

Preliminary Findings on the Use of Targeted Therapy in Combination with Sodium Phenylbutyrate in Colorectal Cancer after Failure of Second-Line Therapy—A Potential Strategy for Improved Survival

Stanislaw R. Burzynski, Tomasz J. Janicki, Gregory S. Burzynski, Sheldon Brookman

Burzynski Clinic, Houston, USA Email: srb@burzynskiclinic.com

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Abstract

Colorectal cancer (CRC) is the second leading cause of cancer death related mortality with 1.2 million new cases diagnosed annually worldwide. Despite remarkable advances in the treatment of resectable CRC, advanced disease that recurs following initial two lines of chemotherapy, remains incurable. Targeted therapies using a single agent or in combination with other drugs have been tested in a number of clinical trials, with only moderate improvement. Here we present preliminary findings of improved overall survival (OS) using a combination of sodium phenylbutyrate with various targeted and chemotherapeutic agents in stage IV CRC patients who had failed at least two lines of chemotherapy. Results suggest a strategy of simultaneous interruption of signal transduction involving EGFR (VEGF) KRAS-ERK and PI3K-AKT pathways and interference with cell cycle, cancer cell metabolism, maintenance of cancerous stem cells, and promotion of apoptosis. In a group of 15 patients, median OS was higher compared to other third-line therapies (14.7 months compared to between 4.8 and 9.5 months in other studies). Given the understanding that our findings are preliminary, we propose the validation of our initial results using a well-designed phase I/II trial in recurrent advanced colorectal cancer.

Keywords

Colorectal Cancer, Colorectal Cancer Survival, Personalized Targeted Agents, Sodium Phenylbutyrate

1. Introduction

The American Cancer Society statistics for 2014 estimate 136,830 new cases of colorectal cancer (CRC) in the United States [1], CRC is the third leading cause of cancer death-related mortality with over 50,000 deaths in the United States and fourth worldwide with around 700,000 deaths annually [2]. The importance of CRC among various cancers is also reflected by the number of new treatments introduced in the last three decades, beginning with 5-fluorouracil in the early 1980s and followed by its derivative capecitabine 15 years later. Today, standard first-line treatments include fluorouracil with leucovorin and irinotecan or oxaliplatin, alone or combined with bevacizumab. Cetuximab, the immunoglobulin G1 monoclonal antibody against the epidermal growth factor receptor (EGFR), is effective in combination with irinotecan in patients with metastatic colorectal cancer or as a single agent in patients with metastatic colorectal cancer that progresses even when irinotecan is used. Phase 1 and 2 studies have also shown cetuximab to have activity when added to irinotecan-based therapy or oxaliplatinbased therapy as first-line treatment. Other monoclonal antibodies, bevacizumab, panitumumab, and zivaflibercept have been added to treatment regimens over the last ten or so years, and more recently the multikinase inhibitor regorafenib [3]. As the result of these treatment advances, resectable CRC has become a treatable disease with surgery in the early stages, or with surgery followed chemotherapy. Regimens such as FOLFOX, FOLFIRI, XELOX, and XELIRI are used as first- and second-line treatment for the management of advanced stage IV disease. Unfortunately, few options are available after failure of second-line therapy.

The private practice at the Burzynski Clinic (BC) in Houston, Texas, has focused its interest on treatment of advanced cases of cancer that failed standard therapy. Results of treatment of such patients in both clinical trials and private practice have recently been published and indicate that response rates and overall survival in cases of malignant brain tumor, advanced pancreatic cancer, and mesothelioma may be improved [4]-[12]. Our research attention has been focused on the anti-cancer activity of antineoplastons (ANP) and sodium phenylbutyrate (PB) as treatment adjuncts [13]-[19].

PB, a histone deacetylase (HDAC) inhibitor is the salt of an aromatic fatty acid used in the treatment of urea cycle disorders [17]. It is currently being explored in combination with cytotoxics and other novel drugs. Derived from its HDAC activity, PB is being investigated for use as a potential differentiation-inducing agent in malignant glioma, acute promyelocytic leukemia and many other disorders [19]. ANP is a group of anti-cancer agents that are peptides, amino acid derivatives, and carboxylic acids originally isolated from blood and urine of healthy people [13]-[16]. Some constituents of ANP, namely phenylacetylglutaminate (PG) and phenylacetate (PN) are also metabolites of PB, which in addition to receiving approval for urea cycle disorders is being investigated for adjunctive use in glioma and acute promyelocytic leukemia [10] [16]-[19]. A study of PG and PN on the neoplastic genome of glioblastoma multiforme (GBM) reveals that they affect over 100 genes [20]. Modeling from the GBM genome study and molecular profiling was used to formulate treatment plans for CRC patients.

The evaluation of patients described in this article provides treatment data of 15 patients with advanced CRC who failed at least two lines of standard treatment.

2. Patients and Methods

Fifteen subjects were diagnosed with advanced CRC at outside institutions. Radiology and pathology studies were performed by institutions not associated with BC. This group included all consecutive evaluable patients treated at the BC between June 3, 2004 and December 13, 2011.

Laboratory tests were performed by both the laboratory at the BC and outside facilities. Tests included standard blood and urine analysis and the determination of genomic markers. Molecular profiling based on tumor tissue analysis was performed by Foundation Medicine, Cambridge, MA and Caris Life Science, Phoenix, AZ. All patients were provided with treatment details and were required to sign an informed consent document prior to receiving therapy. A treatment plan based on molecular profiling included PB in combination with targeted and chemotherapeutic agents. Patients were treated on an out-patient basis, and after the initial two to four weeks of treatment at the BC, they continued therapy under the care of a local oncologist. Before initiating treatment, a baseline computerized tomography (CT) scan, with and without contrast, and in some patients, a positron emission tomography (PET) scan was performed. The products of two of the largest perpendicular diameters (LPD) of the largest measurable lesions were calculated and totaled providing a baseline evaluation for each study subject. The baseline provided a reference for determining response outcomes to the treatment. Addi-

tional pretreatment measurements included Karnofsky Performance Status (KPS), vital signs, clinical disease status, demographics, medical history and current medications, physical examination, and EKG. Evaluation of toxicity was performed according to the Common Terminology Criteria for Adverse Events, version 3 (CTC-AEv.3). Potential responses to treatment include complete response (CR), partial response (PR), stable disease (SD), and progressive disease (PD). CR required the disappearance of all lesions confirmed at the end of four weeks, PR required 50% or higher decrease of the LPD of measurable lesions confirmed at four weeks, PD was determined when there were new lesions or when there was an increase over 25% in the existing lesions, and SD was classified as the status between PR and PD. The duration for each response was measured from the date the criteria of the outcome were first met until the date that PD was first documented. In the case of SD, the duration was measured from the time the therapy commenced.

3. Results

3.1. Patient Demographics

Patient demographics are described in **Table 1**. Data on the confirmation of diagnosis, recurrence and response to treatment received are shown in **Table 2**. All patients had histologically confirmed adenocarcinoma of the colon or rectum with metastases. The majority (93%) had colon involvement as the primary tumor location and one patient (7%) had the rectum only as the primary site. The patients typically had multiple metastases with lymph node, liver, and lung involvement. Only one patient did not have prior resection, because the disease was considered too advanced for surgery upon initial evaluation. Four patients (27%) failed two lines of standard treatment, but the majority of patients had failed three to seven lines of therapy that had included both standard and investigational treatments. Radiation and radiofrequency embolization treatment was provided to each of two patients, respectively.

3.2. Treatment

All patients received PB in combination with targeted agents or cytotoxic drugs. Table 3(a) and Table 3(b) describe details of medication doses and treatment duration until the first response was achieved for ten patients who achieved an objective response or SD. Seven patients were treated with multikinase inhibitors pazopanib-GlaxoSmithKline, sorafenib-Bayer Healthcare Pharmaceuticals, or dasatinib-Bristol-Myers Squibb Company (pazopanib in 3 patients, sorafenib in 2 patients, and dasatinib in 2 patients). In seven patients, an EGFR inhibitor was prescribed (panitumumab-Amgen Inc. and erlotinib-Genentech Inc. and Astellas Pharma US Inc. in 2 and 5 patients, respectively). Monoclonal antibodies, bevacizumab-Genentech Inc., and trastuzumab-Genentech Inc. were given in four patients (bevacizumab and trastuzumab in 3 and 1 patient, respectively). m-TOR inhibitors were added in three patients (sirolimus-Wyeth Pharmaceuticals Inc.—2 patients, everolimus-Novartis Pharmaceuticals—1 patient). The HDAC inhibitor, vorinostat-Merck was administered in four patients.

Cytotoxic chemotherapy, in addition to targeted therapy, was prescribed in six patients. One patient was given FOLFOX, another patient given FOLFIRI, three patients capecitabine-Genentech, and one each was given oxaliplatin-Sanofi-Aventis US, LLC and a combination of 5-fluorouracil and methotrexate.

3.3. Responses and Survival

Partial response, SD and PD were noted in 2 (13.3%), 8 (53.3%) and 5 (33.3%) patients, respectively. One of the PR patients (patient 4) was diagnosed with adenocarcinoma of the colon, moderately differentiated, with extensive metastases in the liver and retroperitoneum. Previously, she had been treated with a continuous infusion of 5-fluorouracil and radiation therapy, and after recurrences underwent laparotomy and colectomy and received FOLFOX 6 along with bevacizumab. Thereafter, the cancer recurred. This patient subsequently underwent combination treatment with PB, FOLFOX, bevacizumab, and erlotinib and achieved a PR (Figure 1).

The second PR patient (Patient 14) was diagnosed with adenocarcinoma of the colon, moderately differentiated, with metastases to the lungs. She had been sequentially treated at a leading cancer institute undergoing a hemicolectomy and treatment with FOLFOX, FOLFIRI, panitumumab, as part of an independent treatment on a phase II study and finally received irinotecan and panitumumab. Overall, her cancer recurred five times and she was sent to hospice. She achieved a PR as a result of treatment with PB, erlotinib, capecitabine, oxaliplatin, bevacizumab, and pazopanib (Figure 2).

Table 1. Demographics of patients with recurrent colorectal adenocarcinoma, stage IV.

| Table 1. Demographics of patients with recurrent colorectal adenocarcinoma, stage IV. | | | | | | | | | |
|---|--------|---------|--|--|--|--|--|--|--|
| Characteristic | N = 15 | % | | | | | | | |
| Age (year) | N | % | | | | | | | |
| Median | | 58 | | | | | | | |
| Range | | 29 - 80 | | | | | | | |
| Sex | | | | | | | | | |
| Male | 10 | 67 | | | | | | | |
| Female | 5 | 33 | | | | | | | |
| KPS (Karnofsky performance status score) | | | | | | | | | |
| 100 | 1 | 7 | | | | | | | |
| 90 | 5 | 33 | | | | | | | |
| 80 | 3 | 20 | | | | | | | |
| 70 | 5 | 33 | | | | | | | |
| 60 | 1 | 7 | | | | | | | |
| Colorectal tumor location | | | | | | | | | |
| Cecum and ascending colon | 2 | 13 | | | | | | | |
| Transverse colon | 4 | 27 | | | | | | | |
| Rectosigmoid | 8 | 53 | | | | | | | |
| Rectum | 1 | 7 | | | | | | | |
| Metastatic sites in addition to colorectal location | | | | | | | | | |
| Lymph nodes | 11 | 73 | | | | | | | |
| Liver | 10 | 67 | | | | | | | |
| Lungs | 11 | 73 | | | | | | | |
| Peritoneal | 2 | 13 | | | | | | | |
| Pleura | 1 | 7 | | | | | | | |
| Pelvis | 3 | 20 | | | | | | | |
| Adrenal gland | 1 | 7 | | | | | | | |
| Surgical procedures | 14 | 93 | | | | | | | |
| Chemotherapy, targeted therapy | | | | | | | | | |
| 2-line | 4 | 27 | | | | | | | |
| 3-line | 4 | 27 | | | | | | | |
| 4-line | 4 | 27 | | | | | | | |
| 5-line | 2 | 13 | | | | | | | |
| 7-line | 1 | 7 | | | | | | | |
| Radiation therapy | 2 | 13 | | | | | | | |
| Radiofrequency embolization | 2 | 13 | | | | | | | |
| | | | | | | | | | |

As shown in **Table 4** comparing survival with other treatments, median OS in our evaluation of 15 patients was 14.7 months and compares more favorably to the other treatments listed, where OS ranged between 4.8 and 9.5 months. The Kaplan-Meier Survival Curve is presented in **Figure 3**. The Kaplan-Meier analysis was prepared by using the MedCalc Statistical Software version 13.3 (MedCalc Software byba, Ostend, Belgium; 2014).

Table 2. Confirmation of diagnosis, recurrence and response-colon cancer.

| | | Confirmation of | of diagnosis | | | Confirma | tion of | Confirmation | of response | |
|--------|--|--|---|----------------------------------|---|---|------------|--|-------------|------------------------|
| | Patho | ology | Rac | liology | Treatment | recurr | | to PB | | Molecular profiling |
| Patien | Place and date | Diagnosis | Place and date | Diagnosis | | Place and date | Assessment | Place and date | Assessment | |
| | | | RECUI | RRENT (PERSIST | TENT) AFTER CHEMC | THERAPY ANI | O RADIATIO | ON THERAPY | | |
| 1 | Regional medical center March 21, 2002 | Adenocarcinoma of the colon, moderately differentiated | Regional radiology CT September 19, 2002 | Metastatic lesic in the liver | Hemicolectomy and resection of the terminal ileum, cecum, ascending colon lymph nodes and a portion of the liver March 19, 2002 | | | | | |
| | Regional medical center October 21, 2003 | Metastatic adenocarcinoma to the lung, moderately differentiated | | | 5-FU and leucovorin. Radiofrequency ablation to the liver | | | | | |
| | | | | | 5-FU and leucovorin September 24, 2003 to October 20, 2003 | Regional radiology PET September 24, 2003 | Recurrence | | | |
| | | | | | 5-FU, leucovorin and oxaliplatin October 2003 to May 4, 2004 | Regional radiology PET May 4, 2004 | Recurrence | | | |
| | | | | | BC. June 3, 2004 PB and trastuzumab | | | Regional radiology CT November 9, 2004 | PD | HER-2-elevated (blood) |
| 2 | Regional hospital October 5, 2004 | Adenocarcinoma of the colon, poorly differentiated | Regional radiology CT September 23, 2004 | Multiple liver metastases | Exploratory laparoscopy, right colectomy and ileo-transverse anastomosis September 30, 2004 | Cancer institute CT November 2, 2004 | Recurrence | | | |
| | | | | | FOLFOX and BVZ November 9, 2004 to January 30, 2005 | | | | | |
| | | | | | FOLFIRI February 28, 2005 to April 1, 2005 | Cancer institute CT April 25, 2005 | Recurrence | | | |
| | | | | | Clinical trial with panitumumab May 19, 2005 to June 30, 2005 | Cancer institute CT July 12, 2005 | Recurrence | | | |
| | | | | | Erbitux and irinotecan July 19, 2005 to August 16, 2005 | Cancer institute CT August 16, 2005 | Recurrence | | | |
| | | | | | BC. September 13, 2005 PB, capecitabine, erlotinib | | | Regional radiology CT November 7, 2005 | PD | HER-2-elevated (blood) |
| 3 | Regional hospital January 7, 2004 | Adenocarcinoma of the colon, moderately differentiated | Regional hospital CT January 9, 2004 | Right hepatic lobe lesion | Right hemicolectomy January 5, 2004 | | | | | |
| | | | | | FOLFOX January 29, 2004 to September 2004 | 1 | | | | |
| | | | | | Radiofrequency ablation of liver metastases September 16, 2004 | | | | | |
| | | | | | FOLFIRI December 2004 to August 2005 | Regional radiology CT/PET February 17, 2005 | Recurrence | | | |
| | | | | | Radiofrequency ablation March 29, 2005 | CT July 8, 2005 | Recurrence | | | |

| | | | | | Cetuximab and irinotecan October 2005 | CT June 5 15, 2006 | Recurrence | | | |
|---|--|--|---|---|---|---|------------|--|----|--|
| | | | | | FOLFOX and BVZ June 2006 to July 2006 | | | | | |
| | | | | | Capecitabine July 22, 2006 | Regional radiology PET/CT August 8, 2006 | Recurrence | | | |
| | | | | | BC. August 8, 2006 PB, capecitabine, erlotinib, sunitinib-Discontinued November 3-8, 2006. Panitumumab ×4, trastuzumab ×3 December 8, 2006 to January 8, 2007 | - | | Regional radiology February 2, 2005 | PD | EGFR and HER-2-elevated (blood) |
| 4 | Regional medical center June 5, 2006 | Adenocarcinoma of the colon, moderately differentiated | Regional radiology CT June 3, 2006 | Extensive metastatic disease within the retroperitoneum and liver | 5-FU continuous infusions and RT June 20, 2006 to July 28, 2006 | Regional radiology CT August 11, 2006 | Recurrence | | | |
| | | | | | Stent placement for intestinal obstruction, laparotomy, and colectomy August 23, 2006 | Regional radiology CT September 5, 2006 | Recurrence | | | |
| | | | | | FOLFOX-6 with BVZ September 27, 2006 to November 3, 2006 | Regional radiology CT October 23, 2006 | Recurrence | | | |
| | | | | | BC. November 9, 2006 PB, erlotinib, FOLFOX and BVZ (to February 16, 2007) erlotinib and BVZ (March 2, 2007 to July 9, 2007). Erlotinib, lapatinib, sunitinib August 1, 2007 to August 23, 2007), erlotinib to August 28, 2007. Lapatinib to September 7, 2007 | | | Regional radiology CT March 22, 2007 | PR | Molecular profiling-negative (blood) |
| 5 | University hospital September 1, 2003 | Adenocarcinoma of the rectum | | | Proctosigmoidectomy with total mesorectal resection October 15, 2003 | | | | | |
| | | | | | 5-FU ×4 | Cancer institute PET May 20, 2004 | Recurrence | | | |
| | | | | | Left extra-peritoneal lymph node dissection May 25, 2004 | | | | | |
| | | | | | RT 5000 cGy with continuous infusion of 5-FU for 6 weeks June 4, 2004 | | | | | |
| | | | | | FOLFOX-4 for 6 months September 2004 | Cancer institute CT February 1, 2006 | Recurrence | | | |
| | | | | | FOLFIRI and BVZ May 2006 to September 2006 | Regional radiology PET/CT November 14, 2006 | Recurrence | | | |
| | | | | | BC. December 12, 2006 PB, capecitabine, panitumumab, and sunitinib | | | Regional radiology PET/CT June 4, 2007 | PD | Molecular profiling-normal (blood) |
| 6 | Regional hospital March 10, 2005 | Adenocarcinoma of the sigmoid colon with liver metastases | University hospital CT March 3, 2006 | Multiple liver metastases | Sigmoidectomy February 17, 2005 | | | | | |

| Conti | ınuea | | | | | | | | | |
|-------|---|---|--|---|---|---|------------|---|----|--|
| | | | | | FOLFIRI and BVZ April 4, 2005 for 18 weeks | | | | | |
| | | | | | BVZ ×11 to December 2005 | Regional laboratory increasing CEA January 2006 | Recurrence | | | |
| | | | | | Capecitabine February 2006 ×11 days | | | | | |
| | | | | | FOLFOX April 6, 2006 to June 24, 2006 | Regional laboratory increasing CEA June 2006 | Recurrence | | | |
| | | | | | 5-FU and leucovorin continuous infusions for 9 weeks | Regional laboratory increasing CEA September 2006 | | | | |
| | | | | | Capecitabine for 9 weeks to October 2006 | Regional laboratory increasing CEA October 2006 | Recurrence | | | |
| | | | | | Irinotecan and leucovorin December 20, 2006 to January 3, 2007 | Regional radiology CT January 2, 2007 | Recurrence | | | |
| | | | | | BC. January 12, 2007 PB, panitumumab, erlotinib, trastuzumab, 5-FU, methotrexate | | | Regional radiology April 24, 2007 | SD | Molecular profiling-negative (blood) |
| 7 | Regional hospital April 25, 2000 | Adenocarcinoma of the colon, moderately differentiated | Regional radiology PET April 2000 | Liver and lung metastases | Sigmoid colectomy April 21, 2000 | | | | | |
| | | | | | 5-FU, leucovorin and BVZ June 2000 to January 2001 | Regional radiology CT May 25, 2005 | Recurrence | | | |
| | | | | | 5-FU, leucovorin and BVZ April 2005 to September 2006 | Regional radiology PET September 1, 2006 | Recurrence | | | |
| | | | | | Cetuximab October 4, 2006 to December 19, 2006 | Regional radiology PET December 11, 2006 | Recurrence | | | |
| | | | | | BVZ January 16, 2007 to January 30, 2007 | | | | | |
| | | | | | BC. February 6, 2007 PB, lapatinib, BVZ, capecitabine | | | Regional radiology CT June 13, 2007 | PD | Molecular profiling-negative (blood) |
| 8 | Regional medical center May 6, 2004 | Adenocarcinoma of the colon, moderately differentiated | Regional hospital CT/PET April 2007 | Nodule in right lower lung, hypermetabolic. Pelvic mass, hypermetabolic with two additional areas of activity. | Rectosigmoid colon resection May 5, 2004 | | | | | |
| | | | | | FOLFIRI May 2004 to July 2004 | | | | | |
| | | | | | Oxaliplatin and BVZ March 2007 to November 2007 | | | | | |
| | | | | | 5-FU, leucovorin, cetuximab, BVZ February 2008 to May 2008 | Regional radiology PET/CT May 12, 2008 | Recurrence | | | |
| | | | | | Exploratory laparotomy and resection of pelvic mass May 23, 2008 | Regional radiology PET/CT July 1, 2008 | Recurrence | | | |

| | | | | | BC. July 8, 2008 PB, sorafenib, vorinostat, BVZ | | | Regional radiology PET/CT November 24, 2008 | SD | Molecular profiling-negative (blood) |
|----|--|---|---|---|--|--|--|---|--|--|
| 9 | University hospital February 2007 | | University hospital CT March 9, 2007 | Rectal tumor with regional lymph node metastases | Capecitabine and RT, 50 Gy to March 29, 2007 | | | | | |
| | University hospital March 7, 2008 | Liver metastases from adenocarcinoma of the rectum | | | Tumor resection colostomy July 25, 2007 | | | | | |
| | | | | | FOLFOX August 8, 2007 ×4 | University hospital CT September 25, 2007 | Recurrence (liver metastases) | | | |
| | | | | | FOLFIRI with BVZ November 11, 2007 to January 23, 2008 | | | | | |
| | | | | | Hemi-hepatectomy March 3, 2008 | ** | | | | |
| | | | | | Laparotomy for fistula repair 2× to June 17, 2008 | University hospital CT September 23, 2008 | Recurrence (multiple live metastases) | | | |
| | | | | | | University hospital PET November 26, 2008 | Recurrence (lung, liver, and pelvic metastases) | | | |
| | | | | | BC. January 13, 2009 PB, capecitabine, erlotinib, BVZ, sirolimus, vorinostat (discontinued) May 4, 2009 | | | Regional radiology PET/CT September 8, 2009 | SD (resolution of hypermetabolic nodules in the lungs and liver) | EGFR and VEGF-(blood) KRAS-wild-type |
| 10 | Regional hospital February 14, 2007 | Metastatic adenocarcinoma to the liver consistent with colorectal primary | Regional radiology January 31, 2007 | Multiple liver metastases, thickening in rectal area and enlarged perirectal lymph nodes. | Xelox, BVZ and cisplatin (clinical trial) March 2007 ×4 | | | | | |
| | | | | | Capecitabine and BVZ to November 2008 | Regional radiology PET/CT November 13, 2008 | Recurrence (liver metastases) | | | |
| | | | | | FOLFIRI November 29, 2008 to January 7, 2009 | Regional radiology PET/CT January 22, 2009 | Persistent disease | | | |
| | | | | | BC. January 23, 2009 PB, FOLFIRI, BVZ | | | Regional radiology CT/PET April 23, 2009 | SD | Molecular profiling-negative (blood) |
| 11 | Regional hospital June 25, 2007 | Adenocarcinoma of the sigmoid colon | Regional radiology PET/CT November 25, 2008 | Bilateral pulmonary nodules | Hemicolectomy June 21, 2007 | | | | | |
| | | | | | FOLFOX August 15, 2007 to February 5, 2008 | Regional radiology PET/CT November 25, 2008 | Recurrence | | | |
| | | | | | Irinotecan and BVZ | Regional radiology PET/CT September 30, 2009 | Recurrence (pulmonary nodules) | | | |

| | | | | | BC. December 1, 2009 PB, capecitabine, sorafenib, sirolimus, vorinostat | | | Regional radiology CT February 26, 2010 | SD | KRAS-mutated, Molecular profiling-negative (blood) |
|----|--|--|--|---|---|--|---|---|----|---|
| 12 | Cancer institute March 25, 2005 | Adenocarcinoma of the colon, invasive, moderately differentiated | Regional hospital CT March 2007 | Para-aortic lymphadenopathy | Anterior resection of the colon February 3, 2005 | | | | | |
| | | | | | FOLFOX May 2005-July 2005 | | | | | |
| | Cancer institute October 24, 2007 | Metastatic adenocarcinoma consistent with colorectal primary (retroauricular lymph node) | | | Irinotecan, capecitabine, BVZ November 2007 to March 2008 | | | | | |
| | | | | | Dendritic cell vaccine March 2008 | Cancer institute CT September 3, 2008 | Recurrence (periaortic lymph nodes) | | | |
| | | | | | Irinotecan, capecitabine, oxaliplatin, BVZ to October 2009 | Cancer institute PET/CT November 11, 2009 | Recurrence (adrenal glands, lungs, stomach) | | | |
| | | | | | BC. January 19, 2010 PB, sorafenib, sirolimus, vorinostat, BVZ, panitumumab. Discontinued May 15, 2010 | | | Regional radiology CT/PET June 15, 2010 | SD | Molecular profiling-negative (blood) |
| 13 | Regional hospital April 27, 2007 | Adenocarcinoma of the colon, poorly differentiated. Adenocarcinoma consistent with colorectal origin (liver biopsy) | Regional radiology PET/CT May 15, 2007 | Small nodule in the left lower lobe of the lung | Transverse colon resection April 25, 2007 | | | | | |
| | | | | | FOLFOX June 18, 2007 to November 26, 2007 | Regional hospital CT May 12, 2009 | Recurrence (liver) | | | |
| | | | | | Cetuximab July 2009 to September 2009 Resection of liver metastases September 24, 2009 | Way 12, 2007 | | | | |
| | | | | | Capecitabine and BVZ November 2009 to April 2010 | Regional radiology PET/CT April 25, 2011 | Recurrence (lungs) | | | |
| | | | | | Wedge resection of pulmonary nodules June 21, 2011 | Regional radiology CT/PET July 19, 2011 | Recurrence (lungs) | | | |
| | | | | | BC. August 29, 2011 PB, erlotinib, pazopanib, dasatinib | | | Regional radiology PET/CT November 22, 2011 | SD | VEGF-elevated (blood), KRAS-R164Q mutation, equivale to wild-type. IRS2-amplificatic APCR564 mutatic TP53 loss (R273I R213) (Caris-tisst analysis) |
| 14 | Regional hospital March 27, 2006 | Adenocarcinoma of the colon, moderately differentiated. KRAS wild-type | Regional radiology CT June 2008 | Pulmonary nodule | Hemicolectomy March 23, 2006 | | | | | |
| | | | | | FOLFOX June 2006 to December 2006 | Regional radiology CT October 2008 | Recurrence (lungs) | | | |

| Continued | | | | | | |
|---|---|---|--------------------|--|----|--|
| | FOLFIRI with BVZ November 2008 to March 2009 | Regional radiology CT October 2009 | Recurrence | | | |
| | Phase II study with panitumumab February 2010 | | | | | |
| | Single agent trial-placebo February 2010 to August 2010 | Regional radiology CT August 2010 | Recurrence | | | |
| | Panitumumab August 2010 to February 2011 | Regional radiology CT February 2011 | Recurrence | | | |
| | Irinotecan and panitumumab February 2011 to May 18, 2011 | Cancer institute CT August 19, 2011 | | | | |
| | BC. September 22, 2011 PB, erlotinib, capecitabine, oxaliplatin, BVZ, pazopanib | | | Regional radiology CT December 16, 2011 | PR | VEGF, EGFR, HER-2-elevated (blood), BRAF and KRAS-wild-type, PTEN and TS-reduced, MGMT-negative (Caris-tissue analysis) |
| Regional 15 hospital April 2006 April 2006 April 2006 Regional hospital CT Nodules in the differentiated 2009 April 2009 April 2006 | Colectomy April 2006 | | | | | |
| January 13, 2009 (lung nodule biopsy) Adenocarcinoma, moderately differentiated of colonic origin | Capecitabine June 2006 to November 2006 | Regional radiology CT January 12, 2009 | Recurrence (lungs) | | | |
| | Capecitabine, oxaliplatin, BVZ February 17, 2009 to August 2009 | | | | | |
| | Capecitabine, irinotecan, BVZ. February 2010 to August 2010 | Regional radiology CT January 18, 2011 | Recurrence (lungs) | | | |
| | | Regional radiology CT September 14, 2011 | Recurrence (lungs) | | | |
| | BC. December 13, 2011 PB, pazopanib, everolimus, dasatinib | | | Regional radiology March 13, 2012 | SD | VEGF-elevated (blood), ERCC1, TS, and PTEN-negative, SRC, PDGFRB, and HIF1A-overexpressed, VHL-reduced, BRAF-wild-type, (Caris-tissue analysis) |

Abbreviations: 5-FU: fluorouracil; BC: Burzynski Clinic; BRAF: serine/threonine protein kinase B-raf; BVZ: bevacizumab; CT: computed tomography; EGFR: epidermal growth factor receptor; ERCC1: excision repair cross-complementation group 1; HER-2: human epidermal growth factor receptor 2; HIF1A: hypoxia inducible factor 1, alpha subunit; IRS2: insulin receptor substrate 2; KRAS: proto-oncogene of the Kirsten murine sarcoma virus: MGMT-o-6-methylguanine-DNA methyltransferase; PB: sodium phenylbutyrate, PBT-PB and other drugs; PD: progressive disease; PDGFRB: platelet derived growth factor receptor, beta, PET: positron emission tomography; PR: partial response; PTEN: phosphatase and tensin homolog; RT: radiation therapy; SD: stable disease; SRC: Schmidt-Ruppin; TP53: tumor protein p53; TS: thymidylate synthase; VEGF: vascular endothelial growth factor; VHL: Von Hippel-Lindau.

3.4. Safety and Adverse Events

The treatment was generally well-tolerated. There were no Grade 4 toxicities. Grade 3 toxicities included three cases of diarrhea and single cases of thrombocytopenia, hypertension, mucositis, enteritis, and nausea. These adverse drug events (ADEs) were readily reversible within a short time.

4. Discussion

This paper describes a potential strategy for a more successful treatment of colorectal cancer after failure of second line therapy. Over 25 years ago, a model for colorectal carcinogenesis was introduced [24]. Epigenetic

Table 3. (a) Doses of targeted medications and duration of treatment until first response; (b) Doses of cytotoxic chemotherapy medications and duration of treatment until first response.

(a)

| | | | | | | () | | | | | |
|---------|------------|-------------|-------------|-------------|-----------|--------------|-----------|-------------|---------------------------|----------------------------|----------------------------|
| | | | | - · · | _ | Targeted dru | ıgs | - | | | |
| Patient | Daily Dose | | | | | Duration | | | | | |
| | PB | Erlotinib | Pazopanib | Sorafenib | Sirolimus | Everolimus | Dasatinib | Vorinostat | Bevacizumab | Panitumumab | Trastuzumab |
| 4 | 18 g/4.5m | 150 mg/4.5m | | | | | | | | | |
| 6 | 18 g/3.5m | 150 mg/3m | | | | | | | | $6 \text{ mg/kg} \times 7$ | $2 \text{ mg/kg} \times 6$ |
| 8 | 12 g/4.5m | | | 800 mg/3m | | | | 100 mg/4.5m | $10\text{mg/kg} \times 5$ | | |
| 9 | 12 g/8m | 150 mg/7.5m | | | | | | 200 mg/8m | | | |
| 10 | 12 g/3m | | | | | | | | $10\text{mg/kg} \times 4$ | | |
| 11 | 10 g/3m | | | 400 mg/2.5m | 1 mg/3m | | | 100 mg/2.5m | | | |
| 12 | 12 g/5m | | | 400 mg/4m | 1 mg/4.5m | | | 100 mg/5m | $5\text{mg/kg} \times 8$ | 6 mg/kg × 10 | |
| 13 | 12 g/3m | 150 mg/3m | 200 mg/2.5m | | | | 50 mg/2m | | | | |
| 14 | 12 g/3m | 150 mg/0.5m | 200 mg/2.5m | | | | | | $10mg/kg\times 5$ | | |
| 15 | 12 g/4m | | 200 mg/4m | | | 10 mg/3.5m | 50 mg/1m | | | | |

(b)

| | | | • | toxic chemotherapy | | |
|-----------|-------------------|---------------------|----------------|--------------------|------------------------|----------------------|
| Patient - | | | Daily dose | | Duration | |
| | Capecitabine | Oxaliplatin | 5-fluorouracil | Methotrexate | FOLFOX | FOLFIRI |
| 4 | | | | | Standard regimen 4.5 m | |
| 6 | | | 3.5 m | 3.5 m | | |
| 9 | 2000 m/7.5m daily | | | | | |
| 10 | | | | | | Standard regimen 3 m |
| 11 | 1500 mg/3m daily | | | | | |
| 14 | 1500 mg/2m daily | 85 mg/m^2 | | | | |

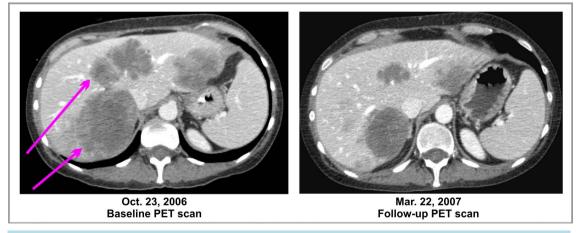


Figure 1. A partial response of liver metastases from colorectal cancer; CT scan, Patient 4.

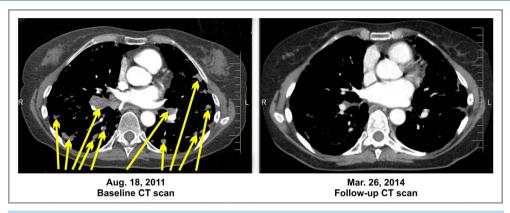


Figure 2. A partial response of pulmonary metastases from colorectal cancer; CT scan, Patient 14.

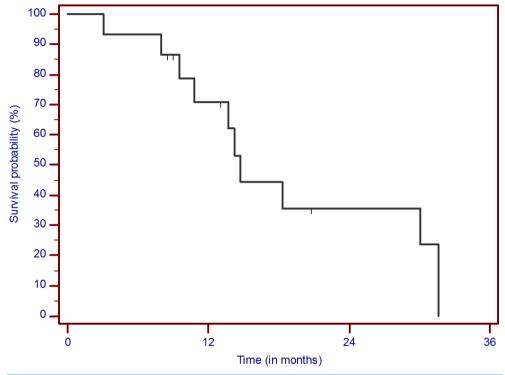


Figure 3. Kaplan-Meier survival curve. Overall survival from treatment start.

changes and mutations in oncogenes and tumor suppressor genes were instrumental in occurrences of gradual abnormalities leading from small adenomatous polyps to invasive adenocarcinoma. Multiple genetic alterations included activation of *KRAS*, interference in WNT signaling and mutation of *TP53* [24]. EGFR signaling played a major role in the development of therapy for CRS [25]. A major breakthrough was the introduction of monoclonal anti-EGFR antibodies, cetuximab and panitumumab [26]. Despite initial success, the resistance toward anti-EGFR antibodies frequently developed due to mutation and amplification of EGFR or genes in the downstream pathways [27]-[30]. A critical member of the downstream RAS pathway is Kerstin-*RAS* oncogene (*KRAS*) [31]. The elucidation of the role of *KRAS* mutations in the efficacy of anti-EGFR therapies became a major area of oncology research. Patients with mutated *KRAS* seldom responded to cetuximab and panitumumab [32] [33]. Recent data, however, indicate that recurrence in patients with wild-type *KRAS* treated with anti-EGFR antibodies depends on overgrowth of undetected *KRAS* mutant cell population, and it was concluded that the majority (93%) of CRC tumors have *KRAS* mutations [31] [34]. Differences in the median OS in wild-type and *KRAS* mutated groups of patients are shown in Table 4 [21] [22].

Table 4. Selected clinical studies in advanced colorectal cancer after second-line therapy.

| Reference | Treatment | Number of patients | OS (months) |
|--|-----------------------------|--------------------|-------------|
| Karapetis et al. 2008 [21] wild-type K-ras | Cetuximab | 117 | 9.5 |
| Karapetis et al. 2008 [21] mutant K-ras | Cetuximab | 81 | 4.8 |
| Amado et al. 2008 [22] wild-type K-ras | Panitumumab | 124 | 8.1 |
| Amado et al. 2008 [22] mutant K-ras | Panitumumab | 84 | 4.9 |
| Grothey et al. 2013 [23] | Regorafenib | 500 | 6.4 |
| Burzynski et al. 2014 | PB + a targeted combination | 15 | 14.7 |

Abbreviations: OS-median overall survival.

In addition to monoclonal antibodies that block the extracellular domain of EGFR, the tyrosine kinase inhibitors (TKI), erlotinib and gefitinib that target the intracellular domain of the receptor were assessed in clinical studies [3]. Unfortunately, there were no objective responses and no increased OS in phase II studies with either erlotinib or gefitinib with FOLFIRI [35] [36]. In first-line therapy, however, the addition of erlotinib with bevacizumab following induction with chemotherapy and bevacizumab resulted in statistically significant increase in PFS regardless of *KRAS* mutation [37].

Due to frequent mutations, KRAS offered a good theoretical therapeutic target. Tipifarnib, an inhibitor of farnesylation of RAS protein failed in CRC clinical trials as a result of conversion from farnesylation to geranylation in cancer cells [38]. BRAF inhibition fared much better. Oral multikinase inhibitor, regorafenib, inhibits BRAF and VEGFR, PDFR β , FGFR, KIT and RET [39]. Phase III trial with regorafenib confirmed a median OS of 6.4 months [23]. Drug resistance, however, occurs early which is supported by loss of function of PTEN and activation of AKT, and anti-apoptosis action through suppression of BAD [40]. Additional mechanisms of resistance to BRAF inhibitors include secondary KRAS mutations and activation of MEK/ERK [41] [42]. MEK inhibitor selumetinib produced encouraging results, but caused resistance through up-regulation through WNT signaling [43] [44].

The importance of m-TOR in the regulation of growth of cancer cells suggests m-TOR inhibitors are good candidates for clinical trials, a promise that was not fulfilled in studies with sirolimus [45] [46]. An additional concern was the activation of PI3K signaling through a negative feedback loop [47]. The new generation of both m-TOR and PI3K inhibitors demonstrated better results in combination with erlotinib in PTEN negative tumors [48].

Epigenetic mechanisms leading to CRC were studied for a number of years [49]. The agents that promote demethylation of promoters of the tumor suppressor genes and HDAC inhibitors were proposed for treatment of CRC [50]. PB, ANP and vorinostat can be considered in this respect [19] [20].

The failure of combination chemotherapy to eradicate advanced CRC is attributed to persistence of cancerous stem cells (CSC) [51] [52]. Mutations that are crucial in carcinogenesis of CRC, including inactivation of tumor suppressor gene *adenomatous polyposis coli* (APC) and activation of *KRAS*, give competitive advantage to stem cells and convert them into the neoplastic process [52]. Genes of developmental pathways participate in the process of formation and maintenance of CSC [53].

Wingless-related integration site (WNT), bone morphogenic protein (BMP), Notch and hedgehog (HH) pathways are critical in this process [53]. Inhibition of WNT signaling through Cox-2 inhibitors celecoxib, indomethacin and aspirin did not produce a significant effect [54] [55]. On the other hand, the activation of non-canonical WNT signaling by HDAC inhibitors inhibited APC mutated CRC cells [56]. This indicates the possible use of vorinostat and PB which in a different study have shown cytotoxic effect against CSC [57]. m-TOR inhibitor everolimus was also found to inhibit WNT signaling [58].

The data from several research centers suggest a general activation of Notch-1 signaling in CRC [59]-[61]. Despite initial promising results, the phase II trials with γ -secretase inhibitor RO4929097 had little effect [62] [63].

The evidence that inhibition of signal transduction in BMP and HH pathways may find clinical application was not yet presented [53].

Additional approaches to control CRC may include interference in cell cycle, cancer cell metabolism, angiogenesis, and inhibition of apoptosis. These mechanisms were explored in the treatment of recurrent GBM using

PB in combination with targeted agents [10].

Treatment of advanced CRC after failure of a second-line of standard-of-care therapy creates a challenge for oncologists. The current view on *KRAS* carcinogenesis recognizes a high background level of *KRAS* mutation in patients who tested positive for the wild-type gene [31]. Anti-EGFR monotherapies lead to outgrowth of resistant *KRAS* mutants and recurrence [31].

Based on available research data and our limited experience, we propose the following strategy for the combination of targeted and chemotherapeutic agents (**Figure 4** and **Figure 5**). It is suggested to simultaneously interrupt EGFR (VEGFR)-*KRAS*-ERK and PI3K-AKT pathways. Patients with wild-type *KRAS* not previously treated with anti-EGFR antibodies have a reasonable chance to respond to cetuximab or panitumumab in combination with other drugs. Alternatively, based on studies by others, erlotinib can be used synergistically with bevacizumab and chemotherapy regardless of *KRAS* status [37]. For patients who failed FOLFIRI, capecitabine, and/or oxaliplatin can be reconsidered. In our evaluation, multikinase inhibitors, pazopanib and sorafenib, were used since regorafenib was not yet available. In a new strategy, regorafenib can be used instead. New MEK and

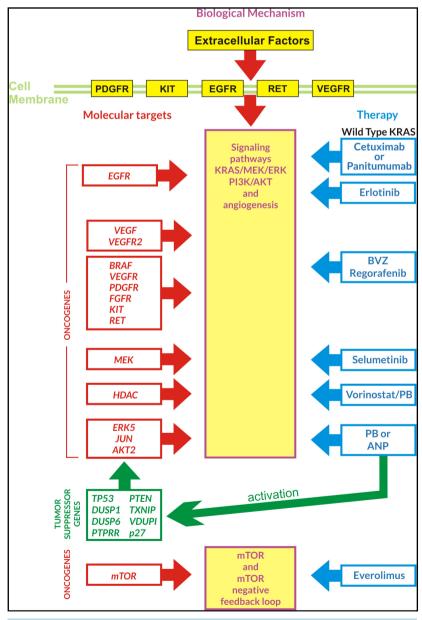


Figure 4. Interruption of transduction pathways by PB and targeted agents.

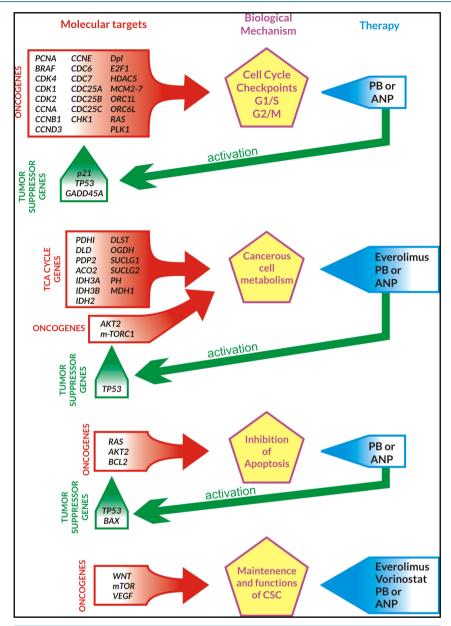


Figure 5. Inhibition of cell cycle, metabolism, maintenance and function of CSC and promotion of apoptosis by PB and targeted agents.

PI3K inhibitors may be considered once they become available. The effect against CSC can be accomplished through a combination of HDAC inhibitors, vorinostat and PB, in conjunction with m-TOR inhibitors, everolimus/sirolimus [57] [58]. Additional targeted agents can be considered based on molecular profiling.

Treatment with PB in combination with targeted agents and chemotherapy appears to provide another option for improved outcomes in patients who failed two lines of standard chemotherapy for advanced CRC. Such combination treatment typically requires a dosage reduction, since toxicities of the drugs can overlap. We understand that the findings with PB in combination with targeted agents and/or chemotherapy are preliminary and we propose validation of these data using a well-designed phase I/II trial in advanced recurrent CRC. This principle for CRC patients who fail prior treatment may also have validity using ANP, which possess overlapping ingredients with metabolites of PB as they have shown promise in the treatment of various brain tumors, including GBM [4]-[10]. ANP can offer the advantage of higher anticancer activity, since they are available in intravenous dosage form. Randomized, controlled clinical trial in advanced CRC patients comparing intra-arterial

chemotherapy for the treatment of liver metastases with ANP plus intra-arterial chemotherapy have been successfully completed and have shown 5-year-survival statistics twice as good as the control (intra-arterial chemotherapy). The report is now in press.

5. Conclusion

Despite rapid progress in the treatment of CRC, the established standard-of-care for patients who recurred after the second-line chemotherapy provides poor results, with progress being very modest. The use of targeted agents as a single treatment or in combination with chemotherapy has not provided substantial survival benefit. The results reported here are based on a small series of patients who were consecutively admitted for the treatment at BC during the last few years. This is a retrospective evaluation that shows an increase of median OS and tolerable toxicity compared to other available treatments. The choice of targeted agents was limited when this evaluation began. Furthermore, molecular profiling was in its early stages, providing limited data that were helpful for the design of treatment plans. The group included only evaluable patients, which is typical for a retrospective analysis. The authors realize that results are preliminary and the sample size is small. They should be validated in a larger population by well-designed phase I/II clinical trials with PB or ANP in combination with targeted or chemotherapeutic agents. Caution should be exercised when combining these agents, since no clinical trials have been conducted yet with such combinations. We also propose that future clinical trials include molecular profiling to help select the subgroups of cases of CRC and coordinate genomic changes with responses.

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Consent

Written informed consent was obtained from patients for publication of this case report and accompanying images.

Competing Interests

All authors are employed by Burzynski Clinic. Dr. Stanislaw R. Burzynski and Dr. Gregory S. Burzynski are shareholders and directors, and Dr. Tomasz J. Janicki is the Vice-President of Burzynski Research Institute, Inc. Dr. Stanislaw R. Burzynski is President of Burzynski Research Institute, Inc., Dr. Gregory S. Burzynski is Vice-President of Burzynski Clinic and Dr. Sheldon Brookman is Director of Pharmaceutical Development of Burzynski Clinic.

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