0.6590	0.812	30.7923	0.6997	0.841	21.6273	0.7893	0.900
2-6-1	26.7166	0.8101	0.900	30.0352	0.7143	0.855	36.0875	0.4132	0.772
2-2-2-1	29.1566	0.7738	0.880	29.5681	0.7231	0.854	24.7052	0.7250	0.863
2-4-5-1	28.1872	0.7886	0.888	30.5316	0.7048	0.846	28.8788	0.6242	0.828
2-6-7-1	24.4513	0.8409	0.917	31.0585	0.6945	0.835	26.5795	0.6817	0.844

**Table 5(c). Summary of ANN application to ANNPAM3 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
3-1-1	36.266	0.650	0.806	29.997	0.715	0.848	21.070	0.800	0.903
3-4-1	20.904	0.884	0.940	33.943	0.635	0.803	27.726	0.654	0.841
3-6-1	19.254	0.901	0.949	35.637	0.598	0.802	33.779	0.486	0.761
3-2-2-1	35.287	0.669	0.818	30.390	0.708	0.847	21.872	0.784	0.905
3-4-5-1	23.231	0.856	0.925	27.429	0.762	0.878	18.896	0.839	0.917
3-6-7-1	23.650	0.851	0.923	32.890	0.657	0.813	24.946	0.720	0.876

**Table 5(d). Summary of ANN application to ANNPAM4 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
4-1-1	36.2552	0.6502	0.806	29.8638	0.7176	0.850	20.9179	0.8029	0.905
4-4-1	23.3499	0.8549	0.925	33.0506	0.6541	0.810	22.6574	0.7687	0.884
4-6-1	18.7049	0.9069	0.952	31.3037	0.6897	0.835	23.8769	0.7431	0.867
4-2-2-1	28.7672	0.7798	0.883	29.0559	0.7326	0.862	19.3198	0.8318	0.912
4-4-5-1	34.3087	0.6868	0.829	33.2360	0.6502	0.807	24.3186	0.7335	0.886
4-6-7-1	19.2702	0.9012	0.951	42.2242	0.4354	0.720	30.8548	0.5711	0.792

**Table 5(e). Summary of ANN application to ANNPAM5 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
5-1-1	37.6870	0.4522	0.67	29.5260	0.5706	0.78	17.7127	0.3662	0.66
5-4-1	32.8048	0.5849	0.76	56.1951	-0.5553	0.28	64.1959	-7.3250	0.36
5-6-1	8.6947	0.9708	0.99	127.3057	-6.9822	-0.61	142.9043	-40.253	-0.16
5-2-2-1	28.6140	0.6842	0.83	27.9018	0.6166	0.81	19.4920	0.2325	0.63
5-4-5-1	18.1824	0.8725	0.93	44.7818	0.0123	0.61	75.2239	-10.430	0.13
5-6-7-1	9.9741	0.9616	0.98	96.0710	-3.5458	0.51	147.6428	-43.034	0.05

1) Model *ANNPAM1*: The performance of this model has been presented in **Table 5(a)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 4(a)**. For this model, RMSE was in the range of 21.17-37.17 and Nash-Sutcliffe efficiency was in the range of 0.632-0.815 for different NN architecture. The best identified NN architecture was 1-4-5-1 for which RMSE was in the range of 21.17-30.54 and Nash-Sutcliffe efficiency was in the range of 0.718-0.798. The NN architecture performed 1-1-1 the worst for which RMSE was in the range of 22.76-37.17 and Nash-Sutcliffe efficiency was in the range of 0.632-0.767.

2) Model *ANNPAM2*: The performance of this model has been presented in **Table 5(b)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 4(b)**. For this model, RMSE was in the range of 19.99-36.49 and Nash-Sutcliffe efficiency was in the range of 0.413-0.840 for different NN architecture. The best identified NN architecture was 2-1-1 for which RMSE was in the range of 19.99-36.49 and Nash-Sutcliffe efficiency was in the range of 0.645-0.819. The NN architecture 2-6-7-1 performed the worst for which RMSE was in the range of 24.45-31.05 and Nash-Sutcliffe efficiency was in the range of 0.694-0.840.

3) Model *ANNPAM3*: The performance of this model has been presented in **Table 5(c)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 4(c)**. RMSE was in the range of 18.89-36.26 for different NN architecture for this model. The best identified NN architecture was 3-4-5-1 for which RMSE was in the range of 18.89-27.42 and Nash-Sutcliffe efficiency was in the range of 0.762-0.856. The NN architecture 3-1-1 performed the worst for which RMSE was in the range of 21.07-36.26 and Nash-Sutcliffe efficiency was in the range of 0.650-0.800.

4) Model *ANNPAM4*: The performance of this model has been presented in **Table 5(d)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 4(d)**. RMSE was in the range of 18.70-36.25 for different NN architecture for this model.

The best identified NN architecture was 4-6-1 for which RMSE was in the range of was 18.70-31.30 and Nash-Sutcliffe efficiency was in the range of 0.689-0.907. The NN architecture performed 4-1-1 the worst for which RMSE was in the range of 20.19-36.25 and Nash-Sutcliffe efficiency was in the range of 0.650-0.803.

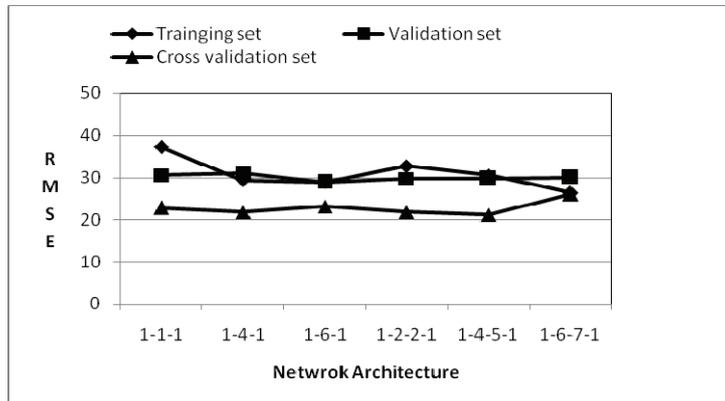
5) Model *ANNPAM5*: The performance of this model has been presented in **Table 5(e)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 4(e)**. RMSE was in the range of 8.69-147.64 for different NN architecture for this model. The best identified NN architecture was 5-2-2-1 for which RMSE was in the range of was 19.40-28.61 and Nash-Sutcliffe efficiency was in the range of 0.23-0.68.

Based on these results, it can be inferred that NN architecture 4-6-1 performs the best for which RMSE was 18.70, 31.30, 23.87, Nash-Sutcliffe efficiency was 0.907, 0.689, 0.743 and CC was 0.95, 0.83, 0.86 for training, validation and cross validation set respectively.

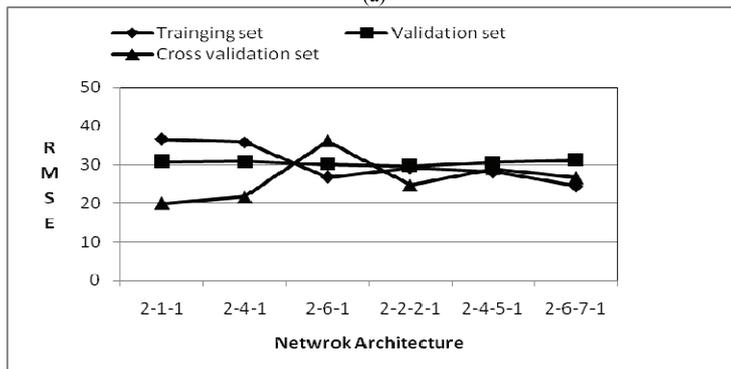
**ANN with BPLVM Using Dimensionless Variable**

Using the input dimensionless variables defined in the model *ANNPAM1* and *ANNPAM2*; the ANN models have been trained using Levenberg-Marquardt algorithm (BPLVM) for different ANN architectures. The performance statistics of the results for all the models used with different architectures have been summarized in **Tables 6(a)** and **(b)**. The trends of the RMSE for different architectures have been shown in **Figures 5(a)** and **(b)**.

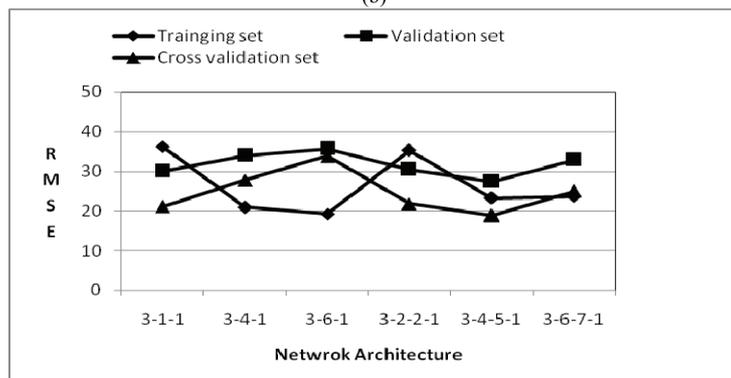
1) Model *ANNPAM1*: The performance of this model has been presented in **Table 6(a)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 5(a)**. For this model *ANNPAM1*, RMSE was in the range of 2.13-6.88 and Nash-Sutcliffe efficiency was in the range of (-0.65)-0.927 for different NN architecture. For NN architecture 3-1-1, RMSE was 2.86, 4.86 and 3.85 and Nash-Sutcliffe efficiency was 0.762, 0.209 and 0.489 for training, validation and cross validation set respectively. For NN architecture 3-3-1, RMSE was 3.10, 6.60 and 6.93 and Nash-Sutcliffe efficiency was 0.845, -0.460 and -0.657 for training, validation and



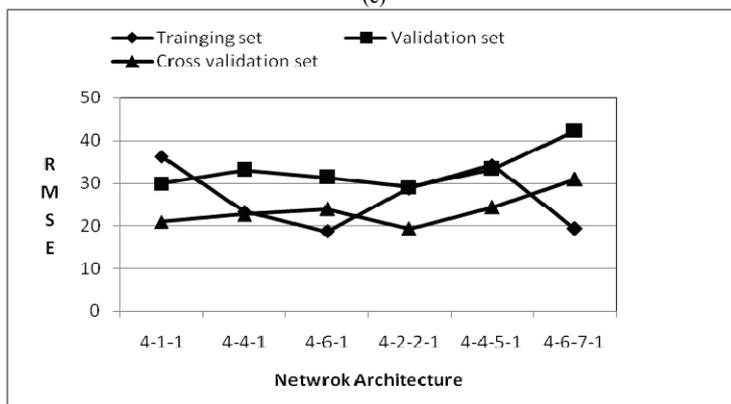
(a)



(b)



(c)



(d)

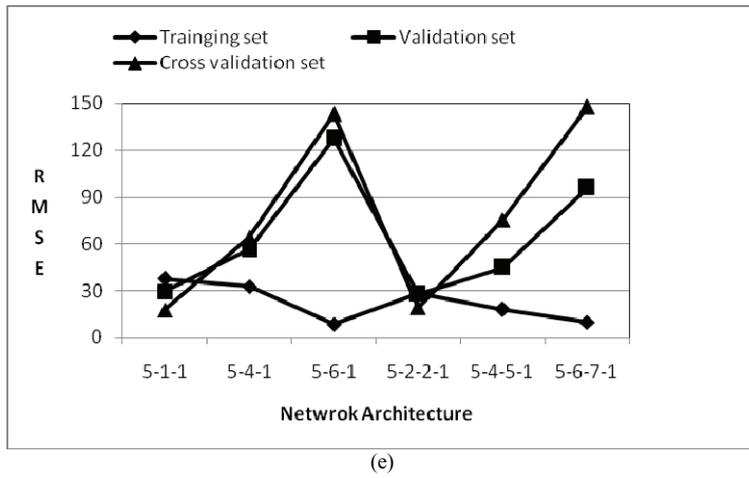


Figure 4. (a) RMSE of different ANN architecture using BPLVM for ANNPAM1; (b) RMSE of different ANN architecture using BPLVM for ANNPAM2; (c) RMSE of different ANN architecture using BPLVM for ANNPAM3; (d) RMSE of different ANN architecture using BPLVM for ANNPAM4; (e) RMSE of different ANN architecture using BPLVM for ANNPAM5

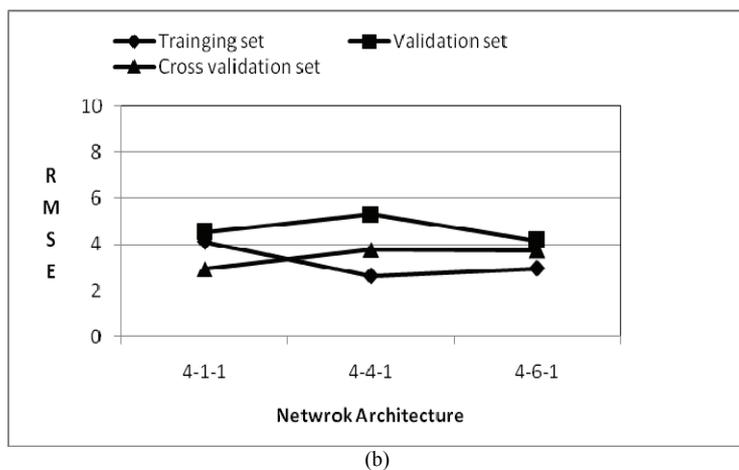
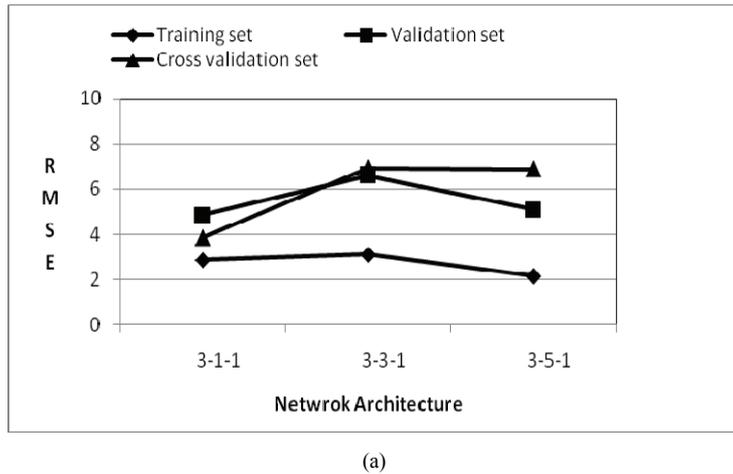


Figure 5. (a) RMSE of different ANN architecture using BPLVM for ANNDAM1; (b) RMSE of different ANN architecture using BPLVM for ANNDAM2

**Table 6(a). Summary of ANN application to ANNDAM1 using BPLVM with dimensionless variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
3-1-1	2.8679	0.7620	0.873	4.8603	0.2089	0.645	3.8523	0.4890	0.905
3-3-1	3.1098	0.8458	0.920	6.6037	-0.4604	0.618	6.9372	-0.6571	0.873
3-5-1	2.1393	0.9270	0.963	5.0977	0.1297	0.697	6.8841	-0.6318	0.869

**Table 6(b). Summary of ANN application to ANNDAM2 using BPLVM with dimensionless variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
4-1-1	4.1198	0.7223	0.850	4.5203	0.3047	0.686	2.9293	0.3388	0.77
4-3-1	2.6301	0.8868	0.942	5.3015	0.0437	0.6393	3.7672	-0.0936	0.55
4-5-1	2.9652	0.8562	0.925	4.1604	0.4110	0.7385	3.7473	-0.0820	0.59

cross validation set respectively. For NN architecture 3-5-1, RMSE was 2.13, 5.09 and 6.88 and Nash-Sutcliffe efficiency was 0.927, 0.129 and -0.632 for training, validation and cross validation set respectively.

2) Model ANNDAM2: The performance of this model has been presented in **Table 6(b)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 5(b)**. For this model ANNDAM2, RMSE was in the range of 2.63-5.30 and Nash-Sutcliffe efficiency was in the range of (-0.08)-0.88 for different NN architecture. For NN architecture 4-1-1, RMSE was 4.11, 4.52 and 2.92 and Nash-Sutcliffe efficiency was 0.722, 0.304 and 0.338 for training, validation and cross validation set respectively. For NN architecture 4-3-1, RMSE was 2.63, 5.30 and 3.76 and Nash-Sutcliffe efficiency was 0.88, 0.043 and -0.093 for training, validation and cross validation set respectively. For NN architecture 3-5-1, RMSE was 2.96, 4.16 and 3.74 and Nash-Sutcliffe efficiency was 0.856, 0.411 and -0.082 for training, validation and cross validation set respectively.

Based on these overall results, it can be inferred that model ANNDAM1 with NN architecture 3-1-1 performs the best for which RMSE was 2.86, 4.86, 3.85, Nash-Sutcliffe efficiency was 0.762, 0.209, 0.489 and CC was 0.873, 0.645, 0.905 for training, validation and cross validation set respectively.

**4.2 Training, Validation and Cross Validation of Damodar River Basin Data**

Data of Damodar river basin has been analyzed in this section using dimensional analysis, ANN models using process variables and ANN models using dimensionless variables.

**Using Dimensional Analysis Model**

The dimension analysis model DAINM1 was developed and fitted coefficients  $a_0$ - $a_5$  were calculated by minimizing  $E_a$  by using steepest descent technique.

DAINM represents Dimensional Analysis Individual river basin Model. The optimum value of  $a_0$ - $a_5$  was obtained for which  $E_a$  was 20.54. This yielded the following form of (5):

$$DAINM1: R^* = 0.42P_*^{0.95}(S + 0.052)^{0.112}(F_A + 0.049)^{-0.001} \tag{17}$$

By using above expression, for model DAINM1, RMSE was 1.72, 2.43 and 1.044; Nash-Sutcliffe efficiency was 0.85, 0.65 and -0.21 and CR was 0.950, 0.970, 0.945 for training, validation and cross validation set respectively for. The performance statistics in terms of RMSE, Nash-Sutcliffe efficiency and CC of the results for this model have been summarized in **Table 7**. The trends of the RMSE for different models have been shown in **Figure 6**.

**Using ANN with BPLVM Using Process Variables**

Using the input process variables defined as the models (*i.e.* ANNPM1 through ANNPM4), the ANN models (ANNINPM1 to ANNINPM4) have been trained using Levenberg-Marquardt algorithm (BPLVM) for different ANN architectures for Damodar river basin. ANNINPM represents Artificial Neural Network Individual river basin Process variables Model. The performance statistics of the results for all the models used with different architectures have been summarized in **Tables 8(a)-(d)**. The trends of the RMSE for different architectures have been shown in **Figures 7(a)-(d)**.

1) Model ANNINPM1: The performance of this model has been presented in **Table 8(a)** and RMSE of the results for training, validation and cross-validation are shown in **Figure 7(a)**. For this model, RMSE was in the range of 7.01-51.12 and Nash-Sutcliffe efficiency was in the range of (-1.31)-0.999 for different NN architecture. The best identified NN architecture was 1-6-1 for which RMSE was in the range of 7.01-32.57 and Nash-Sutcliffe

**Table 7. Summary of dimensional analysis to Model DAINM1**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
DAINM1	1.72	0.852	0.950	2.43	0.650	0.970	1.04	-0.210	0.945

**Table 8(a). Summary of ANN application to ANNINPM1 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
1-1-1	11.9073	0.9477	0.974	36.2359	-0.1625	0.784	25.5472	0.7336	0.907
1-4-1	9.4568	0.9670	0.983	32.2651	0.0783	0.817	23.2351	0.7796	0.913
1-6-1	7.0183	0.9818	0.991	32.5733	0.0606	0.531	31.4172	0.5971	0.817
1-2-2-1	9.5547	0.9664	0.983	38.1784	-0.2905	0.763	25.7522	0.7293	0.943
1-4-5-1	1.3961	0.9993	1.000	32.5750	0.0605	0.819	31.6387	0.5914	0.853
1-6-7-1	11.4890	0.9514	0.975	51.1218	-1.3139	0.406	24.6394	0.7522	0.919

**Table 8(b). Summary of ANN application to ANNINPM2 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
2-1-1	10.224	0.961	0.981	33.556	0.003	0.871	23.205	0.780	0.911
2-4-1	4.919	0.991	0.996	44.444	-0.749	-0.362	37.777	0.417	0.667
2-6-1	3.367	0.996	0.998	42.190	-0.576	0.346	51.692	-0.091	0.425
2-2-2-1	8.617	0.973	0.986	35.593	-0.122	0.829	26.514	0.713	0.890
2-4-5-1	6.836	0.983	0.991	41.678	-0.538	0.686	29.581	0.643	0.864
2-6-7-1	5.796	0.988	0.994	34.436	-0.050	0.736	28.463	0.669	0.868

**Table 8(c). Summary of ANN application to ANNINPM3 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
3-1-1	9.5579	0.9663	0.983	32.5960	0.0593	0.847	26.3721	0.7161	0.878
3-4-1	4.7262	0.9918	0.996	35.7375	-0.1308	0.626	31.9391	0.5836	0.861
3-6-1	3.2147	0.9962	0.998	35.6086	-0.1226	0.902	41.9146	0.2829	0.736
3-2-2-1	53.7743	-0.0657	0.037	32.7891	0.0481	0.296	47.5421	0.0774	0.285
3-4-5-1	34.0421	0.5729	0.841	31.1996	0.1382	0.800	50.3999	-0.0369	0.774
3-6-7-1	57.9588	-0.2380	0.020	39.3340	-0.3698	0.440	61.3259	-0.5352	-0.559

**Table 8(d). Summary of ANN application to ANNINPM4 using BPLVM with process variables**

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
4-1-1	15.1078	0.9159	0.962	16.5611	0.7572	0.945	14.6386	0.9125	0.957
4-4-1	9.8741	0.9641	0.983	6.7022	0.9602	0.984	12.1456	0.9398	0.973
4-6-1	8.3123	0.9745	0.988	6.6095	0.9613	0.989	11.2242	0.9486	0.976
4-2-2-1	12.9961	0.9378	0.970	12.6738	0.8578	0.940	10.0796	0.9585	0.989
4-4-5-1	8.8720	0.9710	0.986	10.5189	0.9020	0.954	13.0449	0.9305	0.975
4-6-7-1	11.8970	0.9478	0.978	17.2226	0.7374	0.964	17.4376	0.8759	0.936

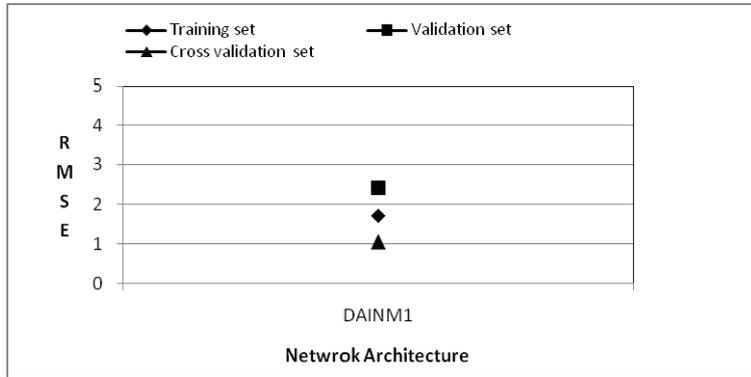
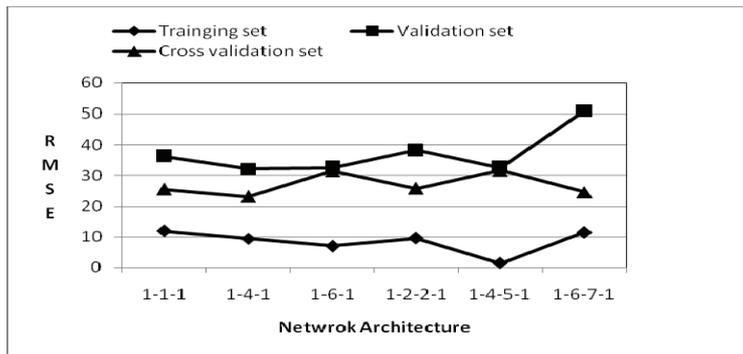
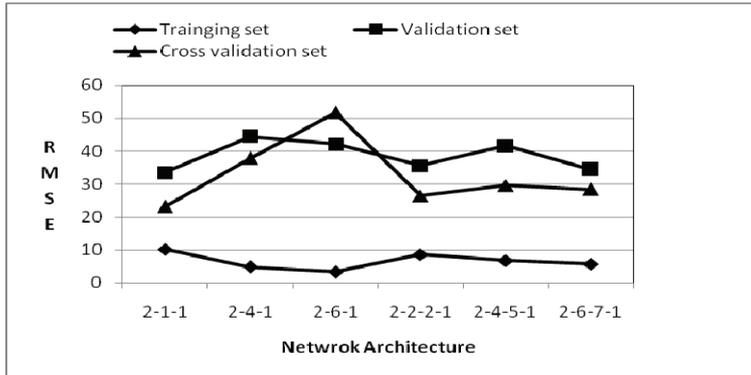


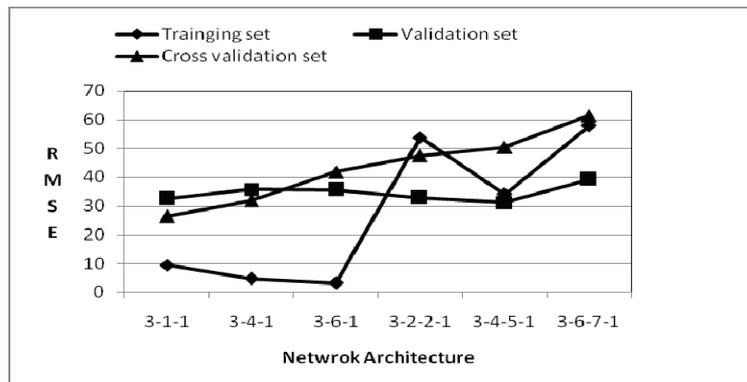
Figure 6. RMSE of dimensional analysis for DAINM1



(a)



(b)



(c)

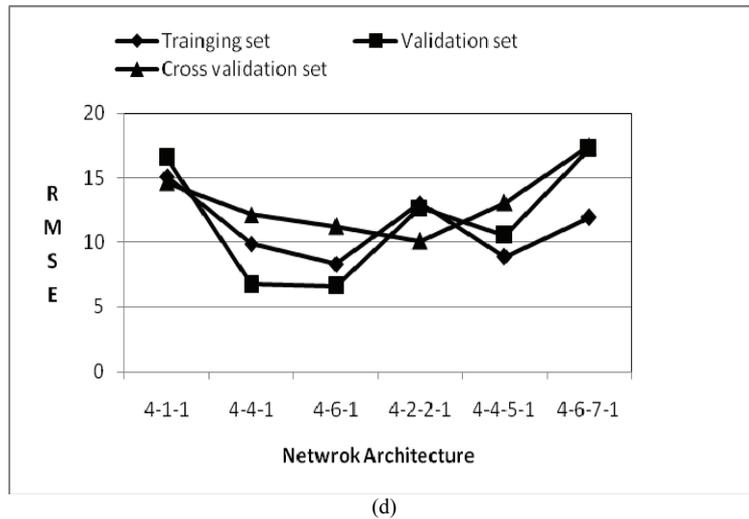


Figure 7. (a) RMSE of different ANN architecture using BPLVM for ANNINPM1; (b) RMSE of different ANN architecture using BPLVM for ANNINPM2; (c) RMSE of different ANN architecture using BPLVM for ANNINPM3; (d) RMSE of different ANN architecture using BPLVM for ANNINPM4

efficiency was in the range of 0.06-0.981. The NN architecture performed 1-6-7-1 the worst for which RMSE was in the range of 11.48-51.12 and Nash-Sutcliffe efficiency was in the range of (-1.31)-0.951.

2) Model ANNINPM2: The performance of this model has been presented in Table 8(b) and RMSE of the results for training, validation and cross-validation are shown in Figure 7(b). For this model, RMSE was in the range of 3.36-51.69 and Nash-Sutcliffe efficiency was in the range of (-0.749)-0.988 for different NN architecture. The best identified NN architecture was 2-6-7-1 for which RMSE was in the range of 5.79-34.43 and Nash-Sutcliffe efficiency was in the range of (-0.05)-0.988. The NN architecture 2-1-1 performed the worst for which RMSE was in the range of 10.22-33.55 and Nash-Sutcliffe efficiency was in the range of 0.003-0.961.

3) Model ANNINPM3: The performance of this model has been presented in Table 8(c) and RMSE of the results for training, validation and cross-validation are shown in Figure 7(c). RMSE was in the range of 3.21-61.32 for different NN architecture for this model. The best identified NN architecture was 3-6-1 for which RMSE was in the range of 3.21-41.91 and Nash-Sutcliffe efficiency was in the range of (-0.122)-0.996. The NN architecture 3-1-1 performed the worst for which RMSE was in the range of 39.3-61.32 and Nash-Sutcliffe efficiency was in the range of 0.059-0.966.

4) Model ANNINPM4: The performance of this model has been presented in Table 8(d) and RMSE of the results for training, validation and cross-validation are shown in Figure 7(d). RMSE was in the range of 6.60-17.43 for different NN architecture for this model. The best identified NN architecture was 4-6-1 for which

RMSE was in the range of was 6.6-11.22. The NN architecture performed 4-1-1 the worst for which RMSE was in the range of 14.63-16.56.

Based on these results, it can be inferred that NN architecture 4-6-1 performs the best for which RMSE was 8.31, 6.60, 11.22, Nash-Sutcliffe efficiency was 0.974, 0.961, 0.948 and CC was 0.988, 0.989, 0.976 for training, validation and cross validation set respectively.

**ANN with BPLVM Using Dimensionless Variable**

Using the dimensionless variables as input defined in the model ANNNDAMI, the ANN model ANNINDM1 have been trained using Levenberg-Marquardt algorithm (BPLVM) for different ANN architectures. ANNINDM represents Artificial Neural Network Individual river basin Dimensionless variables Model. The performance statistics of the results for all the models used with different architectures have been summarized in Table 9. The trends of the RMSE for different architectures have been shown in Figure 8.

For this model ANNINDM1, RMSE was in the range of 0.344-3.36; Nash-Sutcliffe efficiency was in the range of 0.198-0.995 and CC was in the range of 0.73-0.99 for different NN architecture.

For NN architecture 3-1-1, RMSE was 1.16, 1.95 and 2.5 and Nash-Sutcliffe efficiency was 0.943, 0.198 and 0.786 for training, validation and cross validation set respectively.

For NN architecture 3-3-1, RMSE was 0.815, 1.81 and 3.04 and Nash-Sutcliffe efficiency was 0.972, 0.314 and 0.683 for training, validation and cross validation set respectively.

For NN architecture 3-5-1, RMSE was 0.34, 1.65 and 3.36 and Nash-Sutcliffe efficiency was 0.995, 0.426 and 0.614 for training, validation and cross validation set

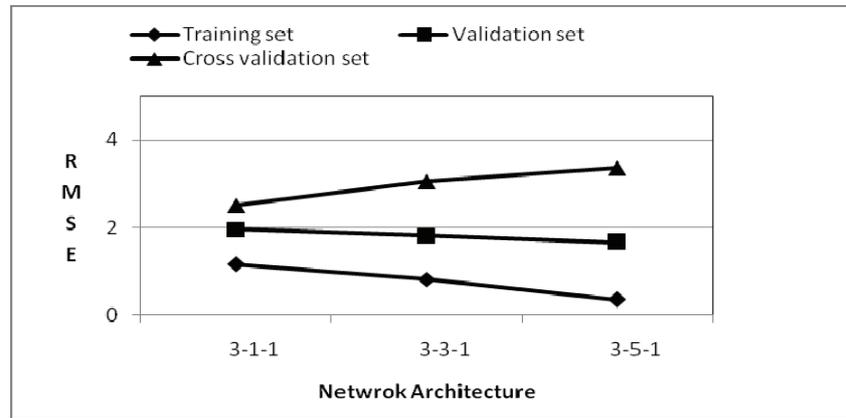


Figure 8. RMSE of different ANN architecture using BPLVM for ANNINDM1

Table 9. Summary of ANN application to ANNINDM1 using BPLVM with dimensionless variables

Network Architecture	Training			Validation			Testing		
	RMSE	$\eta$	CC	RMSE	$\eta$	CC	RMSE	$\eta$	CC
3-1-1	1.1645	0.9435	0.971	1.9570	0.1982	0.737	2.5000	0.7869	0.946
3-3-1	0.8154	0.9723	0.986	1.8101	0.3141	0.894	3.0480	0.6833	0.881
3-5-1	0.3446	0.995048	0.998	1.6557	0.4261	0.818	3.3638	0.6143	0.830

respectively.

Based on these results, it can be inferred that NN architecture 3-1-1 performs the best for which RMSE was 1.16, 1.95 and 2.5; Nash-Sutcliffe efficiency was 0.943, 0.198 and 0.786 and CC was 0.971, 0.737, 0.946 for training, validation and cross validation set respectively.

### 5. Results and Discussion

Here is summary of results for all river basins data as well as Damodar river basin data using different techniques.

#### All River Basins

ANN models using process variables have been developed using all river basin data and the best identified NN architecture was 4-6-1 of model ANNPAM4 for which RMSE was in the range of 18.70-31.30 and Nash-Sutcliffe efficiency was in the range of 0.689-0.907 while RMSE was in the range of 2.79-5.11, Nash-Sutcliffe efficiency was 0.45-0.73 and CC was in the range of 0.729-0.910 for model DAAM1 using dimensional analysis technique. Hence, it can be concluded that dimensional analysis technique performed better than ANN models using process variables for all river basins data.

Based on the performance evaluation of ANN models using dimensionless variables, ANNDAM1 performed better than model ANNPAM4 using all river basin data in terms of performance criteria. For this model ANNDAM1, RMSE was in the range of 2.13-6.88 while RMSE was in the range of 18.70-31.30 for ANNPAM4 using ANN models with process variables. For best identified struc-

ture 3-1-1 with model ANNDAM1, RMSE was in range of 2.86-4.86, Nash-Sutcliffe efficiency was in the range of 0.20-0.90 and CC was in the range of 0.64-0.90. Hence, it can be concluded that ANN models using dimensionless variables performed better than Ann models using process variables for all river basins data. The comparison of observed and computed runoff for models ANNPAM4 and ANNDAM1 have been shown in Figure 9 and Figure 10 respectively.

It is important to note here that the ANN architecture of best identified model ANNPAM4 using process variables was 4-6-1 while ANN architecture of best identified model ANNDAM1 using dimensionless variables was 3-1-1. Hence it can be concluded that ANN structure can be simplified using dimensionless variables.

In this analysis of given data set, it has been found that there was not much improvement in performance criteria by using input process variable as P(t-1). For best identified model ANNPAM5 with NN architecture 5-2-2-1 using P(t-1) as one of input variables, RMSE was the range of 19.40-28.61 while RMSE was in range of 18.70-31.30 for the best identified model ANNPAM4 with NN architecture 4-6-1 without using P(t-1) as a one of input process variable. Similarly, for ANN model ANNDAM2 with NN architecture 4-1-1 using P(t-1) as one of input dimensionless variables, RMSE was in the range of 2.92-4.52 while for ANN model ANNDAM1 with NN architecture 3-1-1 without using P(t-1), RMSE was in the range of 2.86-4.86.

**Damodar River Basin**

ANN models using process variables have been developed using Damodar river basin data and NN architecture 4-6-1 of model ANNINPM4 using process variables performs the best for which RMSE was in the range of 6.6-11.22 and Nash-Sutcliffe efficiency was in the range of 0.948-0.974 while RMSE was in the range of 1.044-2.43 and Nash-Sutcliffe efficiency was in the range of (-0.21)-0.85 for the dimensional analysis technique for this basin. Hence, it can be concluded that dimensional analysis technique performed better than ANN models using process variables for individual river basins data.

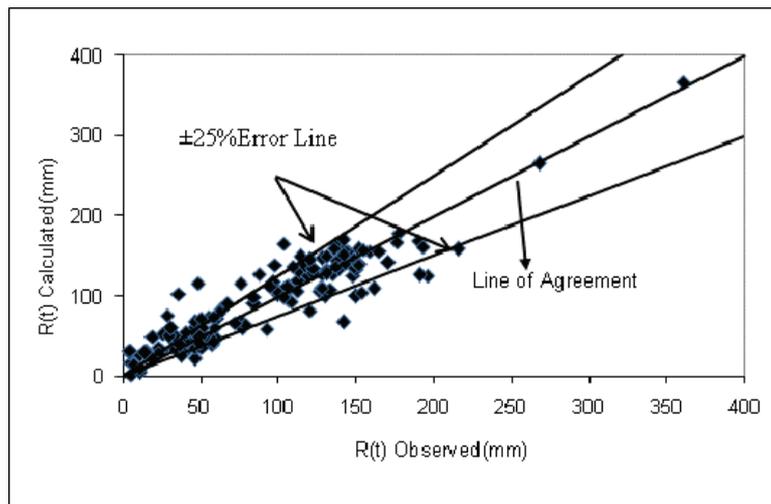
For this model ANNINDM1, RMSE was in the range of 0.344-3.36 and Nash-Sutcliffe efficiency was in the range of 0.198-0.995 while RMSE was in the range of

6.6-11.22 and Nash-Sutcliffe efficiency was in the range of 0.948-0.974 for model ANNINPM4 using ANN models with process variables. Hence, ANN model ANNINDM1 using dimensionless variables performed better than ANN model ANNINPM4 using process variables.

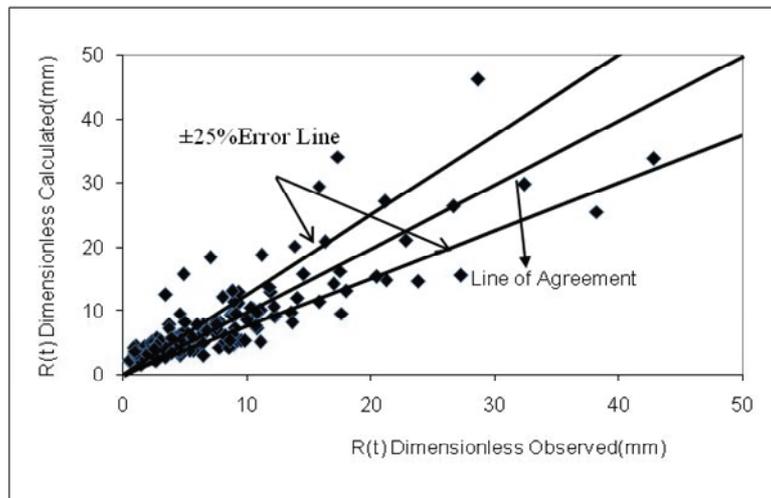
The best identified structure for ANN model ANNINDM1 using dimensionless variables was 3-1-1 for which RMSE was in the range of 1.16-2.50, Nash-Sutcliffe efficiency was in the range of 0.19-0.97 and CC was in the range of 0.73-0.97. Hence it can be concluded that ANN structure can be simplified using dimensionless variables.

**6. Conclusions**

This paper presents the findings of a study of comparison of the using process variables and dimensionless variables with dimensional analysis and ANN for rainfall-



**Figure 9. Comparison of observed and computed runoff using ANNPM4 model**



**Figure 10. Comparison of observed and computed runoff using ANNDAM1 model**

runoff modeling in certain Indian catchments for a group of river basins as well as individual basin. The performance of each model structure was evaluated using common performance criteria. The salient findings of this study are presented as follows: 1) ANN models using dimensionless variables performed better than ANN models using process variables for all river basin data as well as individual river basin data; 2) ANN models using dimensionless variables simplified ANN architecture for all river basins as well as individual river basin; 3) Dimensional analysis approach can be effectively used in rainfall-runoff modeling.

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## Appendices

### I. Weights and Biases for BPLVM for model ANNPAM4 of network 4-6-1

Weights	h11	h12	h13	h14	h15	h16
i1	-8.3602	-7.0334	8.6534	14.8352	1.5456	-0.6111
i2	-0.8806	17.6871	-17.5475	0.4825	-16.341	-6.7221
i3	0.331	0.3924	-0.375	-1.788	0.8711	-5.5499
i4	1.6462	5.0854	-5.5293	-8.3826	0.4748	-1.7737

Biases	b11	b12	b13	b14	b15	b16
	2.6117	1.2753	-1.7664	-1.1091	-2.5924	11.2949

Weights	O1
h21	-0.7559
h22	-4.2063
h23	-3.1118
h24	-0.3359
h25	-4.7739
h26	1.1961

Input layer	4nodes
Hidden layer	6 nodes
Output layer	1 node

Biases	bo1
	-3.855

### II. Weights and Biases for BPLVM for model ANNDAM1 of network 3-1-1

Weights	h11
i1	2.3092
i2	0.4151
i3	0.2383

Biases	b11
	8.9379

Weights	O1
h21	-1.0064e+003

Biases	bo1
	1.0063e+003

Input layer	3nodes
Hidden layer	1nodes
Output layer	1 node