

# A Possible Role of the Gailitis Resonance in Muon Catalyzed Fusion

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## Abstract

In a previous JMP article published May 2013, a comprehensive calculation was presented for all properties of a number of long-life s-state Gailitis resonances lying just above the  $PS(n = 2)$  formation threshold in a positron-Hydrogen scattering system. The six open-channel calculation was carried out by solving a set of four hundred thousand coupled linear equations. The modified Faddeev equation was used to obtain the wave-amplitude for each of the six open channels. Details can be found in reference [1]. This note presents some qualitative properties of Gailitis resonances in the scattering systems of  $d + tu$  just above the thresholds of the opening of a new channel of the muonic atoms  $tu(n)$  or  $du(n)$ ,  $n > 2$  is the principal quantum number.  $u$  is a negatively charged muon,  $d$  and  $t$  are the nuclei of the two isotopes of the Hydrogen atom with one and two neutrons in the nucleus respectively. We study the possible decay channels of some of the long-life Gailitis resonances. Of particular interest is a transition directly from a Gailitis (3-body) resonance to the bound states  $dtu$  molecular ions via a radiative emission of a photon or an external auger ejection of a nearby electron. Possible experimental evidence will be presented.

## Keywords

Faddeev, Resonance, Cross Section, 3-Body Scattering

## 1. Introduction

Muon catalyzed fusion has had a long and exciting history since the discovery of the Vesman resonance formation mechanism [2]. For a comprehensive review of this subject and numerous references see refs. [3] [4].

The Vesman resonance is a six-body system. A typical process is

$$(tu)_{00} + (D_2)_{v_i k_i} \rightarrow [(dtu)_{11} \text{ dee}]_{v_f k_f} \quad (1)$$

$D_2$  is the molecule of heavy Hydrogen (deuteron atom).  $k_i$  and  $v_i$  are the rovibrational quantum numbers of  $D_2$ ,  $k_f$  and  $v_f$  are the rovibrational quantum numbers of the complex molecule on the right hand side of Equation (1).

This process is accomplished after the  $(tu)_{00} + d$  sub-system on the left hand side resonantly transfers 0.66 eV of energy to the rovibrational energy of the large complex molecule and becomes a weakly bounded small molecular ion  $(dtu)_{11}$ .

$(dtu)_{11}$  lies only 0.66 eV below the muonic atom  $(tu)_{00}$  ground state.

Subsequent radiative decays lead to the  $(dtu)_{00}$  three-body ground state at 319.13 eV below  $(tu)_{00}$  threshold. The nuclear fusion takes place at a rate  $\sim 10^8/s$ .



W. Beunlich *et al.* [5] measured the fusion time spectra in 1984. All of their measurements display a sharp transient structure with initial time peak much larger than  $10^8/s$ .

This large transient fusion rate is attributed to the epithermal energy collision in Equation (1) [6]. In this note, an argument will be presented for possible Gailitis resonance contribution to this initial transient fusion phenomenon. Section 2 will review [1] some properties of the Gailitis resonance [7]. Section 3 provides upper bounds for the life-time of the Gailitis resonances just above the thresholds of the new channels involving  $du(n)$  or  $tu(n)$  for a number of  $n \geq 2$ .  $n$  is the principal quantum number of the muonic atoms. Section 4 presents a simple model calculation to estimate the lower bound of the rate of radiative transition from a Gailitis resonance located just above the  $tu(n \approx 14)$  energy level to a bound dtu molecular ion. This calculation is needed to support the discussion in section 5. Section 5 will discuss the possibility that the Gailitis resonance may have contributed to the unique experimental phenomenon, the appearance of large initial transient fusion peaks in muon catalyzed fusion experiments [5].

## 2. The Gailitis Resonance

The first indication of the existence of the Gailitis resonance was provided by Gailitis and Damburg in their calculation of the electron-Hydrogen scattering system [7]. The resonances become obsolescence, mainly due to a lack of interest. It was difficult to study it using conventional methods until recently [1]. They have much smaller energy widths compared to Feshbach resonances [1] and occupy much larger configuration space compared to the size of the atoms. That means the calculation must have small enough energy grids and large enough effective cut-off distances. Reference [1] provides such a calculation using the modified Faddeev equation. This calculation solved a six-open channel system from first principles without intermediate approximations of any kind.

A complete set of the properties of the resonances are calculated directly including their wave functions. As a result, it becomes possible to identify the simple physical mechanism responsible for these resonances. Namely, it is a “dynamic” Stark effect [1] [7]-[9]. While an atom with Coulomb degeneracy acquires a dipole moment in the field of colliding charged particles, this field also splits some of the Coulomb degeneracy into separate Stark energy levels. If the energy of the incoming particle matches the Stark energy split at certain distances from the target atom resonance occurs. The energy is transferred to the atom via the exchange of a photon. During the life-time of the resonance the incoming particle remains a wave packet centered at a distance  $y_m$  from the atom that satisfies the following conditions, for more details see reference [1]:

$$E_m = m|\mu_1| \left\langle y^2 \right\rangle_m, \quad m = 1, 2, 3, \dots$$

or

$$E_m \left\langle y^2 \right\rangle_m = m|\mu_1|, \quad m = 1, 2, 3, \dots \quad (3)$$

$m$  is the quantum number of the Gailitis resonances. The resonant energy  $E_m$  is in atomic energy units.  $|\mu_1|$  is calculated in mass-normalized Jacobian coordinates,  $y_m = \sqrt{\left\langle y^2 \right\rangle_m}$  is the location of the center of the wave packet in Jacobian coordinates. The atom is initially in an excited state with principal quantum number  $n \geq 2$ . Its energy levels depend only on  $n$  (the Coulomb degeneracy).

Initially, the wave packet has a width equal to the DeBroglie wavelength of the incoming charged particle,  $E_m = (2\pi/\lambda_m)^2$ .  $E_m$  is of the order of magnitude as the fine structure energies or other non-Coulombic corrections which removes the Coulomb degeneracy.

Thus  $E_m$  can be very small and  $\lambda_m$  can be very long, usually more than 3-orders of magnitude larger than the size of the atom.

The life-time of the resonances (or the wave packet) can be determined using the uncertainty principle [9], such that

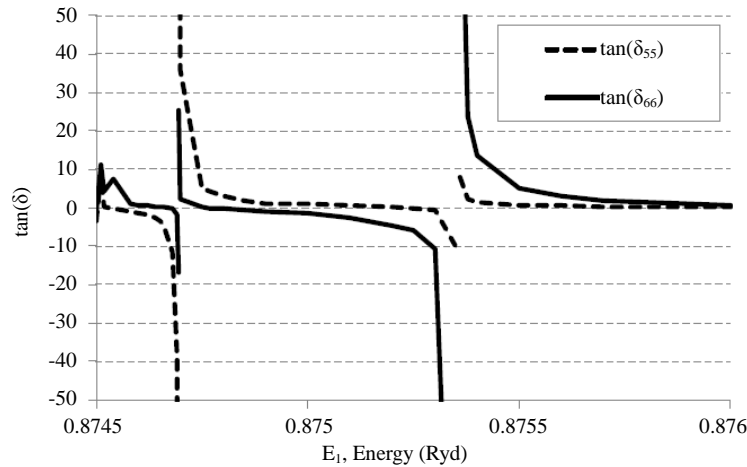
$$\begin{aligned}\Delta p_y &= 1/\lambda_m \\ \Delta E_m &= (\Delta p_y)^2 \text{ in atomic units} \\ \tau_m &= \hbar/\Delta E_m \text{ is the life-time}\end{aligned}\quad (4)$$

The small energy width  $\Delta E_m$  results in a long life-time for the Gailitis resonances.

Some useful information of the Gailitis resonance can be seen from **Figure 1**, taking from Ref. [1], which illustrates its unique singular behavior in the resonant channels 5 and 6. Taking from the six open channel K-matrix [1], the diagonal matrix elements of channels 5 and 6 are plotted as function of collision energies. The matrix elements and their corresponding phase-shifts cross the zero axis between adjacent singularities. They remain small and negative on the lower energy side of the resonances. But the phase-shifts moves rapidly towards 90 degree approaching the resonant energies, these repulsive behaviors [8] provide rapid deceleration forcing the incoming charged particle to deliver all of its energy to excite the Stark energy levels of the target at the speed of light via a Bremsstrahlung photon while the incoming particle wave packet remains far from the target Equation (3). The phase shift jumps from  $-\pi/2$  to  $\pi/2$  separated by a narrow energy gap. Near the end of the resonance, the phase shift drops rapidly from  $\pi/2$  toward the next crossing of the zero's. This indicates a strong attraction [8] between the atom and the incoming "particle" near the end of the resonance. This property could facilitate the collective radiation of the 3-body system as a whole among many other possibilities. Section 4 will provide more support for this conjecture.

### 3. Lower Bounds of the Gailitis Resonant Energies in $d + tu(n)$ , $t + du(n)$ Scattering with $n \geq 2$

In principle, the calculation of ref. [1] for  $n = 2$  can be extended to  $n > 2$ . That is not practical at the present



**Figure 1.** The diagonal matrix elements  $K_{55} = \tan(\delta_{55})$  and  $K_{66} = \tan(\delta_{66})$  are plotted against  $E_1$ , the energy of channel (1). Singularities occur between  $E_1 = 0.874694 - 0.874695 \text{ Ry}$  and  $E_1 = 0.87535 - 0.87536 \text{ Ry}$  for both  $K_{55}$  and  $K_{66}$  respectively. 5 and 6 are the two resonant channels.

time, especially when the current interest for these systems involves  $n \sim 14$  [10], sufficient physics can be obtained with qualitative calculations. According to [10], the muon replaces an electron in the ground state of the atom and becomes a muonic atom with principal quantum number  $n \sim 14$ .

The resonant series in Equation (3) must be truncated when  $E_m$  approaches the fine structure energy or the vacuum polarization energy splits such that it removes the Coulomb degeneracy of the atomic energy levels. Even though the latter effect can be larger than the former in muonic atoms, the former is very convenient to calculate for all principal quantum numbers  $n$  of a muonic atom.

For the purpose of estimating the lower bounds,  $E_f(n)$ , and upper bounds  $\tau_f(n)$ ,  $\lambda_f(n)$  at any  $n$ , the fine structure energies are used. The fine structure of Coulomb energy levels can be found in any quantum mechanics textbook [8]. In general for any principal quantum number  $n$  and orbital angular momentum  $\ell$ , the fine structure energy is:

$$E_f(n) = -E_n \left( \frac{\alpha^2}{n^2} \right) \left( \frac{n}{\ell + 1/2} - \frac{3}{4} \right) \quad (5)$$

$E_n$  is the degenerate energy level of a Coulombic atom,  $\alpha$  is the fine structure constant. In the following, a special case of  $\ell = 0$  will be presented. It follows for  $\ell = 0$ :

$$E_f(n) = |E_n| \alpha^2 \left( \frac{8n-3}{4n^2} \right) \quad (6)$$

$$E_n = E_1/n^2$$

$$\frac{E_f(n_1)}{E_f(n_2)} = \left( \frac{n_2}{n_1} \right)^4 \left( \frac{8n_1-3}{8n_2-3} \right) = \left( \frac{\lambda_f(n_2)}{\lambda_f(n_1)} \right)^2$$

Equation (4), gives

$$\frac{\tau_f(n_2)}{\tau_f(n_1)} = \frac{\Delta E_f(n_1)}{\Delta E_f(n_2)} = \left( \frac{n_2}{n_1} \right)^4 \left( \frac{8n_1-3}{8n_2-3} \right) = \left( \frac{\lambda_f(n_2)}{\lambda_f(n_1)} \right)^2$$

$$\tau_f = \frac{\hbar}{\Delta E} = \lambda^2 \times 1.2138 \times 10^{-19} \text{ sec}$$

The wave length is in muonic Bohr radius au.

A similar table for  $t + d$  should have a difference of only ~1% from the numbers in Table 1. Please note, this table gives only the respective bounds of the Gailitis resonances. The properties of the resonance themselves can only be determined by the dynamic of the three-body systems, such as that carried out in reference [1].

**Table 1.** Summarized bounds in  $d + t\mu(n)$ .

$n$	$E_f(n)$	$\lambda_f(n)$	$\Delta E_f(n)$	$\tau_f(n)$ (sec)
2	5.408 (−6)	2.702 (3)	1.370 (−7)	0.8861 (−12)
10	5.125 (−8)	2.776 (4)	1.298 (−9)	0.9351 (−10)
20	6.531 (−9)	7.779 (4)	1.654 (−10)	0.7345 (−9)
30	1.9475 (−9)	1.4238 (5)	4.933 (−11)	0.2461 (−8)
40	0.8242 (−9)	2.1890 (5)	2.0869 (−11)	0.5816 (−8)
50	0.42278 (−9)	3.0565 (5)	1.0709 (−11)	1.1334 (−8)

$E_1 = -2711.25 \text{ eV}$  . 1 a.u. = 5422.50 eV, a.u. is the muon atomic unit, all lengths are in au, au is the muonic Bohr radius,  $\ell = 0$  ,  $(k) = 10^k$  .

#### 4. Gailitis Resonances and Bound State dtu Molecular Ions

Can a photon or an auger electron carry away the excess energy between a Gailitis resonance and its bound state 3-body molecular ions, such as dtu in a d + tu scattering system?

The only satisfactory answer must be the precise solution of a time dependent multichannel 3-body quantum equation that is not possible at this time. Nevertheless, it is possible to find experimental evidence using the vast volume of experimental data on muon catalyzed fusion research [3], and its plausible theoretical interpretation from presently available theoretical calculations [11]-[13].

We use the perturbative formula of photon emission by a muonic atom (or one electron atom) [13] derived from the Golden Rule, the transition probability per unit time,  $P_2$ , is

$$P_2 = \frac{1}{\tau_2} = \frac{4}{3} \frac{\alpha}{\hbar^3 c^2} (\hbar\omega)^3 |\langle f | \mathbf{r} | i \rangle|^2 \quad (7)$$

$\hbar\omega$  is the photon energy,  $\alpha$  is the fine structure constant,  $|i\rangle$  is the initial atomic state,  $|f\rangle$  is the final atomic state,  $\mathbf{r}$  is the coordinate of the  $u^-$  measured from the center of mass of the two body system and  $\tau_2$  is the radiative decay life-time of the excited state atom.

According to reference [11], for the muonic atom  $p\mu$

$$P_{2p-1s} = 10^{11} \text{ s}^{-1}$$

$$P_{14p-1s} = 2.5 \times 10^8 \text{ s}^{-1}$$

We have:

$$P_{14p-1s} / P_{2p-1s} = 2.5 \times 10^{-3} \quad (8)$$

For the purpose of providing a lower bound, we propose a very simple model for the dipole matrix element in Equation (7).

$$|\langle f | \mathbf{r} | i \rangle| \approx \left( \frac{R_f}{R_i} \right) R_f \quad (9)$$

$R_i$ ,  $R_f$  are the “size” of the initial and final state of the radiative decay respectively. Using this model, the transitions from  $2p \rightarrow 1s$  and  $14p \rightarrow 1s$  are:

$$\begin{aligned} |\langle 1s | \mathbf{r} | 2p \rangle| &= \frac{1 \text{ au}}{4 \text{ au}} * 1 \text{ au} = \frac{1}{4} \text{ au} \\ |\langle 1s | \mathbf{r} | 14p \rangle| &= \frac{1 \text{ au}}{(14)^2 \text{ au}} * 1 \text{ au} = \frac{1}{(14)^2} \text{ au} \\ \frac{P_{14p \rightarrow 1s}}{P_{2p \rightarrow 1s}} &= \frac{(\hbar\omega)_{14p \rightarrow 1s}^3}{(\hbar\omega)_{2p \rightarrow 1s}^3} * \frac{(2)^4}{(14)^4} \approx \left( \frac{2.6 \text{ kev}}{2.0 \text{ kev}} \right)^3 \left( \frac{1}{7} \right)^4 = \frac{(1.3)^3}{(7)^4} = 0.915 \times 10^{-3} \end{aligned} \quad (10)$$

This is less than that of Equation (8), but is of the same order of magnitude.

This simple model can be used to provide a lower bound for the three body radiative decay.

Equation (7) must be modified for three-body bound state transitions:

$$P_3 = \frac{4}{3} \frac{\alpha}{\hbar^3 c^2} (\hbar\omega)^3 \left| \sum_{j=1}^3 \langle f | \mathbf{r}_j | i \rangle \right|^2 \quad (11)$$

$\mathbf{r}_j$  is measured from charged particle  $j$  (including sign of the charge) to the center of mass of the three-body system. In the d-t-u system the center of mass is near the line joining d and t and inversely proportional to the masses from each of them respectively.

Consider the case when the initial state is a time dependent Gailitis resonance. As qualitatively described in Section 2 from the behavior of the phase shift, it is clear the perturbative formula (11) cannot be applied near the singular point which can be excluded from the following average over the life time of the resonance  $\tau_R$ . Hence, during the life-time of the resonance, it can be assumed that the Gailitis resonance is normalized as a three body bound state. It follows:

$$\frac{1}{\tau_3} = P_3 = \frac{4}{3} \frac{\alpha}{\hbar^3 c^2} (\hbar\omega)^3 \oint \frac{dt}{\tau_R} \left| \sum_{j=1}^3 \langle f | \mathbf{r}_j | i, t \rangle \right|^2 \quad (12)$$

From the qualitative behavior of the Gailitis resonance described in Section 2 the major contribution to the integral must come near the end of life of the resonance. It is possible to provide a lower bound to this integral using the model provided by the two-body radiative decay, Equation (9). The  $y_m$  of Equation (3) is measured from d to the center of mass of  $t\mu$ . Thus  $R_i = (3/5)y_m$  is the initial “radius” of the Gailitis resonance.

$$y_m = \frac{\lambda_m}{2\pi} \sqrt{m|\mu_1|} = \frac{\sqrt{m|\mu_1|}}{2\pi} \lambda_m, \quad |\mu_1| \sim n^2, \quad m \sim 1$$

We are interested in the principle quantum number  $n \approx 14$ .

$$\frac{3}{5} y_m \approx \frac{3 \times 14}{10\pi} \lambda_R \approx \lambda_R = R_i$$

thus the “size” of the initial state is of the order of the resonant wavelength, the final state is one of the bound states of the dtu molecular ions. Their “size” ranges from 3 au to 10 au (from unpublished calculations).

Consider the “size” of the final state  $R_f \approx 10$  au. At  $n \approx 14$ , **Table 1** shows  $R_i \approx 10^4$  au. Since the wave functions involved in Equation (12) cannot change appreciably during the short radiative life time  $\tau_3$ , the time integral in Equation (12) can be replaced as follows

$$\oint dt \left| \sum_{j=1}^3 \langle f | \mathbf{r}_j | i, t \rangle \right|^2 = \left( \frac{R_f}{R_i} \right)^2 R_f^2 \tau_3 \quad (13)$$

Equation (12) becomes

$$\left( \frac{1}{\tau_3} \right)^2 = \frac{4}{3} \frac{\alpha}{\hbar^3 c^2} (\hbar\omega)^3 \frac{1}{\tau_R} \left( \frac{R_f}{R_i} \right)^2 R_f^2 \quad (14)$$

$(\hbar\omega) \cong 3000$  ev from the transition of a Gailitis resonance just above the  $n = 14$  muonic atom to one of the bound muonic molecules. Equation (14) gives

$$\frac{1}{\tau_3} \cong 2.6 \times 10^8 \text{ sec} \quad (15)$$

This value is comparable to that of Equation (8), thus supporting the possibility of dtu molecular formation via the Gailitis resonances.

## 5. Discussion and Conclusions

Muon catalyzed fusion utilizing the six-body resonance Equation (1) is carried out in various mixtures of molecules of heavy Hydrogen and their nuclei, such as  $D_2$ , DT and  $t$ . According to cascade models [10] [11], when the negative muon is first introduced into such mixtures, it displaces a ground state electron from a D atom and forms an excited state muonic atom  $du(n)$ , with  $n \approx 14$ . Ref. [11] shows that the Stark effect is by far the fastest process of all cascade processes. Before the cascade begins, **Table 1** suggests that the  $du(n)$  atom can find a  $t$  nuclei with the appropriate energy Equation (3) from the vast volume of space tens and thousands of muonic Bohr radius around it. Thus Gailitis resonances are produced ahead of other cascade processes.

From **Table 1**, the lifetime of these resonances is of the order of  $10^{-9}$  sec. It is stable against all other processes [1] and comparable to radiative decay of muonic atoms with  $n \approx 14$  [11]. In case of Gailitis resonances,

the 3-body correlation is very strong near the end of its life, the possibility exists that a photon or an Auger electron can carry away the extra energy of some  $\sim 3$  keV and becomes one of the bound state dtu molecular ions.

Sakamoto *et al.* [12] presented direct measurements of the energy spectra of prompt X-rays from ppu and ddu fusion experiments respectively. The large peaks of  $K_\alpha$ ,  $K_\beta$  rays are clearly displaced.  $K_\gamma$  rays are not clearly resolved from the  $K_\beta$  rays. Ref. [12] showed small structures around the energy 3 keV. The energy of an np  $\rightarrow$  1s X-ray is less than 2.65 keV. The  $\sim 3$  keV X-ray can only come from radiative decay of a Gailitis resonance. If this can be confirmed, the Gailitis resonance could indeed contribute to the initial transient fusion peaks of Ref. [5].

Clearly the contribution is not significant in these experiments.

A deuteron beam of appropriate range of energies that covers the energy levels of  $tu(n)$ ,  $du(n)$ , from  $n = 10$  to  $n = 20$  should provide a sufficient number of Gailitis resonances to make a significant contribution to the formation of dtu molecular ions.

The advantages of this approach are:

- 1) The experiment can be carried out in room temperature.
- 2) Such low energy deuteron beam can be produced easily.
- 3) If it becomes necessary, precision quantum three-body.

Scattering calculations for this system is possible.

In view of the long-term needs for dependable clean nuclear energy, this simple mechanism for muon catalyzed fusion must be given a chance to be tested!

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