Correlation analysis of compromised immune function with perioperative sepsis in HIV-positive patient

Baochi Liu^{*}, Meng Wang, Jinsong Su, Yanzheng Song, Li Liu, Lei Li

Department of Surgery, Shanghai Public Health Clinical Center Affiliated to Fudan University, Shanghai, China; *Corresponding Author: liubaochi2002@yahoo.com.cn, {wangmengnh, sujinsong030124, yanzhengsong}@163.com, lilimx@sina.com, lilei@shaphc.org

Received 10 May 2011; revised 1 July 2011; accepted 15 July 2011

ABSTRACT

Objective: To investigate the relationship between immune function and perioperative sepsis in HIVpositive patients. Methods: Retrospective analysis of 144 HIV-positive patients surgically treated from Oct 2008 to Dec 2010 in Shanghai Public Health Clinical Center. The patients were divided into four groups based on their CD4⁺T cells counts in preoperative period: group A (0 - 99 cell/ul), group B (100 - 199 cell/ul), group C (200 -349 cell/ul), group D (≥350 cell/ul). All patients had received standardized surgical procedures, careful surgical routines were applied. To reduce operational damage, conventional antibiotics, anti-TB, anti-fugal, antiretroviral therapies were used to prevent infection and promptly treatment of complications. Results among 144 HIV-positive patients (male 133 and female 11, aged 42.6 ± 12.5), 80 patients got perioperative sepsis (14 cases in preoperative period and 66 cases in postoperative period). 64 cases did not get sepsis. The average CD4⁺T cell count was 276.97 ± 137.91 in preoperative period of patients without sepsis, which was significant higher than the patients with preoperative sepsis (151.29 ± 110.64) and patients with postoperative sepsis(161.14 \pm 128.45) (F = 13.91, P < 0.05). There were statistic differences of perioperative sepsis among A group (82.6%), B group (69.8%), C group (40.5%) and D group (26.9%) (χ^2 = 23.680, P < 0.01). Three cases died of MODS in group A, each one died of MODS in group B and group C. There was no death in group D. There was negative correlation between the incidence of sepsis and levels of CD4⁺T cells (r = -0.987, P =0.013). Conclusions With the decrease of preoperative CD4⁺T cells, the risk of perioperative

sepsis had notable increase. Complete evaluation of surgical risk and suitable perioperative treatments may obtain better effect for the patients infected HIV.

Keywords: HIV; Acquired Immunodeficiency Syndrome; Sepsis; Surgical Operation

1. INTRODUCTION

Sepsis is a common complication following serious trauma/burn, shock, infection or major surgery, and also is a systemic inflammation response syndrome (SIRS) in the presence of infectious factors. Furthermore, sepsis can develop into septic shock and multiple organ dysfunction syndrome (MODS), which is largely responsible for the extremely high mortality. Nowadays, although great progress has been made in the pathogenesis, diagnosis and prevention of sepsis, the incidence and mortality rate of sepsis still remain high. Studies reveal the mortality rate of sepsis is as high as $28\% \sim 56\%$ [1-4]. Once infected with human immunodeficiency virus (HIV), humans will develop immune dysfunction. HIV infected patients with surgical conditions require surgical treatment in certain environments. Because of the immune dysfunction, HIV infected patients are susceptible to postoperative sepsis that go along with different clinical manifestations. At present plenty of comprehensive hospitals take the HIV testing as a regular program before surgery. Once HIV infection test index is positive, patients will be euphemistically advised against/denied operations by surgeons. One of the reasons against the surgical operation is that patients with HIV infection are susceptible to infectious complication after surgery.

Shanghai Public Health Clinical Center is a designated hospital for treatment of HIV infectious patients. From October 2008 to December 2010, a total of 156 HIV infected patients underwent operation at surgery department, among whom 144 complete cases data before and after surgery were collected. The data revealed a total of 80 cases suffered from perioperative sepsis complication. Herein, we report our remedy experiences in these patients.

2. PATIENTS AND METHODS

2.1. Clinical Data

A total of 156 HIV infected patients who were recruited from October 2008 to December 2010 underwent surgery in the Surgery Department of Shanghai Public Health Clinical Center affiliated to Fudan University. Among those cases, 144 complete clinical data before and after surgery were subjected to retrospective analysis. The inclusion criteria included: 1) patients with HIV infection which was confirmed by the Centers of Disease Control. 2) patients with complete medical record: general examinations and examination of immune function before and after surgery which were carried out in the department of surgery of Shanghai Public Health Clinical Center. The age of these patients ranged from 19 to 74 years with an average age of 42.62 ± 12.51 years. There were 133 males (92.3%) with an average age of 42.76 \pm 12.79 years and 11 females (7.7%) with an average age of 40.91 ± 8.65 years. 80 cases suffered from perioperative sepsis complication, namely the SIRS with infective factors according to sepsis diagnosis standard from the 2001 international sepsis meeting.

2.2. Type of Surgery and Perioperative Management

Routine inspection was conducted after admission, On top of which operative risk was evaluated and surgical preparation was formulated prior to surgery. There were 46 gastrointestinal surgeries, 11 hepatobiliary surgeries, 18 orthopedic surgeries, 9 urological surgeries, 32 surgeries for surface mass resection, 10 surgeries for abscess incision and drainage, 7 surgeries for splenectomy, 6 surgeries for thyroid tumor resection, and 5 surgeries for other conditions such as vascular surgery and ophthalmology. There were 14 cases with preoperative sepsis. For patients with general pathogenic bacteria or with tuberculosis or cryptococcus infection before surgery, symptomatic treatment was carried out and infection was controlled. While, for patients with peritonitis or deep abscess, emergency surgery will be performed on the basis of anti-infection treatment. The vital signs were monitored and complications were timely treated after surgery. Meanwhile, nutrition support, anti-infection, anti-retroviral and anti-tuberculosis treatments also continued.

2.3. Grouping

Assuming there are different susceptibility and severity to the sepsis, patients were divided into 4 groups in accordance with the level of CD4⁺T lymphocytes. Group A: CD4 T cells < 100 cells/µl; Group B: 100 cells/µl \leq CD4 T cells < 200 cells/µl; Group C: 200 cells/µl \leq CD4 T cells < 350 cells/µl; Group D: CD4 T cells \geq 350 cells/µl. Patients were divided into 3 groups according to preoperative or postoperative sepsis complication, no sepsis, before and after operation. The number of CD4⁺T and CD8⁺T cells, the ratio of CD4⁺T/CD8⁺T cells and the alteration of routine blood testing were respectively statistically analyzed to explore pathogenesis regularity of perioperative sepsis in the patients with varying degrees of immune function damage.

2.4. Statistical Analysis

All analyses were performed with SPSS 17.0 statistical software. Sepsis is a systemic inflammation response syndrome (SIRS) in the presence of infectious factors. HIV infected patients meeting the standard of SIRS were diagnosed as sepsis, while patients with dysfunctional organs no less than two were classified as multiple organ dysfunction syndrome (MODS). Means \pm standard deviation were adopted for quantitative data, chi square test were employed for variance analysis in qualitative data. Pearson correlation was tested for linear correlation. A value less than 0.05 were considered statistically significant.

3. RESULTS

There were 14 cases with preoperative sepsis, 66 cases with postoperative sepsis and 64 cases without sepsis after surgery among 144 HIV infected patients. Surgery types and patients with or without preoperative sepsis are listed (Figure 1). Patients were divided into 3 groups according to preoperative or postoperative sepsis complication, no sepsis complication. The average count of CD4⁺T lymphocytes in the group of no perioperative sepsis is significantly higher than that of CD4⁺T lymphocytes in the groups of preoperative and postoperative sepsis (P < 0.05). The levels of CD4⁺T cells before surgery in each group are listed (Figure 2). Patients were divided into 4 groups according to the number of CD4⁺T lymphocytes before surgery. There were 19 cases with complication of sepsis among 23 patients in the Group A (82.609%), 37 cases with complication of sepsis among 53 patients in the Group B (69.811%), 17 cases with complication of sepsis among 42 patients in the Group C (40.476%) and 7 cases with complication of sepsis among 26 patients in the Group D (26.923%). CD4⁺T lymphocytes level is significantly reversely correlated

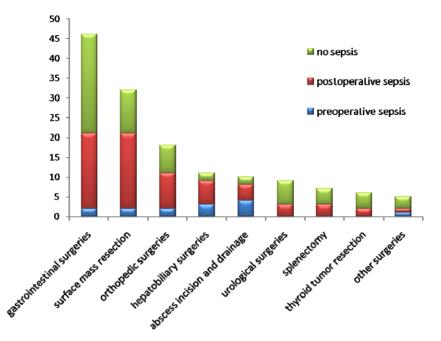


Figure 1. Surgery types and septic cases during perioperative period.

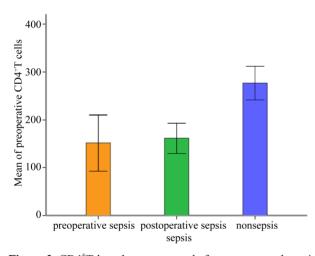


Figure 2. CD4⁺T lymphocytes count before surgery and sepsis in each group.

with sepsis (P < 0.05). The linear correlation between the level of CD4⁺T lymphocytes and the sepsis are showed (**Figure 3**). The relationship between the level of CD4⁺T lymphocytes before surgery and perioperative sepsis occurrence is analyzed by adopting columns chi-square and Pearson correlation analysis (**Table 1**), the single factor of variance analysis of sepsis are presented in **Table 2**.

4. DISCUSSION

4.1. The Septic Feature of HIV-Infective Patients

Sepsis is a systemic inflammation response syndrome

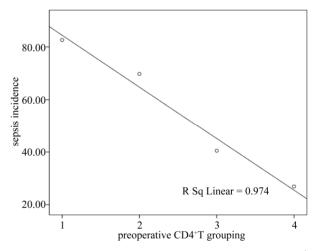


Figure 3. The linear correlation between the level of CD4⁺T lymphocytes and sepsis.

(SIRS) in the presence of infectious factors according to sepsis diagnosis standard from the 2001 international sepsis meeting [5]. HIV infective patients were diagnosed as sepsis once meeting the standard of SIRS. The clinical manifestations of patients with HIV infection are variable. Some patients had sepsis before surgery, while some patients showed typical clinical manifestations of SIRS after surgery, such as fever, accelerated heartbeat, fast breath and increased number of White blood cells (WBC), all those vital life indexes will return to normal; Certain patients without any infective factors other than HIV infection present high fever a few days after surgery, and then the body temperature gradually return to normal. However, general antibiotic treatment is hardly effective Table 1. Columns chi-square and Pearson correlation analysis for the corelation between the level of preoperative CD4⁺T lympho-

cytes and perioperative sepsis occurrence. χ^2 Preoperative CD4⁺T grouping nonsepsis percent% sepsis р r р A(0-) 19 4 82.609 23.680 < 0.001 0.987 0.013 B(100-) 37 16 69.811 25 C(200-) 17 40 476 7 D(350-) 19 26.923

Total 80 64 55.556 **Table 2.** Variance analysis for the single factor of sepsis during perioperative period.

character	preoperative sepsis (A)	postoperative sepsis (B)	nonsepsis (C)	F	р
age	37.57 ± 11.37	43.21 ± 11.18	43.11 ± 13.90	1.269	0.284
Preoperative CD4 count	151.29 ± 110.64	$161.14 \pm 128.45^{\#}$	$276.97 \pm 137.91^{* \bigtriangleup}$	13.905	< 0.001
CD8 count	619.93 ± 392.54	646.28 ± 384.45	$810.62 \pm 447.37^{* \triangle}$	2.757	0.067
CD4/CD8	0.27 ± 0.26	0.30 ± 0.26	$0.45\pm0.36^{*\bigtriangleup}$	4.597	0.012
WBC	7.77 ± 3.57	$5.34 \pm 3.16^{\#}$	$5.75 \pm 2.07^{*}$	4.456	0.013
HGB	103.82 ± 30.15	114.46 ± 24.03	$128.46 \pm 19.97^{* \triangle}$	9.667	< 0.001
PLT	250.43 ± 131.82	211.27 ± 105.71	195.75 ± 78.58	1.870	0.158
ALB	36.68 ± 11.79	42.54 ± 14.19	43.78 ± 9.30	1.328	0.269
Postoperative CD4 ⁺ T	191.29 ± 88.28	152.05 ± 136.13	$295.94\pm151.94^{\bigtriangleup}$	6.965	0.002
CD8 ⁺ T	751.43 ± 458.09	554.21 ± 304.53	$866.72 \pm 555.64^{\triangle}$	4.028	0.023
CD4/CD8	0.32 ± 0.31	0.32 ± 0.31	0.42 ± 0.27	0.835	0.438
WBC	7.09 ± 5.62	5.73 ± 3.56	6.30 ± 1.83	0.834	0.437
HGB	99.02 ± 21.77	$112.92 \pm 20.93^{\#}$	$122.78 \pm 18.07^{* \triangle}$	6.222	0.003
PLT	230.74 ± 119.43	181.05 ± 102.30	$234.52 \pm 102.56^{\bigtriangleup}$	3.051	0.052
ALB	36.28 ± 13.26	40.20 ± 12.18	40.30 ± 6.13	0.650	0.524

Note: *significant between group C and group A; Δ significant between group B and group C; #significant between Group A and Group B; $\alpha = 0.05$.

for some patients with obvious opportunistic infections, such as tuberculosis, pneumocystic Carinii pneumonia, fungal infections etc, which makes them prone to suffering septic shock and multiple organ failure. We suspected the diverse septic clinical manifestation of patients with HIV infections after surgery may be due to the different degrees of immune system impairment, the etiology of combined opportunistic infection and the stimulating reaction of organism to surgical trauma. HIV selectively invades cells with CD4 receptor, so CD4⁺T lymphocytes are main target cells. Once infected by HIV, the functions of CD4⁺T lymphocytes are impaired or even destroyed largely, which is the leading cause of immunodeficiency [6,7]. When the number of CD4⁺T lymphocytes are less than 200 cells/ul, patients may develop AIDS. At this period, the immune function of the patients is significantly compromised and consequently it makes patients prone to multiple opportunistic infections, along with a remarkably increased probability of postoperative infectious complications. The immune function is almost regarded as normal among those patients with the number of CD4⁺T lymphocytes no less than 350 cells/µl. Therefore, the number of CD4⁺T lymphocytes less than 350 cells/ul are treated as an indicator for clinical antiviral therapy. Assuming there is different susceptibility and severity to the sepsis, patients were divided into 4 groups based on their level of CD4⁺T lymphocytes. Through Analysis of linear correlation between the level of CD4⁺T lymphocytes and sepsis from each group, we find that the level of CD4⁺T lymphocytes is negatively correlated with sepsis, which means the lower CD4⁺T lymphocytes' level, the higher septic rate. Taking occurrence of sepsis from group of preoperative or postoperative sepsis and no perioperative sepsis as a single factor to test its variance analysis, we found that the level of CD4⁺T lymphocytes and hemachrome, the ratio of CD4 ⁺T/CD8⁺T lymphocytes from group of no perioperative sepsis before surgery were all higher than the other two groups. The level of CD4⁺T lymphocytes and hemachrome from group of no perioperative sepsis after surgery

was higher than the other two groups.

4.2. Operative Risk Evaluation

The majority of our surgical patients were around 40 years old, most of them were male. About 1/4 patients had not been aware of their infection by HIV until diagnosed through routine examination before surgery. With the depletion of CD4⁺T lymphocytes, some patients developed opportunistic infections, accompanied with symptoms of fever, emaciation, anemia etc. For instance, The low level of CD4⁺T lymphocytes and hemachrome, the low ratio of CD4/CD8 T lymphocyte before surgery, the low level of platelet and hemachrome, the low ratio of CD4/CD8 T lymphocyte, are all indexes that represent postoperative septic risk factors [8-10]. To evaluate surgical risk, those comprehensive factors, such as patients' general condition, the complexity of surgery, should be take into account. The treatments and surgical indication for those HIV infected patient with CD4⁺T lymphocytes more than 350 cell/ul are similar to those in general population. If the CD4⁺T lymphocytes count ranges from 200 cells/µl to 350 cells/µl, we should carefully determine whether patients have other concomitant diseases. If there are no other concomitant diseases, the extent of surgery should be appropriately reduced, and the vital signs should be remained stable during surgery so as to minimize the surgical damage to patients. However, if other concomitant diseases are found, preoperative risk evaluation is necessary, the surgery program should be performed on the basis of control of concomitant diseases. When the CD4⁺T lymphocytes count is lower than 200 cells/µl, the surgery for HIV infective patients should be cautious; if surgery is the only strategy to save life or alleviate pain, patients and their relatives should be fully informed of the prognosis and peril of disease before the surgery. It should be noted that one patient with CD4⁺T lymphocytes count only 6 cells/µl underwent emergency surgery due to intestinal perforation and peritonitis, the perforated and necrotic intestine was removed and proximal enterostomy was carried out. Even though postoperative wound infection was observed, that patient still discharged with favorable wound healing. In addition, we performed sigmoid colostomy, antifugal and antiretroviral treatment combined with sterile dressing change on one patient with CD4⁺T lymphocytes as few as 34 cells/µl, perianal ulcer and cryptococcal meningitis. When patient's perianal ulcer recovered, sigmoid colostomy closure was carried out. At last, that patient was discharged with favorable outcome.

4.3. Perioperative Treatment

As tuberculosis, fungal infection and other opportunistic infections are commonly found among AIDS patients, the preoperative general condition should be rectified as early as possible. Those items include appropriate blood transfusion, fluid infusion, application of antibiotics or antifungal drugs for infection control. When CD4⁺T lymphocytes count less than 200 cell/ul, sulfonamides and antifungal drugs ought to be applied for preventing from Pneumocystis pneumonia and other fungal infection. As bowel function paralysis after abdominal surgery may continue for 3 to 5 days, vein injection should be first taken as route of administration for tuberculosis and then return to oral till the recovery of bowel function. After terminating administration of antiretroviral drug the day of surgery, the normal administration should be resumed as soon as possible after surgery [11-13]. A gastric tube were retained at the time of abdominal surgery and closed temporarily after taking medicine. If patients have abdominal distention, some gastric juice can be drawn out one hour later after oral administration. Because resisting tuberculosis drug were used all the time, postoperative tuberculosis spread didn't occur.

4.4. Prognosis of Sepsis in HIV Infected Patients

With only wound infection and light systemic inflammatory response symptoms, HIV infective patients usually get better outcome under timely treatment. For those patients with tuberculosis or fungal infections, unless under emergency condition, surgery should not be started until these opportunistic infection are completely controlled; When emergency surgery are necessary in order to address the problem which non-operative treatment can't solve, adequate symptomatic should be given before surgery. After that, antibiotics and antifungal drugs through veinous access according to the length of operation is timely applied. In our study, among 80 perioperative septic cases, 5 patients had died from septic shock and MODS. In those 5 patients, 2 cases have serious pulmonary infection, and 3 cases have severe celiac infection. Other remaining 75 septic patients had recovered for prompt treatment. Therefore, surgery can address the key issues which threaten life or influence subsequent treatment on the basis of fully physical examination, operative risk evaluation and proper measures to control opportunistic infections. The quality of life and survival rate are greatly improved after surgery. In addition, the application of efficient antiretroviral drugs can keep HIV infected patients on the stable stage of disease and contribute to immune reconstruction which prolongs the survival.

REFERENCES

[1] Moore, C.C., Jacob, S.T., Pinkerton, R., et al. (2008) Point-

of-care lactate testing predicts mortality of severe sepsis in a predominantly HIV type 1—Infected patient population in Uganda. *Clinical Infectious Diseases*, **46**, 215-222. <u>doi:10.1086/524665</u>

- [2] Zaidi, A.K., Ganatra, H.A., Syed, S., et al. (2011) Effect of case management on neonatal mortality due to sepsis and pneumonia. *BMC Public Health*, **11**, 13. <u>doi:10.1186/1471-2458-11-S3-S13</u>
- [3] Levinson, A.T., Casserly, B.P. and Levy, M.M. (2011) Reducing mortality in severe sepsis and septic shock. *Semi*nars in Respiratory and Critical Care Medicine, **32**, 195-205. doi:10.1055/s-0031-1275532
- [4] Lagu, T., Rothberg, M.B., Nathanson, B.H., *et al.* (2011) The relationship between hospital spending and mortality in patients with sepsis. *Archives of Internal Medicine*, **171**, 292-299. doi:10.1001/archinternmed.2011.12
- [5] Levy, M.M., Fink, M.P., Marshall, J.C., et al. (2003) 2001 SCCM/ESICM/ACCP/ATS/SIS international sepsis definitions conference. *Critical Care Medicine*, **31**, 1250-1256. <u>doi:10.1097/01.CCM.0000050454.01978.3B</u>
- [6] Jeremiah, L. Deneve, D.O., Jessica, G., et al. (2010) CD4 count is predictive of outcome in HIV-positive patients undergoing abdominal operations. *American Journal of Surgery*, 200, 694-700. doi:10.1016/j.amjsurg.2010.07.030
- [7] Pereyra, F., Palmer, S., Miura, T., et al. (2009) Persistent low-level viremia in HIV-1 elite controllers and relationship to immunologic parameters. *Journal of Infectious Dis*eases, 200, 984-990. doi:10.1086/605446

- [8] Jain, S.B., Wig, N., Nagpal, S.J., *et al.* (2011) Evaluation of the current management protocols for prophylaxis against Pneumocystis jiroveci pneumonia and other opportunistic infections in patients living with HIV/AIDS. *AIDS Care*, 23, 1-5. doi:10.1080/09540121.2010.542129
- [9] Sax, P.E., Sloan, C.E., Schackman, B.R., et al. (2010) Early antiretroviral therapy for patients with acute aids-related opportunistic infections: A cost-effectiveness analysis of ACTG A5164. *HIV Clinical Trials*, **11**, 248-259. <u>doi:10.1310/hct1105-248</u>
- [10] Kulkarni, S.V., Kairon, R., Sane, S.S., et al. (2009) Opportunistic parasitic infections in HIV/AIDS patients presenting with diarrhoea by the level of immunesuppression. Indian Journal of Medical Research, 130, 63-66.
- [11] Hocqueloux, L., Prazuck, T., Avettand-Fenoel, V., et al. (2010) Long-term immunovirologic control following antiretroviral therapy interruption in patients treated at the time of primary HIV-1 infection. AIDS, 24, 1598-1601. doi:10.1097/QAD.0b013e32833b61ba
- [12] Lemma, M., Vanelli, P., Beretta, L., et al. (1992) Cardiac surgery in HIV-positive intravenous drug addicts: Influence of cardiopulmonary bypass on the progression to AIDS. *Journal of Thoracic and Cardiovascular Surgery*, **40**, 279-282. doi:10.1055/s-2007-1022720
- [13] Fasan, M.L., Morandi, E., Fociani, P., et al. (2003) AIDSassociated gastrointestinal lymphoma: Is there a role for surgery in the standard of care? Journal of Acquired Immune Deficiency Syndromes, 34, 345-347. doi:10.1097/00126334-200311010-00015