

# Holographic microwave imaging for medical applications

Lulu Wang<sup>1</sup>, Ray Simpkin<sup>2</sup>, A. M. Al-Jumaily<sup>1</sup>

<sup>1</sup>Institute of Biomedical Technologies, Auckland University of Technology, Auckland, New Zealand

<sup>2</sup>Callaghan Innovation, Auckland, New Zealand

Email: [luwang@aut.ac.nz](mailto:luwang@aut.ac.nz), [ahmed.al-jumaily@aut.ac.nz](mailto:ahmed.al-jumaily@aut.ac.nz), [ray.simpkin@callaghaninnovation.govt.nz](mailto:ray.simpkin@callaghaninnovation.govt.nz)

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## ABSTRACT

**This paper presents a new 2D holographic microwave imaging array (HMIA) technique for medical imaging applications. The HMIA technique has been applied to early stage breast cancer detection and brain stroke detection. Computer models are developed to demonstrate the feasibility of detecting and localizing small brain strokes within a 2D numerical head model and breast tumours within a 3D numerical breast model using the HMIA technique. Experimental validation of the HMIA simulation model using a breast phantom has been undertaken and demonstrated a good agreement between experimental and simulated images. Simulation and experimental results showed that the proposed HMIA technique has the potential to become a powerful medical screening and diagnostic tool.**

**Keywords:** Aperture Synthesis Imaging; Brain Imaging; Brain Stroke; Breast Imaging; Breast Cancer; Microwave Imaging; Holographic Microwave Imaging; Holographic Microwave Imaging Array

## 1. INTRODUCTION

Breast cancer is a major killer of women worldwide [1]. At this stage there are no clear opportunities for prevention [2]. Studies have shown that only early detection (when the tumour less than 5 mm) and timely treatment can significantly increase the survival rate [3].

X-ray mammography is currently the gold standard medical imaging tool for breast tumour detection [4]. However, it has a number of limitations, such as missing approximately 10% - 30% of breast cancers [4], discomfort and unpleasant pain to the patient during operation [5], it is can be unsuitable for young women and breast feeding women [6] and the ionizing properties of X-rays restrict the frequency of screening [7]. These limitations of X-Ray mammography motivate the development of

new alternate imaging modalities.

A brain stroke is the third leading cause of death after the heart disease and cancer [8]. Brain stroke is the rapid loss of brain functions due to a disturbance in the blood supply. Ischemic strokes account for approximately 87% of all strokes. The risk factors for stroke include old age, hypertension, transient ischemic attack, diabetes, high-cholesterol, cigarette smoking and atrial fibrillation [9].

Computed Tomography (CT), Magnetic resonant Imaging scanning (MRI), Positron Emission Tomography (PET) and ultrasound are the most commonly available clinical imaging diagnosis tools for stroke detection [10]. However, these tools are not suitable for continuous monitoring of a stroke's evolution due to of high cost, time consuming imaging operations and the imaging equipment is not portable. Moreover, CT imaging uses ionizing radiation that is harmful to the patient. These circumstances motivate the interest for new technologies that can supplement currently available imagining technologies to improve the overall effectiveness of the diagnosis [11].

Microwave based imaging techniques create a map of electromagnetic wave scattering arising from the contrast in the dielectric properties of different tissues. Using electromagnetic waves of radio range for non-invasive diagnostics and imaging of human organs and tissue has been researched extensively [12-17]. The use of microwave techniques in medial and security applications has attracted the interest of many research groups [18-28].

Microwave imaging has been considered as one of the most promising alternatives to X-ray mammography for early breast cancer detection and has received significant attention over the last two decades [18-28]. Recent investigations [29-31] have indicated that microwave imaging has the potential to determine perfusion related changes in the human brain and microwave based imaging approaches could be developed as a useful new imaging modality for stroke management. The advantages of microwave imaging include a whole view of body

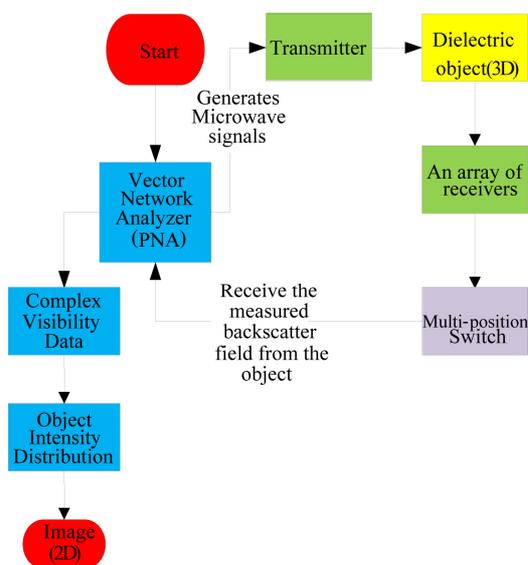
tissue, lower cost, more comfortable and no ionizing radiation.

A microwave holography imaging near-field technique has been proposed for breast cancer detection [32-34]. The results demonstrate that microwave holographic based techniques offer high resolution breast image at significantly lower cost because these techniques do not require expensive ultra-high speed electronics as narrow-band signals can be converted to the baseband for digitalization at a slower rate.

The aim of this study is to assess the feasibility and potential performance characteristics of our currently proposed 2D holographic microwave imaging array (HMIA) technique for medical imaging applications, with a particular focus on breast cancer and brain stroke detections.

## 2. THEORY

**Figure 1** illustrates a flowchart of the 2D holographic microwave imaging array (HMIA) system. During operation, a two-port vector network analyzer (PNA) is connected with a single transmitter to generate microwave signals to a 2D or 3D dielectric object (in far-field) and the backscattered electric field from the object is measured by an array of  $N$  microwave receivers that is connected to the PNA. The measured data that contains the phase and magnitude of the reflection coefficient is used to calculate the complex visibility data using the 2D HMIA image algorithm [35]. An object scattering intensity distribution is formed by applying an inverse Fourier transform to the complex visibility data. A 2D projection image on a 2D plane of a 3D object is subsequently generated.



**Figure 1.** Flowchart of holographic microwave imaging setup.

If a point  $P(x, y, z)$  is assumed within a dielectric object, in far-field from any two antennas located at  $\underline{r}_1$  and  $\underline{r}_2$  as shown in **Figure 2**. Then the visibility function of the backscattered electric field from the object for any two antennas is defined as:

$$G(\underline{r}_1, \underline{r}_2) = \langle \underline{E}_{scat}(\underline{r}_1) \cdot \underline{E}_{scat}^*(\underline{r}_2) \rangle \quad (1)$$

where  $*$  and  $\langle \rangle$  in **Eq.1** denote the complex conjugate and the expected value (time average).

The object intensity function at the position  $\underline{s}$  is defined as:

$$I(\underline{s}) = \begin{cases} \left(\frac{k_b^2}{2}\right)^3 (\varepsilon(\underline{s}) - \varepsilon_b)^2 \underline{E}_T(\underline{s}) \cdot \underline{E}_T^*(\underline{s}), & \text{for a 2D object} \\ \left(\frac{k_0^2}{4\pi}\right)^3 (\varepsilon(\underline{s}) - \varepsilon_b)^2 \underline{E}_T(\underline{s}) \cdot \underline{E}_T^*(\underline{s}), & \text{for a 3D object} \end{cases} \quad (2)$$

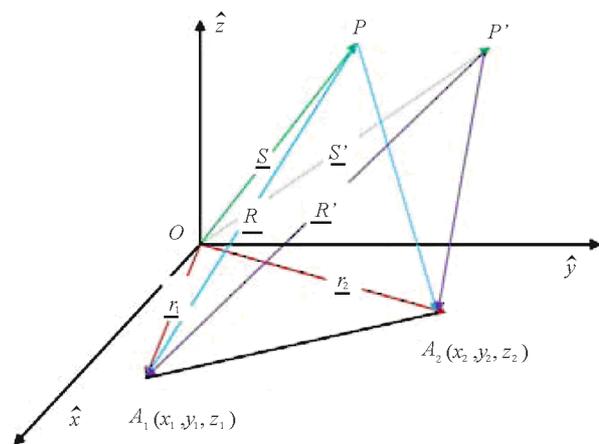
where  $k_0$  is the wave number in free space,  $k_b$  is the wave number in the host medium,  $\varepsilon(\underline{s})$  is the complex relative permittivity distribution of the object,  $\varepsilon_b$  is the complex relative permittivity of the host medium and  $\underline{E}_T(\underline{s})$  is the total electric field (incident plus scattered) at a point inside the object with position vector  $\underline{s}$ .

Writing the Cartesian components of the baseline vector  $\underline{d}$  as  $(u, v, w)$  such that:

$$\begin{aligned} u &= (x_2 - x_1) / \lambda_b \\ v &= (y_2 - y_1) / \lambda_b \\ w &= (z_2 - z_1) / \lambda_b \end{aligned} \quad (3)$$

where  $\lambda_b$  is the wavelength in host medium, if all antennas are assumed to be located on a 2D plane, then  $w = 0$ .

**Figure 3** shows the spherical polar coordinate system,



**Figure 2.** Geometry of HMIA measurements by a pair of antennas.

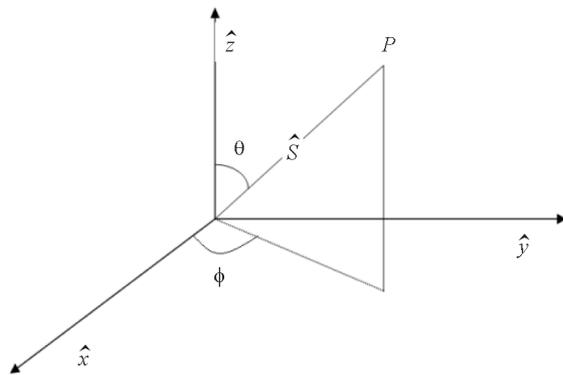


Figure 3. Spherical polar coordinate system.

defining new variables ( $l, m$ ) as:

$$\begin{aligned} l &= \sin \theta \cos \phi \\ m &= \sin \theta \sin \phi \end{aligned} \tag{4}$$

the 2D object intensity distribution function can be expressed by using HMIA imaging algorithm [35]:

$$\tilde{I}(l, m) = \iint G(u, v) e^{j2\pi(ul+vm)} du dv \tag{5}$$

where  $j = \sqrt{-1}$ .

The 2D image is the intensity function  $\tilde{I}(l, m)$  represents the scattering intensity in the object integrated along each radial vector.

A zooming function can be applied to quantitatively asses imaging results by:

$$\tilde{I}(l, m) = [\tilde{I}(l, m)]^Q \tag{6}$$

Eq.6 performs a  $Q:1$  zoom on an image of the object. The development of 2D and 3D HMIA imaging algorithms are detailed in [35,36].

### 3. HMIAFOR BRAIN STROKE DETECTION

The 2D HMIA technique for brain stroke detection is designed for operating at a single frequency of 2.5 GHz. Figure 4 illustrates the HMIA system for a 2D head model [37]. The system contains an array of 16 small antennas, one is the transmitter and others are receivers which are located around the head model in far-field distance. The space between the head model and antenna array was filled with air.

#### 3.1. Simulation Forward Models

Figure 5 shows a 2D ellipse-shaped head model that has a major radius of 100 mm and a minor radius of 85 mm. The simulated 2D head model contains skin, fat, skull, cerebral spin fluid (CSF), grey matter, white matter and an ischemic stroke area. Colour bar plots the dielectric properties of the head. The head model was surrounded

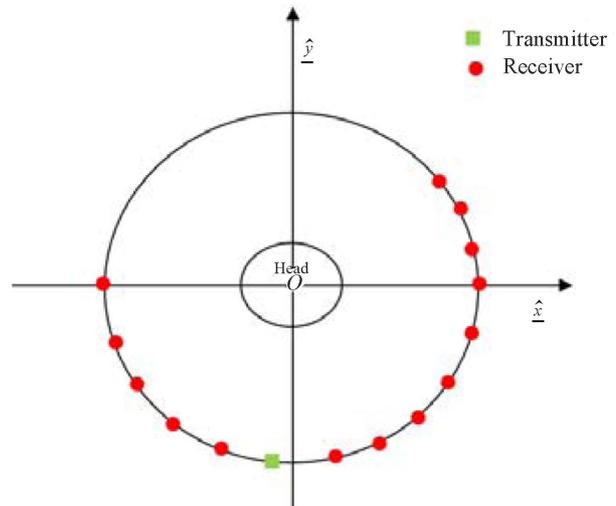


Figure 4. Schematic HMIA system for 2D head model [37].

with air as well as the space between the head model and antenna array. The dielectric properties of the head model are summarized in Table 1 [29-30].

A wire antenna was simulated as the transmitter and receiver. The incident field of such antenna is given by:

$$\underline{E}_{inc}(\underline{s}) = \underline{E}_T(\underline{s}) + \frac{jk_b^2}{4} \int_A (\epsilon(\underline{s}) - \epsilon_b) \underline{E}_T(\underline{s}) H_0^2(k_b R) dA \tag{7}$$

The left-hand side of Eq.7 is the incident field within the head model, which is always known. It then remains to solve for the total field  $\underline{E}_T$  which is computed using MoM [39] to convert an integral equation into a set of linear simultaneous equations. The total electric field  $\underline{E}_T$  of the head at a point inside the head with position vector  $\underline{s}$  is the sum of the scattered field  $\underline{E}_{scat}$  and the incident field  $\underline{E}_{inc}$ . Using the approach adopted by Richmond [40], we divide the area of the head into small area elements  $\Delta$  and assume that the field and the permittivity are constant with each  $\Delta S$ . The integral in Eq.7 then becomes a sum over all the elemental areas. Eq.7 then becomes a matrix equation as follows:

$$[\underline{E}_{inc}(\underline{s})] = [I] + [M][\underline{E}_T(\underline{s})] = [Q][\underline{E}_T(\underline{s})] \tag{8}$$

where  $[Q] = [I] + [M]$ ,  $[I]$  = Identity matrix ( $N \times N$ ),  $[M]$  = Square matrix ( $N \times N$ ) with elements  $M_{mn}$  defined as follows ( $m$  = row index,  $n$  = column index).

The element of  $[M]$  is expressed as:

$$M_{mn} = \begin{cases} (\epsilon_n(\underline{s}) - \epsilon_b) \left( 1 + j \frac{\pi}{2} k_b H_1^2(k_b a) \right), & \text{for } m = n \\ \frac{jk_b^2}{4} \Delta S (\epsilon_n(\underline{s}) - \epsilon_b) H_0^2(k_b R_{mn}), & \text{for } m \neq n \end{cases} \tag{9}$$

where  $a = \sqrt{\Delta S / \pi}$ , and  $H_0^2(k_b a)$  is the first-order

Hankel function of the second type with argument  $k_b a$ ,  $\Delta S = \pi a$ , and  $R_{mn} = |r_m - r_n|$ .

The total field can be found by matrix inversion of **Eq.8**:

$$[\underline{E}_T(\underline{s})] = [Q]^{-1} [\underline{E}_{inc}(\underline{s})] \tag{10}$$

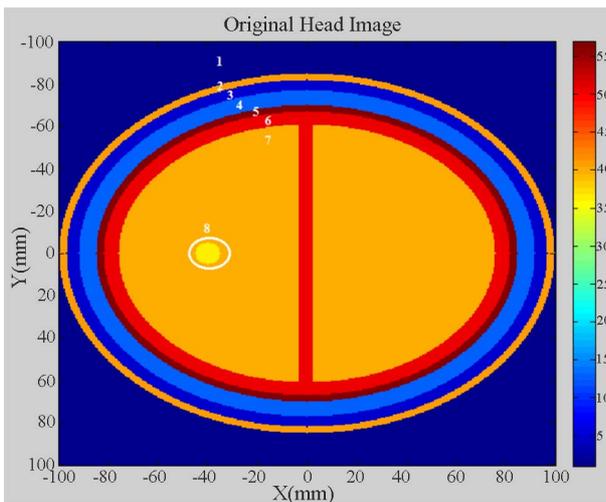
The backscattered electric field  $\underline{E}_{scat}$  from the head at any receiver can be found using the MoM approach:

$$\underline{E}_{scat}(\underline{r}_i) = \left( -\frac{jk_b^2}{4} \right) \int |\varepsilon(\underline{s}) - \varepsilon_b| \underline{E}_T(\underline{s}) H_0^2(k_b \underline{R}) dA \tag{11}$$

where  $H_0^2(k_b \underline{R}) \approx \sqrt{2/\pi k_b \text{Re}} - jk_b \underline{R} e^{j\pi/4}$ ,  $\underline{R}$  is the position vector from a point in the head to the receiving antenna,  $A$  is head area and  $dA$  is differential area element.

An array of 16 wire antennas including one transmitter and 15 receivers (**Figure 6**) was placed around the head model in far-field (400 mm) distance.

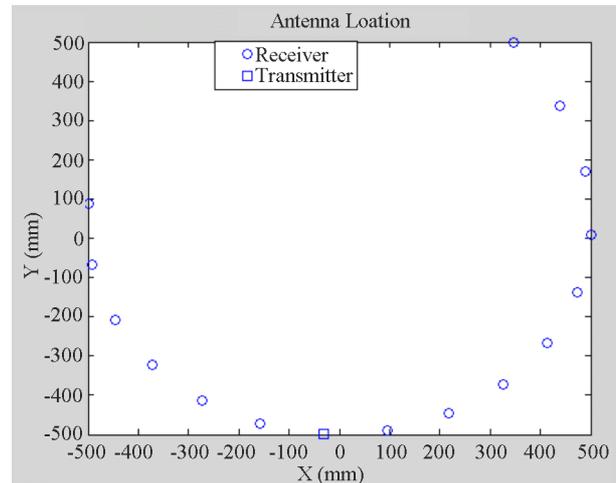
A computer model was developed using MATLAB by combining **Eq.11** and **Eq.1** to simulate the complex visi-



**Figure 5.** Simulated 2D head model inside of the HMIA (1: Air, 2: Skin, 3: Fat, 4: Skull, 5: CSF, 6: Grey matter, 7: White matter, 8: Ischemic stroke).

**Table 1.** Dielectric properties of head at 2.5 GHz [29,30].

No	Region	Thickness (mm)	Dielectric properties
1	Air		1 [38]
2	Skin	3	41 - 11 j
3	Fat	5	5 - 4 j
4	Skull	7	13 - 2 j
5	CSF	3	57 - 26 j
6	Grey matter	6	50 - 18 j
7	White matter		40 - 15 j
8	Ischemic Stroke	5	36 - 13 j



**Figure 6.** Schematic of antenna array configuration.

bility function that is described in Section 2. The head intensity distribution can be obtained by **Eq.2** and then a 2D head image can be formed using **Eq.5**.

### 3.2. Simulation Results

**Figure 7** clearly shows the simulated ischemic stroke within the reconstructed 2D head image. The stroke is located at  $(X = -40 \text{ mm}, Y = 0 \text{ mm})$ . Colour bar plots signal energy on a linear scale, normalised to the maximum in the 2D head area.

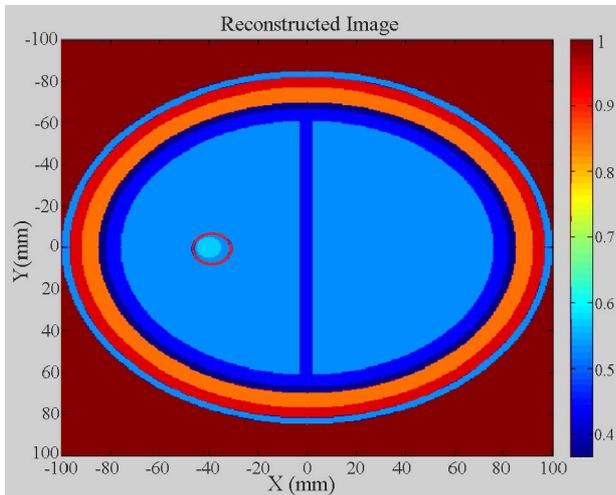
## 4. HMIAFOR BREAST CANCER DETECTION

The 3D HMIA technique for the breast cancer detection is designed for operating at a single frequency of 12.6 GHz. The system contains a 3D breast model and an array of 16 antennas with one being the transmitter and others receivers. The space between the breast and the antenna array was assumed to be filled with air ( $\varepsilon_r = 1$ ,  $\sigma = 0 \text{ S/m}$ ).

### 4.1. Simulation Forward Models

**Figure 8** displays the 2D and 3D views of a simplified breast model that contains skin, fat tissue and malignant tissue. The hemispherical shaped breast model has a radius of 70 mm. A 2 mm thick skin layer surrounded the breast fat tissue. Tumours were modelled as spheres and ellipses of diameters ranging from 2 mm to 6 mm. Colour bar plots the dielectric properties of breast model. The dielectric properties of breast used in simulation model are summarized in **Table 2**.

A known incident field is transmitted by the transmitter and the response is measured on the 15 receivers. HMIA measures the scattered radiation of the breast, which is composed of a set of correlation interferometer pairs. The electromagnetic signals received by each pair



**Figure 7.** Reconstructed 2D head image of the simulated 2D head model.

are cross correlated to get the visibility function.

A small open-ended rectangular wave-guide was assumed to be a transmitting antenna. The broad and narrow wall dimensions of the antenna are 15.788 mm and 7.5 mm. The radiated far-field from such antenna is well represented by the following expression:

$$\underline{E}_{inc}(R_0, \theta, \phi) = \frac{-jk_b}{2\pi^2} \underline{E}_0 \frac{e^{-jk_b R_0}}{R_0} ABh(\theta, \phi) \underline{P}(\theta, \phi) \quad (12)$$

where  $\underline{E}_0$  = Wave amplitude of  $TE_{10}$  mode within wave-guide aperture;

$R_0$  = Position vector from a point in the breast to the transmitting antenna;

A = Broad aperture dimension of antenna aperture;

B = Narrow aperture dimension of antenna aperture;

$h(\theta, \phi)$  = Antenna far-field radiation pattern;

$\underline{P}(\theta, \phi)$  = Polarisation vector.

The back-scattered electric field from breast can be found by applying the Stratton & Chu formulation [41] which gives the following integral over the volume of the breast:

$$\underline{E}_{scat} = \frac{k_0^2}{4\pi_{vol}} \int (\varepsilon(\underline{s}) - \varepsilon_b) \left[ a \underline{E}_T + b (\underline{E}_T \cdot \hat{\underline{R}}) \hat{\underline{R}} \right] \frac{e^{-jk_b R}}{R} dV \quad (13)$$

where  $k_0$  is the wave number in free space,

$$a = 1 - \frac{j}{k_b R} - \frac{1}{(k_b R)^2},$$

$$b = -1 + \frac{3j}{k_b R} + \frac{3}{(k_b R)^2}.$$

$\hat{\underline{R}}$  = Unit vector parallel to the vector  $\underline{R}$ ,

$\underline{R}$  = Position vector from a point in the breast to the receiving antenna.

When  $k_b R \gg 1$ , the above factors can be approxi-

mated by  $a \approx 1, b \approx -1$ . Therefore, under these far-field conditions **Eq.13** becomes:

$$\underline{E}_{scat} = \frac{k_0^2}{4\pi_{vol}} \int (\varepsilon(\underline{s}) - \varepsilon_b) \left[ \underline{E}_{inc} + (\underline{E}_{inc} \cdot \hat{\underline{R}}) \hat{\underline{R}} \right] \frac{e^{-jk_b R}}{R} dV \quad (14)$$

For the purposes of demonstrating the HMIA technique, it is computationally advantageous to consider a small permittivity contrast between the breast and host medium (air), that is  $(\varepsilon(\underline{s}) - \varepsilon_b)$  is assumed to be small. Thus, the backscattered far-field can be readily determined using the Born Approximation [42], which allows the total electric field,  $\underline{E}_T$ , to be approximated by the incident field,  $\underline{E}_{inc}$ , so that we obtain:

$$\underline{E}_{scat} = \frac{k_0^2}{4\pi_{vol}} \int (\varepsilon(\underline{s}) - \varepsilon_b) \left[ \underline{E}_{inc} - (\underline{E}_{inc} \cdot \hat{\underline{R}}) \hat{\underline{R}} \right] \frac{e^{-jk_b R}}{R} dV \quad (15)$$

A computer model was developed using MATLAB by combining **Eq.15** and **Eq.1** to simulate the complex visibility function that is detailed in Section 2. The Fourier relationship **Eq.5** was then used to generate a 2D image of a 3D breast model.

## 4.2. Simulation Results

In each of the simulations, the breast model was placed at  $z = 0$  mm and the antenna array plane was placed at  $z = -450$  mm. The 140 mm  $\times$  140 mm square image region containing the object (breast) and the background medium (air) is uniformly subdivided into 281  $\times$  281 elementary square cells.

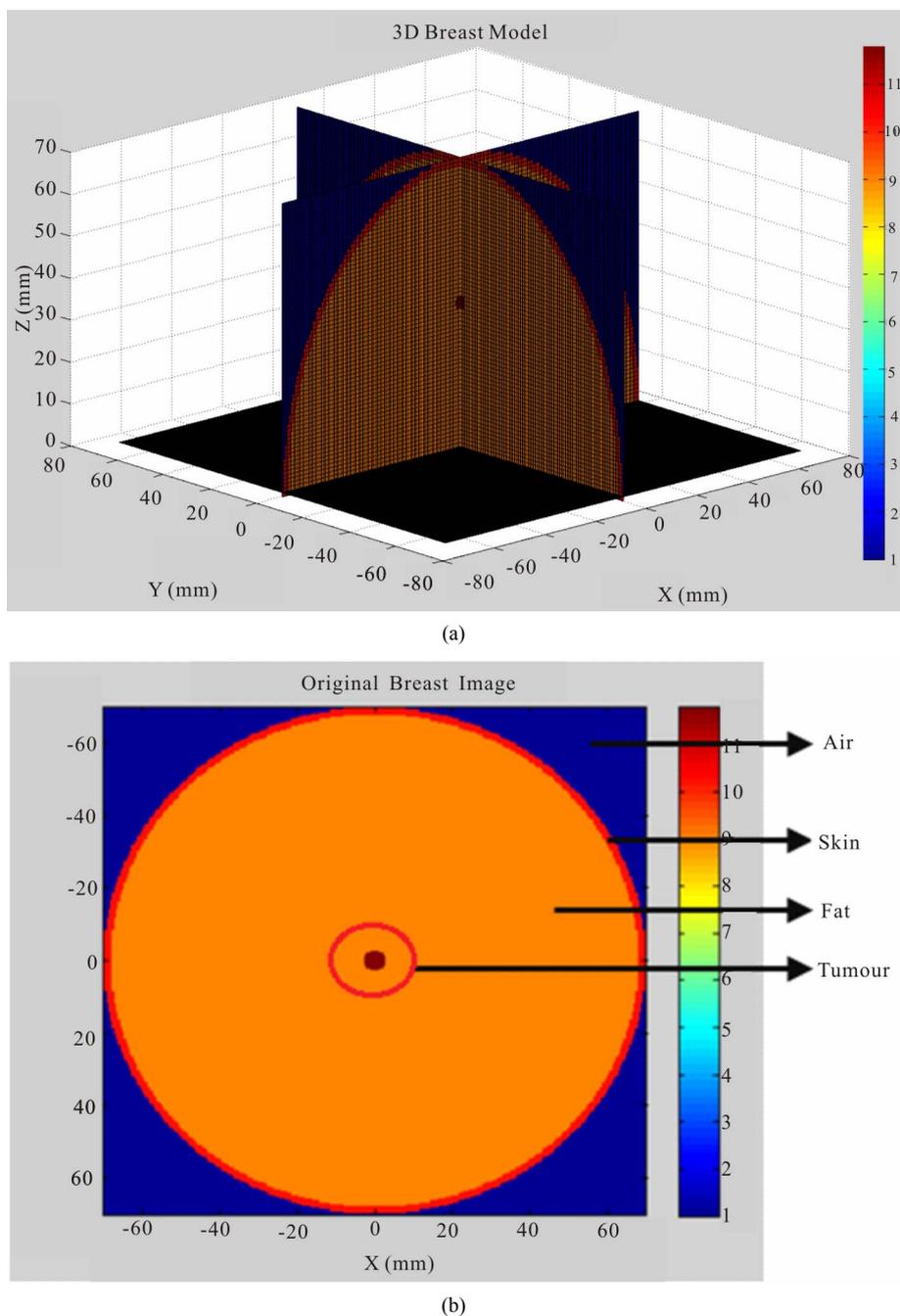
**Figure 9** illustrates the original breast model and reconstructed breast image of five spherical tumours (circled in black). The location and size of spherical shaped tumours within the breast model are listed in **Table 3**.

**Figure 10** shows the 2D view of the original 3D breast model and the reconstructed breast image of our spherical tumours (2 mm in diameter, circled in black). **Table 4** shows the location and size of spherical shaped tumours within the breast model.

## 4.3. Experiment Setup

A simplified system to validate the implementation of the 2D HMIA technique for breast cancer detection was designed to conduct the experiments. The HMIA experimental setup is shown in **Figure 11**.

Sixteen open-end waveguide antennas (with 120 mm in length, 15.8 mm and 7.5 mm in the broad and narrow wall dimensions) were embedded in a sheet of microwave absorbing material (600 mm  $\times$  600 mm) to reduce ambient reflections as shown in **Figure 12**. The 16-element antenna array was connected to an Agilent N 5230 A (10 MHz - 20 GHz) PNA.



**Figure 8.** Original 3D breast model with one tumour, (a) 3D view; (b) 2D view.

**Table 2.** Dielectric properties of breast model at 12.6 GHz.

No	Region	Thickness (mm)	Dielectric properties
1	Air	~	1 [38]
2	Skin	2	9.3 - 4 j
3	Fat	~	9 - 0.4 j
4	Tumour	2 - 6	9.5 - 7 j

A breast phantom (100 mm × 100 mm × 30 mm) containing skin, fat tissue and malignant tumours was used

in the experiments. Breast fat tissue was made of 90% emulsifying ointment and 10% water. Small grapes and cucumbers were inserted into the breast phantoms to represent malignant tissues.

The skin layer in the phantom was modelled using a 3-mm-thick MACOR machinable glass ceramic (MGC) plate (100 mm × 100 mm).

All breast phantoms were shaped in a rectangular plastic box and was covered by a thin plastic film. The latter has a negligible effect on the scattered electric field in

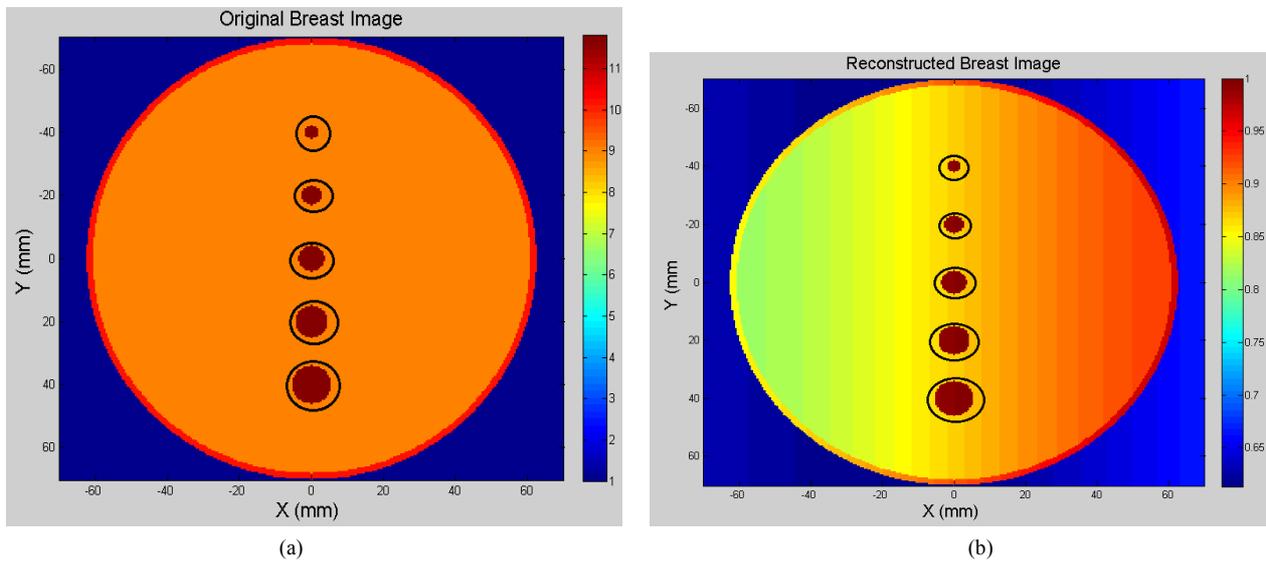


Figure 9. (a) Breast model; (b) 2D reconstructed breast image of five tumours.

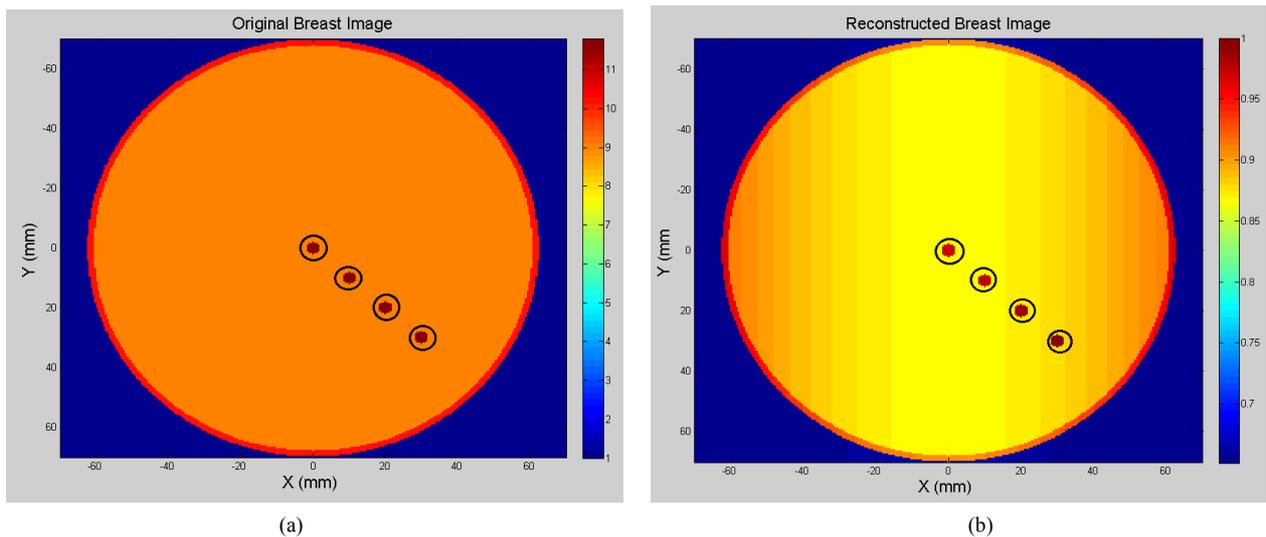


Figure 10. (a) Breast model; (b) 2D reconstructed breast image of four tumours.

Table 3. Location and size of five spherical shaped tumours.

number	Position (mm)			Size (mm)		
	X (mm)	Y (mm)	Z (mm)	$\sigma_x$	$\sigma_y$	$\sigma_z$
1	0	-40	35	2	2	2
2	0	-20	35	3	3	3
3	0	0	35	4	4	4
4	0	20	35	5	5	5
5	0	40	35	6	6	6

the desired frequency range. The electric properties of the breast phantom are summarized in Table 5.

During data collection, the breast phantom was placed on the top of a polystyrene box at  $z = 0$  mm and the antenna array was placed under breast phantom in far-field.

Air was used as the host medium between the breast phantom and antenna array plane. The breast phantom was illuminated by the transmitter and the backscattered field was measured by each receiver. To analyse the measured data, Eq.1 was applied to compute the complex visibility data of all possible pairs of antennas and then Eq.6 was used to generate a 2D breast phantom image.

#### 4.4. Experimental Results

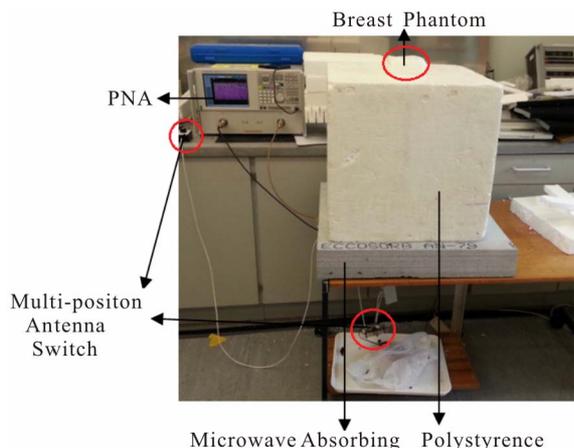
Figure 13 shows the breast phantom (100 mm × 100 mm × 30 mm) containing skin, fat and one tumour (10 mm in diameter grape), where the antenna array was placed at  $z = 0$  mm and the breast phantom was located on the top of array plane at  $z = 540$  mm

**Figure 14** clearly displays the simulated tumour in the reconstructed 2D phantom image. The colour bar plots signal energy on a linear scale, normalised to the maximum in the 2D image space and values below 0.1 are rendered as blue. Data used to produce the image utilise a “zooming function” of power two to enhance the contrast with the background.

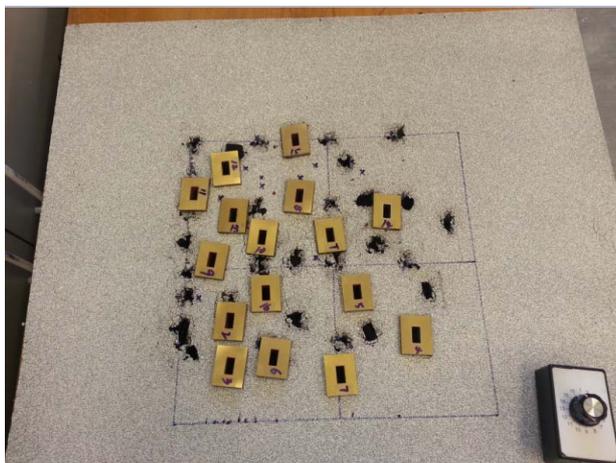
**Figure 15** displays the phantom (100 mm × 100 mm × 30 mm) including skin, fat and two tumours (2.5 mm, 5 mm in diameters cucumber) located at ( $X = 35$  mm,  $Y = 50$  mm,  $Z = 25$  mm) and ( $X = 55$  mm,  $Y = 60$  mm,  $Z = 25$  mm). The breast phantom was placed on the top of

**Table 4.** Location and size of four spherical shaped tumours.

Number	Position (mm)			Size (mm)		
	X (mm)	Y (mm)	Z (mm)	$\sigma_x$	$\sigma_y$	$\sigma_z$
1	0	0	5	2	2	2
2	10	10	10	2	2	2
3	20	20	15	2	2	2
4	30	30	20	2	2	2



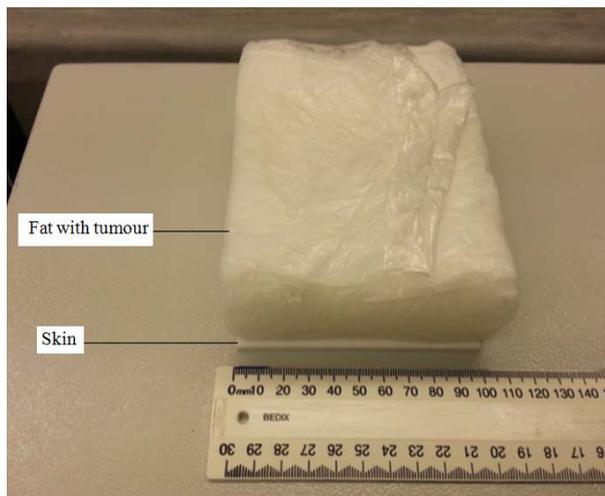
**Figure 11.** Experimental setup for breast cancer detection.



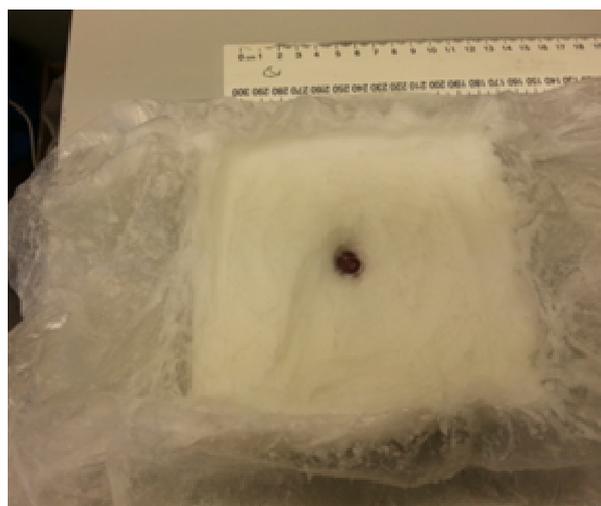
**Figure 12.** Photograph of antenna array configuration.

**Table 5.** Electric properties of object at 12.6 GHz.

Material	Real-part of permittivity	Imaginary-part of permittivity	Data source
Air	1	0	Published [37]
Skin (at 8.5 GHz)	5.67	0.040257	Manufacturer
Fat	2.4307	0.2432	Measured
Tumour (grape)	23.8021	20.8695	Measured
Tumour (cucumber)	42.0014	2.4368	Measured



(a)



(b)

**Figure 13.** (a) Top view and (b) inside view of the breast phantom containing skin, fat and one tumour (grape).

antenna array at  $Z = 490$  mm.

**Figure 16** demonstrates the 2D reconstructed image of the breast phantom containing two tumours. Data used to produce the reconstructed breast image utilise a “zooming function” of power three to enhance the contrast with the background.

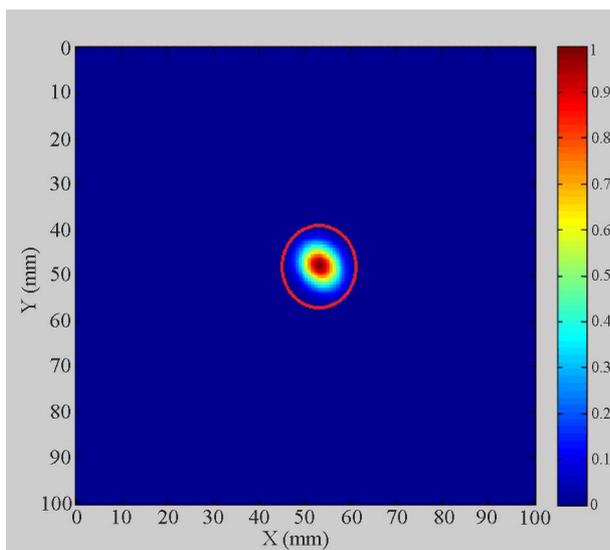
## 5. CONCLUSIONS

This paper has demonstrated the HMIA technique for medical imaging applications with particular focus on breast cancer detection and brain stroke detection. Computer simulation models for a 2D numerical head model and a 3D numerical breast model were developed using MATLAB to demonstrate that the HMIA technique can produce good quality head and breast images.

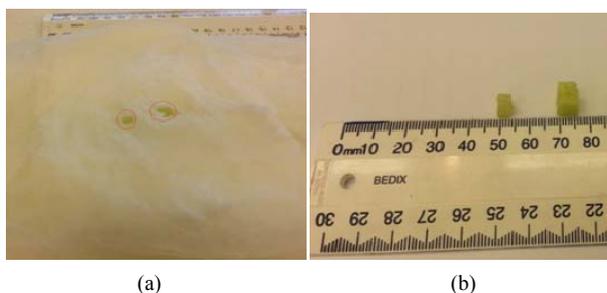
The obtained simulation results of a 2D head model observed that small ischemic stroke (5 mm in diameter) inside of a high dielectric contrast shield could be successfully detected.

The achieved simulation results of a 3D breast model showed that tumours of various locations and sizes within the breast model could be successfully detected against the background.

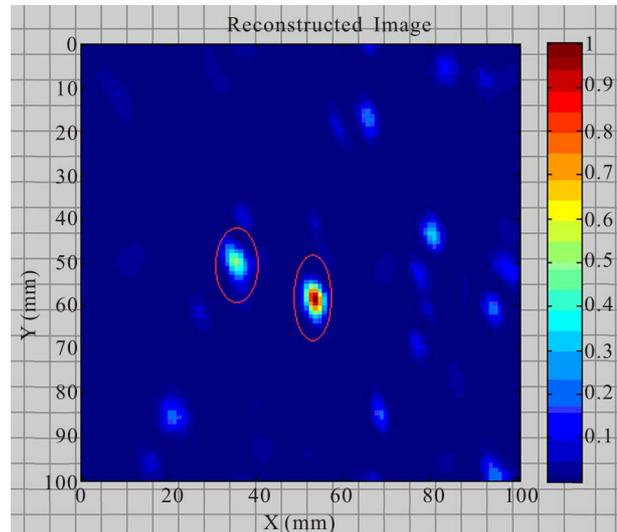
The investigation of the HMIA technique for breast cancer detection has been performed by a simplified breast phantom. The experimental results showed that tumours of different size, shape and location could be detected against the background. An additional impedance



**Figure 14.** Simulated breast tumour within 2D breast phantom image.



**Figure 15.** (a) Top view of the breast phantom containing skin, fat and two tumours (cucumbers); (b) Size of tumours.



**Figure 16.** Reconstructed breast phantom image of two tumours.

matching medium was not necessary between the antenna array and the breast phantom, only air, which greatly simplified the practical implementation of the HMIA system.

The proposed HMIA technique has the potential to become a low-cost and easy-to-use screening and diagnostic tool for brain stroke detection and breast cancer detection that could supplement existing clinical methods.

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